# THE $\kappa$ RING OF THE MODULI OF CURVES OF COMPACT TYPE: II 

R. PANDHARIPANDE


#### Abstract

The subalgebra of the tautological ring of the moduli of curves of compact type generated by the $\kappa$ classes is studied. Relations, constructed via the virtual geometry of the moduli of stable maps, are used to prove universality results relating the $\kappa$ rings in genus 0 to higher genus. Predictions for $\kappa$ classes of the Gorenstein conjecture are proven.


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## 1. Introduction

1.1. $\kappa$ classes. Let $\bar{M}_{g, n}$ be the moduli space of genus $g$, $n$-pointed stable curves. The $\kappa$ classes in the Chow ring $A^{*}\left(\bar{M}_{g, n}\right)$ with $\mathbb{Q}$-coefficients are defined by the following construction. Let

$$
\epsilon: \bar{M}_{g, n+1} \rightarrow \bar{M}_{g, n}
$$

be the universal curve viewed as the $(n+1)$-pointed space, let

$$
\mathbb{L}_{n+1} \rightarrow \bar{M}_{g, n+1}
$$

be the line bundle obtained from the cotangent space of the last marking, and let

$$
\psi_{n+1}=c_{1}\left(\mathbb{L}_{n+1}\right) \in A^{1}\left(\bar{M}_{g, n+1}\right)
$$

[^0]be the Chern class. The $\kappa$ classes are
$$
\kappa_{i}=\epsilon_{*}\left(\psi_{n+1}^{i+1}\right) \in A^{i}\left(\bar{M}_{g, n}\right), \quad i \geq 0 .
$$

The simplest is $\kappa_{0}$ which equals $2 g-2+n$ times the unit in $A^{0}\left(\bar{M}_{g, n}\right)$.
The $\kappa$ classes on the moduli space of curves of compact type

$$
M_{g, n}^{c} \subset \bar{M}_{g, n}
$$

are defined by restriction. The $\kappa$ ring

$$
\kappa^{*}\left(M_{g, n}^{c}\right) \subset A^{*}\left(M_{g, n}^{c}\right),
$$

is the $\mathbb{Q}$-subalgebra generated by the $\kappa$ classes. The $\kappa$ rings are graded by degree.

By the results of $[11], \kappa^{*}\left(M_{g, n}^{c}\right)$ is generated as a $\mathbb{Q}$-algebra by

$$
\kappa_{1}, \kappa_{2}, \ldots, \kappa_{g-1+\left\lfloor\frac{n}{2}\right\rfloor} .
$$

Moreover, there are no relation of degree less than or equal to $g-1+\left\lfloor\frac{n}{2}\right\rfloor$ if $n>0$.
1.2. Universality. Let $x_{1}, x_{2}, x_{3}, \ldots$ be variables with $x_{i}$ of degree $i$, and let

$$
f \in \mathbb{Q}\left[x_{1}, x_{2}, x_{3}, \ldots\right]
$$

be any graded homogeneous polynomial. The following universality property was stated in [11].

Theorem 1. If $f\left(\kappa_{i}\right)=0 \in \kappa^{*}\left(M_{0, n}^{c}\right)$, then

$$
f\left(\kappa_{i}\right)=0 \in \kappa^{*}\left(M_{g, n-2 g}^{c}\right)
$$

for all genera $g$ for which $n-2 g \geq 0$.
By Theorem 1, the higher genus $\kappa$ rings are canonically quotients of the genus 0 rings,

$$
\kappa^{*}\left(M_{0,2 g+n}^{c}\right) \xrightarrow{\iota_{g, n}} \kappa^{*}\left(M_{g, n}^{c}\right) \rightarrow 0 .
$$

Theorem 1 is our main result here.
1.3. Bases. Let $P(d)$ be the set of partitions of $d$, and let

$$
P(d, k) \subset P(d)
$$

be the set of partitions of $d$ into at most $k$ parts. Let $|P(d, k)|$ be the cardinality. To a partition ${ }^{1}$

$$
\mathbf{p}=\left(p_{1}, \ldots, p_{\ell}\right) \in P(d, k),
$$

we associate a $\kappa$ monomial by

$$
\kappa_{\mathbf{p}}=\kappa_{p_{1}} \cdots \kappa_{p_{\ell}} \in \kappa^{d}\left(M_{g, n}^{c}\right) .
$$

In [11], two basic facts about the $\kappa$ rings of the moduli space of curves of compact type are derived from Theorem 1:

- the canonical quotient,

$$
\kappa^{*}\left(M_{0,2 g+n}^{c}\right) \xrightarrow{\iota_{g, n}} \kappa^{*}\left(M_{g, n}^{c}\right) \rightarrow 0
$$

is an isomorphism for $n>0$,

- a $\mathbb{Q}$-basis of $\kappa^{d}\left(M_{g, n}^{c}\right)$ is given by

$$
\left\{\kappa_{\mathbf{p}} \mid \mathbf{p} \in P(d, 2 g-2+n-d)\right\}
$$

for $n>0$.
The main tools used in [11] are the virtual geometry of the moduli space of stable quotients [9] and the intersection theory of strata classes in the tautological ring $R^{*}\left(M_{g, n}^{c}\right)$.

By Theorem 5 of [11], proven unconditionally,

$$
\operatorname{dim}_{\mathbb{Q}} \kappa^{d}\left(M_{0, n}^{c}\right)=|P(d, n-2-d)| .
$$

Hence, Theorem 1 is a consequence of the following result.
Proposition 1. The space of relations among $\kappa$ monomials of degree $d$ valid in all the rings

$$
\left\{\kappa^{*}\left(M_{g, n}^{c}\right) \mid 2 g-2+n=\zeta\right\}
$$

is of rank at least $|P(d)|-|P(d, \zeta-d)|$.
Proposition 1 is proven in Sections 2-4 by constructing universal relations in $\kappa^{*}\left(M_{g, n}^{c}\right)$ via the virtual geometry of the moduli space of stable maps. The interplay between stable quotients and stable maps is an interesting aspect of the study of $\kappa^{*}\left(M_{g, n}^{c}\right)$.

[^1]1.4. Gorenstein conjecture. The rank $g$ Hodge bundle over the moduli space of curves
$$
\mathbb{E} \rightarrow \bar{M}_{g, n}
$$
has fiber $H^{0}\left(C, \omega_{C}\right)$ over $\left[C, p_{1}, \ldots, p_{n}\right]$. Let
$$
\lambda_{k}=c_{k}(\mathbb{E})
$$
be the Chern classes. Since $\lambda_{g}$ vanishes when restricted to
$$
\delta_{0}=\bar{M}_{g, n} \backslash M_{g, n}^{c}
$$
we obtain a well-defined evaluation
$$
\phi: A^{*}\left(M_{g, n}^{c}\right) \rightarrow \mathbb{Q}
$$
given by integration
$$
\phi(\gamma)=\int_{\bar{M}_{g, n}} \bar{\gamma} \cdot \lambda_{g}
$$
where $\bar{\gamma}$ is any lift of $\gamma \in A^{*}\left(M_{g, n}^{c}\right)$ to $A^{*}\left(\bar{M}_{g, n}\right)$.
The tautological rings $R^{*}\left(M_{g, n}^{c}\right) \subset A^{*}\left(M_{g, n}^{c}\right)$ have been conjectured in $[4,10]$ to be Gorenstein algebras with socle in degree $2 g-3+n$,
$$
\phi: R^{2 g-3+n}\left(M_{g, n}^{c}\right) \xrightarrow{\sim} \mathbb{Q} .
$$

As a consequence of Theorem 1 and the intersection calculations of [11], we obtain the following result.

Theorem 2. If $n>0$ and $\xi \in \kappa^{d}\left(M_{g, n}^{c}\right) \neq 0$, the linear function

$$
L_{\xi}: R^{2 g-3+n-d}\left(M_{g, n}^{c}\right) \rightarrow \mathbb{Q}
$$

defined by the socle evaluation

$$
L_{\xi}(\gamma)=\phi(\gamma \cdot \xi)
$$

is non-trivial.
Theorem 2, discussed in Section 5.1, may be viewed as significant evidence for the Gorenstein conjecture for all $M_{g, n}^{c}$ with $n>0$.
1.5. Acknowledgments. The results here on the $\kappa$ rings were motivated by the study of stable quotients in [9]. Discussions with A. Marian and D. Oprea were very helpful. The methods developed with C. Faber in [5] played an important role.

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$$
\text { 2. } \kappa \text { AND } \psi
$$

2.1. $\psi$ classes. Consider the cotangent line classes

$$
\psi_{n+1}, \ldots, \psi_{n+\ell} \in A^{1}\left(M_{g, n+\ell}^{c}\right)
$$

at the last $\ell$ marked points. Let

$$
\epsilon^{c}: M_{g, n+\ell}^{c} \rightarrow M_{g, n}^{c}
$$

be the proper forgetful map. For each partition $\mathbf{p} \in P(d)$ of length $\ell$, we associate the class

$$
\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}}\right) \in A^{d}\left(M_{g, n}^{c}\right) .
$$

The relation between the above push-forwards of $\psi$ monomials and the $\kappa$ classes is easily obtained. For $\mathbf{p}=(d)$, we have

$$
\epsilon_{*}^{c}\left(\psi_{n+1}^{1+d}\right)=\kappa_{d}
$$

by definition. The standard cotangent line comparison formulas yield the length 2 case,

$$
\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \psi_{n+2}^{1+p_{2}}\right)=\kappa_{p_{1}} \kappa_{p_{2}}+\kappa_{p_{1}+p_{2}} .
$$

The full formula, due to Faber, is

$$
\begin{equation*}
\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}}\right)=\sum_{\sigma \in S_{\ell}} \kappa_{\sigma(\mathbf{p})}, \tag{1}
\end{equation*}
$$

where the sum is over the symmetric group $S_{\ell}$. For $\sigma \in S_{\ell}$, let

$$
\sigma=\gamma_{1} \ldots \gamma_{r}
$$

be the canonical cycle decomposition (including the 1-cycles), and let $\sigma(\mathbf{p})_{i}$ be the sum of the parts of $\mathbf{p}$ with indices in the cycle $\gamma_{i}$. Then,

$$
\kappa_{\sigma(\mathbf{p})}=\kappa_{\sigma(\mathbf{p})_{1}} \cdots \kappa_{\sigma(\mathbf{p})_{r}} .
$$

A discussion of (1) can be found in [1].

Lemma 1. The sets of classes in $A^{d}\left(M_{g, n}^{c}\right)$ defined by

$$
\left\{\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}}\right) \mid \mathbf{p} \in P(d)\right\} \quad \text { and } \quad\left\{\kappa_{\mathbf{p}} \mid \mathbf{p} \in P(d)\right\}
$$

are related by an invertible linear transformation independent of $g$ and $n$.

Proof. Formula (1) defines a universal transformation independent of $g$ and $n$. Since the transformation is triangular in the partial ordering of $P(d)$ by length (with 1's on the diagonal), the invertibility is clear.
2.2. Bracket classes. Let $\mathbf{p} \in P(d)$ be a partition of length $\ell$. Let

$$
\begin{equation*}
\langle\mathbf{p}\rangle=\epsilon_{*}^{c}\left[\prod_{i=1}^{\ell} \frac{1}{1-p_{i} \psi_{n+i}}\right]^{\ell+d} \in A^{d}\left(M_{g, n}^{c}\right) . \tag{2}
\end{equation*}
$$

The superscript in the inhomogeneous expression $\left[\prod_{i=1}^{\ell} \frac{1}{1-p_{i} \psi_{n+i}}\right]^{\ell+d}$ indicates the summand in $A^{\ell+d}\left(M_{g, n+\ell}^{c}\right)$.

We can easily expand definition (2) to express the class $\langle\mathbf{p}\rangle$ linearly in terms of the classes

$$
\left\{\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}}\right) \mid \mathbf{p} \in P(d)\right\}
$$

Since the string and dilation equation must be used to remove the $\psi_{n+i}^{0}$ and $\psi_{n+i}^{1}$ factors, the transformation depends upon $g$ and $n$ only through $2 g-2+n$.

Lemma 2. The sets of classes in $A^{d}\left(M_{g, n}^{c}\right)$ defined by

$$
\{\langle\mathbf{p}\rangle \mid \mathbf{p} \in P(d)\} \quad \text { and } \quad\left\{\epsilon_{*}^{c}\left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}}\right) \mid \mathbf{p} \in P(d)\right\}
$$

are related by an invertible linear transformation depending only upon $2 g-2+n$.

Proof. Only the invertibility remains to be established. The result exactly follows from the proof of Proposition 3 in [5].

By Lemma 1 and 2, the bracket classes lie in the $\kappa$ ring,

$$
\langle\mathbf{p}\rangle \in \kappa^{d}\left(M_{g, n}^{c}\right) .
$$

We will prove Proposition 1 in the following equivalent form.

Proposition 2. The space of relations among the classes

$$
\{\langle\mathbf{p}\rangle \mid \mathbf{p} \in P(d)\}
$$

valid in all the rings

$$
\left\{\kappa^{*}\left(M_{g, n}^{c}\right) \mid 2 g-2+n=\zeta\right\}
$$

is of rank at least $|P(d)|-|P(d, \zeta-d)|$.

## 3. Relations via stable maps

3.1. Moduli of stable maps. Let $\bar{M}_{g, n+m}\left(\mathbb{P}^{1}, d\right)$ denote the moduli of stable maps ${ }^{2}$ to $\mathbb{P}^{1}$ of degree $d$, and let

$$
\nu: \bar{M}_{g, n+m}\left(\mathbb{P}^{1}, d\right) \rightarrow \bar{M}_{g, n}
$$

be the morphism forgetting the map and the last $m$ markings. The moduli space

$$
M_{g, n+m}^{c}\left(\mathbb{P}^{1}, d\right) \subset \bar{M}_{g, n+m}\left(\mathbb{P}^{1}, d\right)
$$

is defined by requiring the domain curve to be of compact type. The restriction

$$
\nu^{c}: M_{g, n+m}^{c}\left(\mathbb{P}^{1}, d\right) \rightarrow M_{g, n}^{c}
$$

is proper and equivariant with respect to the symmetries of $\mathbb{P}^{1}$.
We will find relations in $A^{*}\left(M_{g, n}^{c}\right)$ by localizing $\nu^{c}$ push-forwards which vanish geometrically. A complete analysis in the socle $A^{2 g-3}\left(M_{g}^{c}\right)$ was carried out in [5], but much more will be required for Theorem 1. While the relations in $A^{*}\left(M_{g, n}^{c}\right)$ via stable quotients [11] are more elegantly expressed, the ranks of the relations via stable maps appear easier to compute.

### 3.2. Relations.

3.2.1. Indexing. Let $d \leq 2 g-3+n$, and let

$$
\delta=2 g-3+n-d .
$$

We will construct a series of relations $I(g, d, \alpha)$ in $A^{d}\left(M_{g, n}^{c}\right)$ where

$$
\alpha=\left(\alpha_{1}, \ldots, \alpha_{m}\right)
$$

is a (non-empty) vector of non-negative integers satisfying two conditions:
(i) $|\alpha|=\sum_{i=1}^{m} \alpha_{i} \leq d-2-\delta$,

[^2](ii) $\alpha_{i}>0$ for $i>1$.

By condition (i), $d-2-\delta \geq 0$ so

$$
d>g-1+\left\lfloor\frac{n}{2}\right\rfloor .
$$

Condition (ii) implies $\alpha_{1}$ is the only integer permitted to vanish. The relation $I(g, d, \alpha)$ will be a variant of the equations considered in [5].
3.2.2. Formulas. Let $\Gamma$ denote the data type

$$
\begin{equation*}
\left(p_{1}, \ldots, p_{m}\right) \cup\left\{p_{m+1}, \ldots, p_{\ell}\right\}, \tag{3}
\end{equation*}
$$

satisfying

$$
p_{i}>0, \quad \sum_{i=1}^{\ell} p_{i}=d .
$$

The first part of $\Gamma$ is an ordered $m$-tuple $\left(p_{1}, \ldots, p_{m}\right)$. The second part $\left\{p_{m+1}, \ldots, p_{\ell}\right\}$ is an unordered set. Let $\operatorname{Aut}\left(\left\{p_{m+1}, \ldots, p_{\ell}\right\}\right)$ be the group which permutes equal parts. The group of automorphisms $\operatorname{Aut}(\Gamma)$ equals $\operatorname{Aut}\left(\left\{p_{m+1}, \ldots, p_{\ell}\right\}\right)$.

Theorem 3. For all $\alpha$ satisfying (i-ii),

$$
\begin{aligned}
\sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_{i}^{-\alpha_{i}} \prod_{i=m+1}^{\ell}\left(-p_{i}\right)^{-1} \prod_{j=1}^{\ell} \frac{p_{i}^{p_{i}}}{p_{i}!}\left\langle p_{1}, \ldots, p_{\ell}\right\rangle & \\
& =0 \in A^{d}\left(M_{g, n}^{c}\right),
\end{aligned}
$$

where the sum is over all $\Gamma$ of type (3).
The bracket $\left\langle p_{1}, \ldots, p_{\ell}\right\rangle \in A^{d}\left(M_{g, n}^{c}\right)$ denotes the class associated to the partition defined by the union of all the parts $p_{i}$ of $\Gamma$.

### 3.3. Proof of Theorem 3.

3.3.1. Torus actions. The first step is to define the appropriate torus actions. Let

$$
\mathbb{P}^{1}=\mathbb{P}(V)
$$

where $V=\mathbb{C} \oplus \mathbb{C}$. Let $\mathbb{C}^{*}$ act diagonally on $V$ :

$$
\begin{equation*}
\xi \cdot\left(v_{1}, v_{2}\right)=\left(v_{1}, \xi \cdot v_{2}\right) . \tag{4}
\end{equation*}
$$

Let $\mathrm{p}_{1}, \mathrm{p}_{2}$ be the fixed points $[1,0],[0,1]$ of the corresponding action on $\mathbb{P}(V)$. An equivariant lifting of $\mathbb{C}^{*}$ to a line bundle $L$ over $\mathbb{P}(V)$ is
uniquely determined by the weights $\left[l_{1}, l_{2}\right]$ of the fiber representations at the fixed points

$$
L_{1}=\left.L\right|_{\mathfrak{p}_{1}}, \quad L_{2}=\left.L\right|_{\mathfrak{p}_{2}} .
$$

The canonical lifting of $\mathbb{C}^{*}$ to the tangent bundle $T_{\mathbb{P}}$ has weights $[1,-1]$. We will utilize the equivariant liftings of $\mathbb{C}^{*}$ to $\mathcal{O}_{\mathbb{P}(V)}(1)$ and $\mathcal{O}_{\mathbb{P}(V)}(-1)$ with weights $[1,0],[0,1]$ respectively.

Over the moduli space of stable maps $\bar{M}_{g, n+m}(\mathbb{P}(V), d)$, we have

$$
\pi: U \rightarrow \bar{M}_{g, n+m}(\mathbb{P}(V), d), \quad \mu: U \rightarrow \mathbb{P}(V)
$$

where $U$ is the universal curve and $\mu$ is the universal map. The representation (4) canonically induces $\mathbb{C}^{*}$-actions on $U$ and $\bar{M}_{g, n+m}(\mathbb{P}(V), d)$ compatible with the maps $\pi$ and $\mu$. The $\mathbb{C}^{*}$-equivariant virtual class

$$
\left[\bar{M}_{g, n+m}(\mathbb{P}(V), d)\right]^{v i r} \in A_{2 g+2 d-2+n+m}^{\mathbb{C}^{*}}\left(\bar{M}_{g, n+m}(\mathbb{P}(V), d)\right)
$$

will play an important role.
3.3.2. Equivariant classes. Three types of equivariant Chow classes on $\bar{M}_{g, n+m}(\mathbb{P}(V), d)$ will be considered here:

- The linearization $[0,1]$ on $\mathcal{O}_{\mathbb{P}(V)}(-1)$ defines an $\mathbb{C}^{*}$-action on the rank $d+g-1$ bundle

$$
\mathbb{R}=R^{1} \pi_{*}\left(\mu^{*} \mathcal{O}_{\mathbb{P}(V)}(-1)\right)
$$

on $\bar{M}_{g, n+m}(\mathbb{P}(V), d)$. Let

$$
c_{t o p}(\mathbb{R}) \in A_{\mathbb{C}^{*}}^{g+d-1}\left(\bar{M}_{g, n+m}(\mathbb{P}(V), d)\right)
$$

be the top Chern class.

- For each marking $i$, let $\psi_{i} \in A_{\mathbb{C}^{*}}^{1}\left(\bar{M}_{g, n+m}(\mathbb{P}(V), d)\right.$ denote the first Chern class of the canonically linearized cotangent line corresponding to $i$.
- Denote the $i^{t h}$ evaluation morphism by

$$
\mathrm{ev}_{i}: \bar{M}_{g, n+m}(\mathbb{P}(V), d) \rightarrow \mathbb{P}(V)
$$

With $\mathbb{C}^{*}$-linearization $[1,0]$ on $\mathcal{O}_{\mathbb{P}(V)}(1)$, let

$$
\rho_{i}=c_{1}\left(\operatorname{ev}_{i}^{*} \mathcal{O}_{\mathbb{P}(V)}(1)\right) \in A_{\mathbb{C}^{*}}^{1}\left(\bar{M}_{g, n+m}(\mathbb{P}(V), d) .\right.
$$

With $\mathbb{C}^{*}$-linearization $[0,-1]$ on $\mathcal{O}_{\mathbb{P}(V)}(1)$, let

$$
\widetilde{\rho}_{i}=c_{1}\left(\operatorname{ev}_{i}^{*} \mathcal{O}_{\mathbb{P}(V)}(1)\right) \in A_{\mathbb{C}^{*}}^{1} \bar{M}_{g, n+m}(\mathbb{P}(V), d) .
$$

In the non-equivariant limit, $\rho_{i}^{2}=0$. Our notation here closely follows [5].
3.3.3. Vanishing integrals. The forgetful morphism

$$
\nu: \bar{M}_{g, n+m}(\mathbb{P}(V), d) \rightarrow \bar{M}_{g, n}
$$

is $\mathbb{C}^{*}$-equivariant with respect to the trivial action on $\bar{M}_{g, n}$. As in Section 3.2.1, let

$$
d \leq 2 g-3+n, \quad \delta=2 g-3+n-d
$$

and let $\alpha=\left(\alpha_{1}, \ldots, \alpha_{m}\right)$ satisfy
(i) $|\alpha|=\sum_{i=1}^{m} \alpha_{i} \leq d-2-\delta$,
(ii) $\alpha_{i}>0$ for $i>1$.

Let $I(g, d, \alpha)$ be the $\mathbb{C}^{*}$-equivariant push-forward

$$
\nu_{*}\left(\rho_{n+1}^{d-1-\delta-|\alpha|} \prod_{i=1}^{m} \rho_{n+i} \psi_{n+i}^{\alpha_{i}} \prod_{j=1}^{n} \widetilde{\rho}_{j} c_{t o p}(\mathbb{R}) \cap\left[\bar{M}_{g, n+m}(\mathbb{P}(V), d)\right]^{v i r}\right)
$$

The degree of the class

$$
\rho_{n+1}^{d-1-\delta-|\alpha|} \prod_{i=1}^{m} \rho_{n+i} \psi_{n+i}^{\alpha_{i}} \prod_{j=1}^{n} \widetilde{\rho}_{j} c_{t o p}(\mathbb{R})
$$

is easily computed to be

$$
\begin{aligned}
d-1-\delta-|\alpha|+m+|\alpha|+n+d+g-1 & = \\
& g+2 d-2+n+m-\delta .
\end{aligned}
$$

Since the cycle dimension of the virtual class is $2 g+2 d-2+n+m$, the push-forward $I(g, d, \alpha)$ has cycle dimension

$$
\begin{aligned}
2 g+2 d-2+n+m-(g+2 d-2+n+m-\delta) & =g+\delta \\
& =3 g-3+n-d
\end{aligned}
$$

Equivalently, $I(g, d, \alpha) \in A_{\mathbb{C}^{*}}^{d}\left(\bar{M}_{g, n}\right)$. Since the class $\rho_{n+1}$ appears with exponent

$$
d-\delta-|\alpha| \geq 2
$$

$I(g, d, \alpha)$ vanishes in the non-equivariant limit.
3.3.4. Localization terms. The virtual localization formula of [7] calculates $I(g, d, \alpha)$ in terms of tautological classes on the moduli space $\bar{M}_{g, n}$. To prove Theorem 3, we will calculate the restriction of the localization formula to $M_{g, n}^{c}$.

The localization formula expresses $I(g, d, \alpha)$ as a sum over connected decorated graphs $\Gamma$ indexing the $\mathbb{C}^{*}$-fixed loci of $\bar{M}_{g, n+m}(\mathbb{P}(V), d)$. The vertices of the graphs lie over the fixed points $\mathrm{p}_{1}, \mathrm{p}_{2} \in \mathbb{P}(V)$ and are labelled with genera (which sum over the graph to $g-h^{1}(\Gamma)$ ). The edges of the graphs lie over $\mathbb{P}^{1}$ and are labelled with degrees (which sum over the graph to $d$ ). Finally, the graphs carry $n+m$ markings on the vertices. The valence $\operatorname{val}(v)$ of a vertex $v \in \Gamma$ counts both the incident edges and markings. The edge valence of $v$ counts only the incident edges.

Only a very restricted subset of graphs will yield non-vanishing contributions to $I(g, d, \alpha)$ in the non-equivariant limit. If a graph $\Gamma$ contains a vertex lying over $\mathrm{p}_{1}$ of edge valence greater than 1 , then the contribution of $\Gamma$ to vanishes by our choice of linearization on the bundle $\mathbb{R}$. A vertex over $p_{1}$ of edge valence greater than 1 yields a trivial Chern root of $\mathbb{R}$ (with trivial weight 0 ) in the numerator of the localization formula to force the vanishing.

By the above vanishing, only comb graphs $\Gamma$ contribute to $I(g, d, \alpha)$. Comb graphs contain $\ell \leq d$ vertices lying over $\mathrm{p}_{1}$ each connected by a distinct edge to a unique vertex lying over $\mathrm{p}_{2}$.

If $\Gamma$ contains a vertex over $\mathrm{p}_{1}$ of positive genus, then the restriction to $M_{g, n}^{c}$ of the contribution of $\Gamma$ to $I(g, d, \alpha)$ vanishes by the following argument. Let $v$ be a genus $g(v)>0$ vertex lying over $\mathrm{p}_{1}$. The integrand term $c_{\text {top }}(\mathbb{R})$ yields a factor $c_{g(v)}\left(\mathbb{E}^{*}\right)$ with trivial $\mathbb{C}^{*}$-weight on the genus $g(v)$ moduli space corresponding to the vertex $v$. Since

$$
\left.\lambda_{g(v)}\right|_{M_{g(v), \operatorname{val}(v)}^{c}}=0
$$

by [12], the required vanishing holds.
The linearizations of the classes $\rho_{i}$ and $\widetilde{\rho}_{j}$ place restrictions on the marking distribution. Since the class $\widetilde{\rho}_{j}$ is obtained from $\mathcal{O}_{\mathbb{P}(V)}(1)$ with linearization $[0,-1]$, the first $n$ markings must lie on the unique vertex over over $\mathrm{p}_{2}$. Since the class $\rho_{i}$ is obtained from $\mathcal{O}_{\mathbb{P}(V)}(1)$ with linearization $[1,0]$, the last $m$ markings must lie on vertices over $\mathrm{p}_{1}$.

Finally, we claim the last $m$ markings of $\Gamma$ must lie on distinct vertices over $\mathrm{p}_{1}$ for nonvanishing contribution to $I(g, d, \alpha)$. Let $v$ be a vertex over $\mathrm{p}_{1}$ (with $g(v)=0$ ). If $v$ carries at least two markings, the fixed locus corresponding to $\Gamma$ contains a product factor $\bar{M}_{0, r+1}$ where $r$ is the number of markings incident to $v$. The classes $\psi_{n+i}^{\alpha_{i}}$ carry trivial $\mathbb{C}^{*}$-weight. Moreover, as each $\alpha_{i}>0$ for $i>1$, we see the sum of the $\alpha_{i}$ as $i$ ranges over the set of markings incident to $v$ is at least $r-1$. Since the sum exceeds the dimension of $\bar{M}_{0, r+1}$, the graph contribution to $I(g, d, \alpha)$ vanishes.

The proof of the main result about the localization terms for $I(g, d, \alpha)$ is now complete.

Proposition 3. The restriction of $I(g, d, \alpha)$ to $M_{g, n}^{c}$ is expressed via the virtual localization formula as a sum over genus $g$, degree $d$, marked comb graphs $\Gamma$ satisfying:
(i) all vertices over $\mathrm{p}_{1}$ are of genus 0 ,
(ii) the unique vertex over $\mathrm{p}_{2}$ carries all of the first $n$ markings,
(iii) the last $m$ markings all lie over $\mathrm{p}_{1}$,
(iv) each vertex over $\mathrm{p}_{1}$ carries at most 1 of the last $m$ markings.
3.3.5. Formulas. The precise contributions of allowable graphs $\Gamma$ to the non-equivariant limit of $I(g, d, \alpha)$ are now calculated.

Let $\Gamma$ be a genus $g$, degree $d$, comb graph with $n+m$ markings satisfying conditions (i-iv) of Proposition 3. By condition (iv), $\Gamma$ must have $\ell \geq m$ edges. $\Gamma$ may be described uniquely by the data

$$
\begin{equation*}
\left(p_{1}, \ldots, p_{m}\right) \cup\left\{p_{m+1}, \ldots, p_{\ell}\right\} \tag{5}
\end{equation*}
$$

satisfying:

$$
p_{i}>0, \quad \sum_{i=1}^{\ell} p_{i}=d
$$

The elements of the ordered $m$-tuple $\left(p_{1}, \ldots, p_{m}\right)$ correspond to the degree assignments of the edges incident to the vertices marked by the last $m$ markings. The elements of the unordered partition $\left\{p_{m+1}, \ldots, p_{\ell}\right\}$ correspond to the degrees of edges incident to the unmarked vertices over $\mathrm{p}_{1}$. The group of graph automorphisms is

$$
\operatorname{Aut}(\Gamma)=\operatorname{Aut}\left(\left\{p_{m+1}, \ldots, p_{\ell}\right\}\right) .
$$

By a direct application of the virtual localization formula of [7], we find the contribution of the graph (5) to the normalized ${ }^{3}$ push-forward

$$
(-1)^{g+1+|\alpha|+n+m} \cdot I(g, d, \alpha)
$$

equals

$$
\frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_{i}^{-\alpha_{i}} \prod_{i=m+1}^{\ell}\left(-p_{i}\right)^{-1} \prod_{i=1}^{\ell} \frac{p_{i}^{p_{i}}}{p_{i}!}\left\langle p_{1}, \ldots, p_{\ell}\right\rangle .
$$

Hence, the vanishing of $I(g, d, \alpha)$ yields the relation

$$
\sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_{i}^{-\alpha_{i}} \prod_{i=m+1}^{\ell}\left(-p_{i}\right)^{-1} \prod_{i=1}^{\ell} \frac{p_{i}^{p_{i}}}{p_{i}!}\left\langle p_{1}, \ldots, p_{\ell}\right\rangle=0
$$

where the sum is over all graphs (5).
Question 1. Are the relations of Theorem 3 equivalent to relations constructed in Section 3 of [9] via stable quotients?

## 4. Rank analysis

4.1. Matrix of relations. Theorem 3 yields relations in $\kappa^{d}\left(M_{g, n}^{c}\right)$, indexed by $\alpha=\left(\alpha_{1}, \ldots, \alpha_{m}\right)$ satisfying conditions (i-ii) of Section 3.2.1 with

$$
\delta=2 g-3+n-d \geq 0
$$

We rewrite the relation obtained from the vanishing of $I(g, d, \alpha)$ as

$$
\begin{equation*}
\sum_{\mathbf{p} \in P(d)} \mathrm{C}_{\alpha}^{\mathrm{p}}\langle\mathbf{p}\rangle=0 \tag{6}
\end{equation*}
$$

The coefficients are

$$
\mathrm{C}_{\alpha}^{\mathbf{p}}=\frac{1}{|\operatorname{Aut}(\mathbf{p})|} \prod_{i=1}^{\ell} \frac{p_{i}^{p_{i}}}{p_{i}!} \sum_{\phi} \prod_{i=1}^{m} p_{\phi(i)}^{-\alpha_{i}} \prod_{j \in \operatorname{Im}(\phi)^{c}}\left(-p_{j}\right)^{-1},
$$

where the sum is over all injections

$$
\phi:\{1, \ldots, m\} \rightarrow\{1, \ldots, \ell\}
$$

and

$$
\operatorname{Im}(\phi)^{c} \subset\{1, \ldots, \ell\}
$$

is the complement of the image of $\phi$.

[^3]To prove Proposition 2, we will show the system (6) is of rank at least $|P(d)|-|P(d, \delta+1)|$. The claim is empty unless $0 \leq \delta \leq d-2$.
4.2. Ordering. For $0 \leq \delta \leq d-2$, define the subset $P_{\delta}(d) \subset P(d)$ by removing partitions of length at most $\delta+1$,

$$
P_{\delta}(d)=P(d) \backslash P(d, \delta+1)
$$

We order $P_{\delta}(d)$ by the following rules

- longer partitions appear before shorter partitions,
- for partitions of the same length, we use the lexicographic ordering with larger parts ${ }^{4}$ appearing before smaller parts.
For example, the ordered list of the 10 elements of $P_{0}(6)$ is $\left(1^{6}\right),\left(2,1^{4}\right),\left(3,1^{3}\right),\left(2^{2}, 1^{2}\right),\left(4,1^{2}\right),(3,2,1),\left(2^{3}\right),(5,1),(4,2),(3,3)$.

Given a partition $\mathbf{p} \in P(d)$, let $\widehat{\mathbf{p}}$ be the partition obtained removing all parts equal to 1. For example,

$$
\widehat{\left(1^{6}\right)}=\emptyset, \quad \widehat{(3,2,1)}=(3,2) .
$$

Let $\mathbf{p}^{-}$be the partition obtained by lowering all the parts of $\mathbf{p}$ by 1 ,

$$
\left(1^{6}\right)^{-}=\emptyset, \quad(3,2,1)^{-}=(2,1)
$$

If $\mathbf{p}$ has length $\ell$, then

$$
\mathbf{p}^{-} \in P(d-\ell) .
$$

To each partition $\mathbf{p} \in P_{\delta}(d)$, we associate data $\alpha[\mathbf{p}]$ satisfying conditions (i)-(ii) with respect to $\delta$ by the following rules. The special designation

$$
\alpha\left[\left(1^{d}\right)\right]=(0)
$$

is given. Otherwise

$$
\alpha[\mathbf{p}]=\mathbf{p}^{-}
$$

We note condition (i) of Section 3.2.1,

$$
|\alpha[\mathbf{p}]| \leq d-2-\delta,
$$

is satisfied in all cases.
Let $\mathrm{M}_{\delta}(d)$ be the square matrix indexed by the ordered set $P_{\delta}(d)$ with elements

$$
M_{\delta}(d)[\mathbf{p}, \mathbf{q}]=\mathrm{C}_{\alpha[\mathbf{p}]}^{\mathbf{q}}
$$

[^4]The rank of the system (6) is at least

$$
\left|P_{\delta}(d)\right|=|P(d)|-|P(d, \delta+1)|
$$

by the following nonsingularity result proven in Sections 4.3-4.6 below.
Proposition 4. For $0 \leq \delta \leq d-2$, the matrix $\mathrm{M}_{\delta}(d)$ is nonsingular.
Proposition 4 implies Proposition 2 and thus Theorem 1. Moreover, Proposition 4 provides a new approach to [5].
4.3. Scaling. Let $\mathrm{X}_{\delta}(d)$ be the square matrix indexed by the ordered set $P_{\delta}(d)$ with elements

$$
\begin{aligned}
\mathrm{X}_{\delta}(d)\left[(1)^{d}, \mathbf{q}\right] & =(-1)^{\ell(\mathbf{q})-1} d \\
\mathrm{X}_{\delta}(d)\left[\mathbf{p} \neq(1)^{d}, \mathbf{q}\right] & =\sum_{\phi}(-1)^{\ell(\mathbf{q})-\ell(\widehat{\mathbf{p}})} \prod_{i=1}^{\ell(\widehat{\mathbf{p}})} q_{\phi(i)}^{-\widehat{p}_{i}+2},
\end{aligned}
$$

where the sum is over all injections

$$
\phi:\{1, \ldots, \ell(\widehat{\mathbf{p}})\} \rightarrow\{1, \ldots, \ell(\mathbf{q})\}
$$

For example, $\mathrm{X}_{0}(6)$ is

$$
\left(\begin{array}{rrrrrrrrrr}
-6 & 6 & -6 & -6 & 6 & 6 & 6 & -6 & -6 & -6 \\
-6 & 5 & -4 & -4 & 3 & 3 & 3 & -2 & -2 & -2 \\
-6 & \frac{9}{2} & -\frac{10}{3} & -3 & \frac{9}{4} & \frac{11}{6} & \frac{3}{2} & -\frac{6}{5} & -\frac{3}{4} & -\frac{2}{3} \\
30 & -20 & 12 & 12 & -6 & -6 & -6 & 2 & 2 & 2 \\
-6 & \frac{17}{4} & -\frac{28}{9} & -\frac{5}{2} & \frac{33}{16} & \frac{49}{36} & \frac{3}{4} & -\frac{26}{25} & -\frac{5}{16} & -\frac{2}{9} \\
30 & -18 & 10 & 9 & -\frac{9}{2} & -\frac{11}{3} & -3 & \frac{6}{5} & \frac{3}{4} & \frac{2}{3} \\
-120 & 60 & -24 & -24 & 6 & 6 & 6 & 0 & 0 & 0 \\
-6 & \frac{33}{8} & -\frac{82}{27} & -\frac{9}{4} & \frac{129}{64} & \frac{251}{216} & \frac{3}{8} & -\frac{126}{125} & -\frac{9}{64} & -\frac{2}{27} \\
30 & -17 & \frac{28}{3} & \frac{15}{2} & -\frac{33}{8} & -\frac{49}{18} & -\frac{3}{2} & \frac{26}{25} & \frac{5}{16} & \frac{2}{9} \\
30 & -16 & 8 & \frac{13}{2} & -3 & -2 & -\frac{3}{2} & \frac{2}{5} & \frac{1}{4} & \frac{2}{9}
\end{array}\right) .
$$

The matrix $\mathrm{X}_{\delta}(d)$ is obtained from $\mathrm{M}_{\delta}(d)$ by dividing each column corresponding to $\mathbf{q}$ by

$$
\frac{1}{|\operatorname{Aut}(\mathbf{q})|} \prod_{i=1}^{\ell(\mathbf{q})} \frac{q_{i}^{q_{i}-1}}{q_{i}!}
$$

Hence, $\mathrm{X}_{\delta}(d)$ is nonsingular if and only if $\mathrm{M}_{\delta}(d)$ is nonsingular.
4.4. Elimination. Our strategy for proving Proposition 4 is to find an upper-triangular square matrix $\mathrm{Y}_{0}(d)$ for which the product

$$
\begin{equation*}
\mathrm{X}_{0}(d) \cdot \mathrm{Y}_{0}(d) \tag{7}
\end{equation*}
$$

is lower-triangular with $\pm 1$ 's on the diagonal. Since $\mathrm{X}_{\delta}(d)$ for

$$
0 \leq \delta \leq d-2
$$

occurs as an upper left minor of $\mathrm{X}_{0}(d)$, the lower-triangularity of the product (7) will establish Proposition 4 for the full range of $\delta$ values.

We define $\mathrm{Y}_{0}(d)$ to be the square matrix indexed by the ordered set $P_{0}(d)$ given by the following rules. The upper left corner is

$$
\mathrm{Y}_{0}(d)\left[\left(1^{d}\right),\left(1^{d}\right)\right]=\frac{1}{d}
$$

If at least one of $\{\mathbf{p}, \mathbf{q}\}$ is not equal to $\left(1^{d}\right)$, then the matrix elements are

$$
\begin{aligned}
& \mathrm{Y}_{0}(d)[\mathbf{p}, \mathbf{q}]= \\
& \qquad \frac{1}{|\operatorname{Aut}(\mathbf{p})|} \frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\theta} \prod_{i=1}^{\ell(\mathbf{q})}\binom{q_{i}}{p_{i[1]}, \ldots, p_{i\left[\ell_{i}\right]}} q_{i}^{\ell_{i}-2} \prod_{j=1}^{\ell_{i}} p_{i j}^{p_{i j}-1},
\end{aligned}
$$

where the sum is over all functions

$$
\theta:\{1, \ldots, \ell(\mathbf{p})\} \rightarrow\{1, \ldots, \ell(\mathbf{q})\}
$$

with

$$
\theta^{-1}(i)=\left\{i[1], \ldots, i\left[\ell_{i}\right]\right\}
$$

satisfying

$$
q_{i}=\sum_{j=1}^{\ell_{i}} p_{i[j]}
$$

For example, $\mathrm{Y}_{0}(6)$ is

$$
\left(\begin{array}{rrrrrrrrrr}
\frac{1}{6} & 1 & 3 & \frac{1}{2} & 16 & 3 & \frac{1}{6} & 125 & 16 & \frac{9}{2} \\
0 & 1 & 6 & 1 & 48 & 9 & \frac{1}{2} & 500 & 64 & 18 \\
0 & 0 & 3 & 0 & 36 & 3 & 0 & 450 & 36 & 9 \\
0 & 0 & 0 & \frac{1}{2} & 12 & 6 & \frac{1}{2} & 300 & 60 & 18 \\
0 & 0 & 0 & 0 & 16 & 0 & 0 & 320 & 16 & 0 \\
0 & 0 & 0 & 0 & 0 & 3 & 0 & 180 & 36 & 18 \\
0 & 0 & 0 & 0 & 0 & 0 & \frac{1}{6} & 0 & 12 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 125 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 16 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{9}{2}
\end{array}\right) .
$$

By the conditions on $\theta$ in the definition, $\mathrm{Y}_{0}(d)$ is easily seen to be upper-triangular.
4.5. Generating functions. Let $\mathbb{Q}[t]$ denote the polynomial ring in infinitely many variables

$$
t=\left\{t_{1}, t_{2}, t_{3}, \ldots\right\}
$$

Define a $\mathbb{Q}$-linear function

$$
\rangle: \mathbb{Q}[t] \rightarrow \mathbb{Q}
$$

by the equations $\langle 1\rangle=1$ and

$$
\left\langle t_{d_{1}} t_{d_{2}} \cdots t_{d_{k}}\right\rangle=\left(d_{1}+d_{2}+\ldots+d_{k}\right)^{k-3} .
$$

We may extend $\rangle$ uniquely to define a $x$-linear function:

$$
\rangle: \mathbb{Q}[t][[x]] \rightarrow \mathbb{Q}[[x]] .
$$

For each non-negative integer $i$, let

$$
Z_{i}(t, x)=\sum_{j>0} x^{j} t_{j} \frac{j^{j-i}}{j!} \in \mathbb{Q}[t][[x]] .
$$

Applying the bracket, we define

$$
\mathrm{F}_{\alpha_{1}, \ldots, \alpha_{m}}=\left\langle\exp \left(-Z_{1}\right) \cdot Z_{\alpha_{1}} \cdots Z_{\alpha_{m}}\right\rangle \in \mathbb{Q}[[x]] .
$$

Lemma 3. Let $\alpha=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ be a non-empty sequence of nonnegative integers satisfying $\alpha_{i}>0$ for $i>1$. The series

$$
\mathrm{F}_{\alpha_{1}, \ldots, \alpha_{m}} \in \mathbb{Q}[[x]]
$$

is a polynomial of degree at most $1+\sum_{i=1}^{m} \alpha_{i}$ in $x$.

Lemma 4. Let $\alpha_{1} \geq 0$. Then,

$$
\mathrm{F}_{\alpha_{1}}=\frac{(-1)^{\alpha_{1}}}{\left(1+\alpha_{1}\right)\left(1+\alpha_{1}\right)!} x^{1+\alpha_{1}}+\ldots
$$

where the dots stand for lower order terms.
Lemma 3 can be proven by various methods. A proof via localization on moduli space is given in [5] in Section 1.7.

Lemma 4 is more interesting. The integral

$$
\begin{equation*}
J_{1+\alpha_{1}}=\int_{\bar{M}_{0,1}\left(\mathbb{P}^{1}, 1+\alpha_{1}\right)} \rho_{1} \psi_{1}^{\alpha_{1}} c_{\text {top }}(\mathbb{R}) \tag{8}
\end{equation*}
$$

can be evaluated by exactly following ${ }^{5}$ the localization analysis of Section 3.3. We find

$$
J_{1+\alpha_{1}}=(-1)^{\alpha_{1}} \sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} p_{1}^{-\alpha_{1}} \prod_{i=2}^{\ell}\left(-p_{i}\right)^{-1} \prod_{i=1}^{\ell} \frac{p_{i}^{p_{i}}}{p_{i}!}\left(1+\alpha_{1}\right)^{\ell-3}
$$

where the sum is over all 1-pointed comb graphs (5) of total degree $1+\alpha_{1}$. We conclude $J_{1+\alpha_{1}}$ equals, up to the factor of $(-1)^{\alpha_{1}}$, the leading $x^{1+\alpha_{1}}$ coefficient of $\left\langle\exp \left(-Z_{1}\right) \cdot Z_{\alpha_{1}}\right\rangle$.

To calculate the integral (8), we use well-known equations in GromovWitten theory. Certainly

$$
\begin{equation*}
J_{1}=1 \tag{9}
\end{equation*}
$$

By two applications of the divisor equation,

$$
k^{2} J_{k}=\int_{\bar{M}_{0,3}\left(\mathbb{P}^{1}, k\right)} \rho_{1} \psi_{1}^{k-1} \rho_{2} \rho_{3} c_{\text {top }}(\mathbb{R})
$$

By the topological recursion relation [2] applied to the right side,

$$
k^{2} J_{k}=\int_{\bar{M}_{0,2}\left(\mathbb{P}^{1}, k-1\right)} \rho_{1} \psi_{1}^{k-2} \rho_{2} c_{\text {top }}(\mathbb{R}) \cdot \int_{\bar{M}_{0,3}\left(\mathbb{P}^{1}, 1\right)} \rho_{1} \rho_{2} \rho_{3} c_{\text {top }}(\mathbb{R})
$$

We obtain the recursion

$$
\begin{aligned}
k^{2} J_{k} & =(k-1) J_{k-1} J_{1} \\
& =(k-1) J_{k-1}
\end{aligned}
$$

which we can easily solve

$$
J_{k}=\frac{1}{k \cdot k!}
$$

[^5] starting with the initial condition (9).

The case where the $\alpha$ data is empty will arise naturally. We define

$$
\mathrm{F}_{\emptyset}=\left\langle\exp \left(-Z_{1}\right)\right\rangle .
$$

The following result is derived from Lemma 3 by the relation

$$
x \frac{d}{d x} \mathrm{~F}_{\emptyset}=-\mathrm{F}_{0} .
$$

Lemma 5. $\mathrm{F}_{\emptyset}=1-x$.
4.6. Product. We will now prove the basic identity

$$
\begin{equation*}
\mathrm{X}_{0}(d) \cdot \mathrm{Y}_{0}(d)=\mathrm{L}_{0}(d) \tag{10}
\end{equation*}
$$

where $\mathrm{L}_{0}(d)$ is lower triangular with diagonal entries all $\pm 1$.
We first address the special upper left corner. The product on the left side of (10) is

$$
\mathrm{L}_{0}(d)\left[\left(1^{d}\right),\left(1^{d}\right)\right]=(-1)^{d-1} d \cdot \frac{1}{d}=(-1)^{d-1}
$$

a diagonal entry of the specified form.
Next assume $\mathbf{p} \neq\left(1^{d}\right)$. Then, the matrix elements are

$$
\begin{equation*}
\mathrm{L}_{0}(d)[\mathbf{p}, \mathbf{q}]=\frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\gamma} \prod_{i=1}^{\ell(\mathbf{q})} \operatorname{Coeff}\left(F_{\gamma^{-1}(i)}, x^{q_{i}}\right) q_{i} q_{i}! \tag{11}
\end{equation*}
$$

where the sum is over all functions

$$
\gamma:\{1, \ldots, \ell(\widehat{\mathbf{p}})\} \rightarrow\{1, \ldots, \ell(\mathbf{q})\}
$$

In case $\gamma^{-1}(i)=\left\{i[1], \ldots, i\left[\ell_{i}\right]\right\}$ is nonempty, we define

$$
\mathrm{F}_{\gamma^{-1}(i)}=\mathrm{F}_{\widehat{p}_{[1]}-1, \ldots, \widehat{p}_{i\left[\ell_{i}\right]}-1} .
$$

If $\gamma^{-1}(i)=\emptyset$, then

$$
\mathrm{F}_{\emptyset}=\left\langle\exp \left(-Z_{1}\right)\right\rangle=1-x .
$$

Equation (11) is obtained from a simple unravelling of the definitions.
If $q_{i}>1, \operatorname{Coeff}\left(F_{\gamma^{-1}(i)}, x^{q_{i}}\right)$ vanishes unless $\gamma^{-1}(i)$ is nonempty by Lemma 5 and unless

$$
\begin{equation*}
q_{i} \leq 1-\ell_{i}+\sum_{j=1}^{\ell_{i}} \widehat{p}_{i[j]} \tag{12}
\end{equation*}
$$

by Lemma 3. Inequality (12) for all parts $q_{i}>1$ implies

$$
\ell(\mathbf{q}) \geq \ell(\mathbf{p}) .
$$

Moreover, if equality of length holds, then inequality (12) implies either $\mathbf{q}$ precedes $\mathbf{p}$ in the ordering of $P_{0}(d)$ or $\mathbf{q}=\mathbf{p}$.

We conclude the matrix $\mathrm{L}_{0}(d)$ is lower-triangular when the first coordinate $\mathbf{p}$ is not $(1)^{d}$. The diagonal elements for $\mathbf{p} \neq\left(1^{d}\right)$ are

$$
\mathrm{L}_{0}(d)[\mathbf{p}, \mathbf{p}]=\prod_{i=1}^{\ell(\widehat{\mathbf{p}})}(-1)^{\widehat{p}_{i}-1} \cdot(-1)^{\ell(\mathbf{p})-\ell(\widehat{\mathbf{p}})}
$$

by Lemmas 4 and 5 .
To complete the proof of the lower-triangularity of $\mathrm{L}_{0}(d)$, we must show the vanishing of $\mathrm{L}_{0}(d)\left[\left(1^{d}\right), \mathbf{q} \neq\left(1^{d}\right)\right]$. The matrix elements are

$$
\mathrm{L}_{0}(d)\left[\left(1^{d}\right), \mathbf{q} \neq\left(1^{d}\right)\right]=\frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\tilde{\gamma}} \prod_{i=1}^{\ell(\mathbf{q})} \operatorname{Coeff}\left(\widetilde{F}_{\tilde{\gamma}^{-1}(i)}, x^{q_{i}}\right) q_{i} q_{i}!
$$

where the sum is over all functions

$$
\tilde{\gamma}:\{1\} \rightarrow\{1, \ldots, \ell(\mathbf{q})\}
$$

In case $\tilde{\gamma}^{-1}(i)=\{1\}$ is nonempty, we define

$$
\widetilde{\mathrm{F}}_{\tilde{\gamma}^{-1}(i)}=\mathrm{F}_{0} .
$$

If $\tilde{\gamma}^{-1}(i)=\emptyset$, then

$$
\widetilde{\boldsymbol{F}}_{\emptyset}=\left\langle\exp \left(-Z_{1}\right)\right\rangle=1-x
$$

Let $q_{1}>1$ be the largest part of $\mathbf{q}$. Then

$$
\operatorname{Coeff}\left(\widetilde{F}_{\tilde{\gamma}^{-1}(1)}, x^{q_{1}}\right)=0
$$

by Lemmas 3 and 5. Hence,

$$
\mathrm{L}_{0}(d)\left[\left(1^{d}\right), \mathbf{q} \neq\left(1^{d}\right)\right]=0
$$

and the lower-triangularity of $\mathrm{L}_{0}(d)$ is fully proven.
The proof of Proposition 4 is complete. Following the implications back, the proof of Theorem 1 is also complete.

Since we know explicitly the diagonal elements of the triangular matrices $\mathrm{Y}_{0}(d)$ and $\mathrm{L}_{0}(d)$, the product

$$
\mathrm{X}_{0}(d) \cdot \mathrm{Y}_{0}(d)=\mathrm{L}_{0}(d)
$$

yields a simple formula for the determinant,

$$
\operatorname{det}\left(\mathrm{X}_{0, d}\right)=(-1)^{d-1} \prod_{\mathbf{p} \in P_{0}(d) \backslash\left\{\left(1^{d}\right)\right\}}\left(\frac{|\operatorname{Aut}(\widehat{\mathbf{p}})|}{\prod_{i=1}^{\ell(\mathbf{p})} p_{i}^{p_{i}-2}}(-1)^{\ell(\mathbf{p})} \prod_{i=1}^{\ell(\widehat{\mathbf{p}})}(-1)^{\widehat{p_{i}}}\right)
$$

## 5. Gorenstein conjecture

### 5.1. Proof of Theorem 2. If $n>0$, the pairing

$$
\kappa^{d}\left(M_{g, n}^{c}\right) \times R^{2 g-3+n-d}\left(M_{g, n}^{c}\right) \rightarrow \mathbb{Q}
$$

is shown to have rank at least $|P(d, 2 g-2+n-d)|$ in Section 6.3 of [11]. Since

$$
\operatorname{dim}_{\mathbb{Q}} \kappa^{d}\left(M_{g, n}^{c}\right)=|P(d, 2 g-2+n-d)|
$$

by Theorem 1 and [11], Theorem 2 follows.
5.2. Further directions. Perhaps the universality of Theorem 1 extends to larger subrings of $R^{*}\left(M_{g, n}^{c}\right)$. A natural place to start is the ring

$$
S^{*}\left(M_{g, n}^{c}\right) \subset R^{*}\left(M_{g, n}^{c}\right)
$$

generated by all the $\kappa$ and $\psi$ classes.
Question 2. Is $S^{*}\left(M_{g, n}^{c}\right)$ canonically a subring of $S^{*}\left(M_{0,2 g+n}^{c}\right)$ ?
At least the condition $n>0$ must be imposed in Question 2. How to include the strata classes in a universality statement is not clear.

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Department of Mathematics
Princeton University
rahulp@math.princeton.edu.


[^0]:    Date: January 2010.

[^1]:    ${ }^{1}$ The parts of $\mathbf{p}$ are positive and satisfy $p_{1} \geq \ldots \geq p_{\ell}$.

[^2]:    ${ }^{2}$ Stable maps were defined in [8], see [6] for an introduction.

[^3]:    ${ }^{3}$ The parallel equation on page 106 of [5] has a sign error in the normalization. Instead of $(-1)^{g+1} I(g, d, \alpha)$ there, the normalization should be $(-1)^{g+1+|\alpha|+\ell(\alpha)} I(g, d, \alpha)$. The sign change makes no difference.

[^4]:    ${ }^{4}$ Remember the parts of $\mathbf{p}=\left(p_{1}, \ldots, p_{\ell}\right)$ are ordered by $p_{1} \geq \ldots \geq p_{\ell}$.

[^5]:    ${ }^{5}$ The equivariant lifts are taken just as in Section 3.3.2.

