

# Symplectic Topology

## Example Sheet 10

Dietmar Salamon  
ETH Zürich

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Let  $(M, \omega)$  be a closed symplectic manifold and let

$$H : [0, 1] \times M \rightarrow \mathbb{R}$$

be a smooth function. For  $0 \leq t \leq 1$  define  $H_t : M \rightarrow \mathbb{R}$  by

$$H_t(p) := H(t, p)$$

and let  $\{\phi_t\}_{0 \leq t \leq 1}$  be the isotopy generated by  $H$  via

$$\partial_t \phi_t = X_t \circ \phi_t, \quad \phi_0 = \text{id}, \quad \iota(X_t)\omega = dH_t. \quad (1)$$

We have seen in the lecture course that  $\phi_t$  is a symplectomorphism of  $(M, \omega)$  for every  $t$ . The time-1 map is called the **Hamiltonian symplectomorphism generated by  $H$**  and will be denoted by

$$\phi_H := \phi_1.$$

The set of Hamiltonian symplectomorphisms of  $(M, \omega)$  will be denoted by

$$\text{Ham}(M, \omega) := \{\phi_H \mid H \in C^\infty([0, 1] \times M)\}.$$

Note that for every  $\phi \in \text{Ham}(M, \omega)$  there are many Hamiltonian functions  $H : [0, 1] \times M \rightarrow \mathbb{R}$  such that  $\phi_H = \phi$ .

**Exercise 10.1.** Prove that  $\text{Ham}(M, \omega)$  is a subgroup of  $\text{Diff}(M, \omega)$ .

**Exercise 10.2.** The **Hofer norm** of a function  $H : [0, 1] \times M \rightarrow \mathbb{R}$  is defined by

$$\|H\| := \int_0^1 \left( \max_M H_t - \min_M H_t \right) dt$$

The **Hofer distance** of two symplectomorphisms  $\phi_0, \phi_1 \in \text{Ham}(M, \omega)$  is the real number

$$d(\phi_0, \phi_1) := \inf_{\phi_H = \phi_1 \circ \phi_0^{-1}} \|H\|,$$

where the infimum is taken over all smooth functions  $H : [0, 1] \times M \rightarrow \mathbb{R}$  that generate the symplectomorphism  $\phi_1 \circ \phi_0^{-1} = \phi_H$ . Prove that the Hofer distance  $d : \text{Ham}(M, \omega) \times \text{Ham}(M, \omega) \rightarrow [0, \infty)$  satisfies the following axioms.

**(Symmetry)** For all  $\phi_0, \phi_1 \in \text{Ham}(M, \omega)$

$$d(\phi_0, \phi_1) = d(\phi_1, \phi_0).$$

**(Triangle Inequality)** For all  $\phi_0, \phi_1, \phi_2 \in \text{Ham}(M, \omega)$

$$d(\phi_0, \phi_2) \leq d(\phi_0, \phi_1) + d(\phi_1, \phi_2).$$

**(Invariance)** For all  $\phi_0, \phi_1, \psi \in \text{Ham}(M, \omega)$

$$d(\phi_0 \circ \psi, \phi_1 \circ \psi) = d(\psi \circ \phi_0, \psi \circ \phi_1) = d(\phi_0, \phi_1)$$

**(Conjugacy)** For all  $\phi_0, \phi_1 \in \text{Ham}(M, \omega)$  and all  $\psi \in \text{Diff}(M, \omega)$

$$d(\psi^{-1} \circ \phi_0 \circ \psi, \psi^{-1} \circ \phi_1 \circ \psi) = d(\phi_0, \phi_1)$$

**Exercise 10.3.** For  $H : [0, 1] \times M \rightarrow \mathbb{R}$  define

$$\|H\|' := \int_0^1 \sqrt{\int_M H_t^2 \frac{\omega^n}{n!}} dt.$$

For  $\phi_0, \phi_1 \in \text{Ham}(M, \omega)$  define

$$d'(\phi_0, \phi_1) := \inf_{\phi_H = \phi_1 \circ \phi_0^{-1}} \|H\|',$$

where the infimum is taken over all smooth functions  $H : [0, 1] \times M \rightarrow \mathbb{R}$  that satisfy  $\phi_H = \phi_1 \circ \phi_0^{-1}$  and  $\int_M H_t \omega^n = 0$  for all  $t$ . Prove that the function  $d' : \text{Ham}(M, \omega) \times \text{Ham}(M, \omega) \rightarrow \mathbb{R}$  also satisfies the axioms listed in Exercise 10.2. (**Note:** It turns out that the Hofer distance is always nondegenerate, however, a theorem by Eliashberg and Polterovich asserts that the function  $d'$  vanishes on every symplectic manifold.)

Let  $(\Sigma, j, \text{dvol}_\Sigma)$  be a closed Riemann surface,  $(M, \omega)$  be a symplectic manifold, let  $\Sigma \rightarrow \mathcal{J}(M, \omega) : z \mapsto J_z$  be a smooth family of  $\omega$ -compatible almost complex structures, and let  $K \in \Omega^1(\Sigma, C^\infty(M))$  be a 1-form on  $\Sigma$  with values in the space of smooth functions on  $M$ . Thus  $K$  assigns to each  $z \in \Sigma$  a linear map

$$T_z \Sigma \rightarrow C^\infty(M) : \widehat{z} \mapsto K_{z, \widehat{z}}$$

and to each point  $p \in M$  a 1-form  $K(p) \in \Omega^1(\Sigma)$  via  $K(p)_z(\widehat{z}) := K_{z, \widehat{z}}(p)$ . Note that  $K$  can be also thought of as a 1-form on the product manifold

$$\widetilde{M} := \Sigma \times M$$

which assigns to a tangent vector  $(\widehat{z}, \widehat{p}) \in T_{(z, p)} \widetilde{M} = T_z \Sigma \times T_p M \rightarrow \mathbb{R}$  the real number  $K_{z, \widehat{z}}(p)$ . When understood as a 1-form on  $\widetilde{M}$  the differential of  $K$  will be denoted by  $d^{\widetilde{M}} K \in \Omega^2(\widetilde{M})$ . When understood as 1-form on  $\Sigma$  with values in  $C^\infty(M)$ , the differential of  $K$  will be denoted by  $d^\Sigma K \in \Omega^2(\Sigma, C^\infty(M))$ ; this 2-form is defined by defined by

$$(d^\Sigma K)_z(\widehat{z}_1 \widehat{z}_2)(p) := (d(K(p)))_z(\widehat{z}_1, \widehat{z}_2)$$

for  $p \in M$ ,  $z \in \Sigma$ , and  $\widehat{z}_1, \widehat{z}_2 \in T_z \Sigma$ .

Define the function  $R_K : \Sigma \times M \rightarrow \mathbb{R}$  by

$$R_K \text{dvol}_\Sigma := d^\Sigma K + \frac{1}{2} \{K \wedge K\}.$$

Here  $\frac{1}{2} \{K \wedge K\} \in \Omega^2(\Sigma, C^\infty(M))$  is the 2-form which assigns to each pair  $\widehat{z}_1, \widehat{z}_2 \in T_z \Sigma$  the Poisson bracket  $\{K_{z, \widehat{z}_1}, K_{z, \widehat{z}_2}\} \in C^\infty(M)$ .

Denote by  $\text{pr}_\Sigma : \widetilde{M} \rightarrow \Sigma$  and  $\text{pr}_M : \widetilde{M} \rightarrow M$  the obvious projections, choose a function  $\kappa : \Sigma \rightarrow (0, \infty)$ , and define  $\widetilde{\omega} \in \Omega^2(\widetilde{M})$  by

$$\widetilde{\omega} := \text{pr}_M^* \omega - d^{\widetilde{M}} K + \text{pr}_\Sigma^* (\kappa \text{dvol}_\Sigma)$$

For  $u : \Sigma \rightarrow M$  define

$$d_K u := du + X_K(u),$$

where  $X_K(u) \in \Omega^1(\Sigma, u^* TM)$  is defined by  $(X_K(u))_z(\widehat{z}) := X_{K_z, \widehat{z}}(u(z))$ , and

$$\bar{\partial}_{J, K}(u) := (d_K u)^{0,1} := \frac{1}{2}(d_K u + J(u) \circ d_K u \circ j).$$

**Exercise 10.4.** Prove that

$$\frac{\tilde{\omega}^{n+1}}{(n+1)!} := (\kappa - R_K) \text{pr}_\Sigma^* \text{dvol}_\Sigma \wedge \text{pr}_M^* \frac{\omega^n}{n!}.$$

If  $\bar{\partial}_{J,K}(u) = 0$  prove that

$$E_K(u) := \frac{1}{2} \int_\Sigma |d_K u|_J^2 \text{dvol}_\Sigma = \int_\Sigma u^* \omega + \int_\Sigma R_K(u) \text{dvol}_\Sigma.$$

Relate this to the energy identity for the  $\tilde{J}$ -holomorphic curve  $\tilde{u} : \Sigma \rightarrow \tilde{M}$  defined by  $\tilde{u}(z) := (z, u(z))$ . Do the solutions of the perturbed equation  $\bar{\partial}_{J,K}(u) = 0$  minimize the energy  $E_K(u)$  in their homology class? What is the relation between  $E_K(u)$  and  $E(\tilde{u})$ . **Hint:** See Exercise 4.1. Carry out the calculations in local coordinates on  $\Sigma$ .