THE κ RING OF THE MODULI OF CURVES OF COMPACT TYPE: II

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ABSTRACT. The subalgebra of the tautological ring of the moduli of curves of compact type generated by the κ classes is studied. Relations, constructed via the virtual geometry of the moduli of stable maps, are used to prove universality results relating the κ rings in genus 0 to higher genus. Predictions for κ classes of the Gorenstein conjecture are proven.

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1. INTRODUCTION

1.1. κ classes. Let $\overline{M}_{g,n}$ be the moduli space of genus g, n-pointed stable curves. The κ classes in the Chow ring $A^*(\overline{M}_{g,n})$ with \mathbb{Q} -coefficients are defined by the following construction. Let

$$\epsilon: \overline{M}_{g,n+1} \to \overline{M}_{g,n}$$

be the universal curve viewed as the (n + 1)-pointed space, let

$$\mathbb{L}_{n+1} \to \overline{M}_{g,n+1}$$

be the line bundle obtained from the cotangent space of the last marking, and let

$$\psi_{n+1} = c_1(\mathbb{L}_{n+1}) \in A^1(\overline{M}_{g,n+1})$$

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be the Chern class. The κ classes are

$$\kappa_i = \epsilon_*(\psi_{n+1}^{i+1}) \in A^i(\overline{M}_{g,n}), \quad i \ge 0.$$

The simplest is κ_0 which equals 2g - 2 + n times the unit in $A^0(\overline{M}_{g,n})$.

The κ classes on the moduli space of curves of compact type

$$M_{g,n}^c\subset \overline{M}_{g,n}$$

are defined by restriction. The κ ring

$$\kappa^*(M^c_{g,n}) \subset A^*(M^c_{g,n}),$$

is the Q-subalgebra generated by the κ classes. The κ rings are graded by degree.

By the results of [11], $\kappa^*(M_{g,n}^c)$ is generated as a Q-algebra by

$$\kappa_1, \kappa_2, \ldots, \kappa_{g-1+\lfloor \frac{n}{2} \rfloor}.$$

Moreover, there are no relation of degree less than or equal to $g - 1 + \lfloor \frac{n}{2} \rfloor$ if n > 0.

1.2. Universality. Let x_1, x_2, x_3, \ldots be variables with x_i of degree i, and let

$$f \in \mathbb{Q}[x_1, x_2, x_3, \ldots]$$

be any graded homogeneous polynomial. The following universality property was stated in [11].

Theorem 1. If $f(\kappa_i) = 0 \in \kappa^*(M_{0,n}^c)$, then

$$f(\kappa_i) = 0 \in \kappa^*(M_{g,n-2g}^c)$$

for all genera g for which $n - 2g \ge 0$.

By Theorem 1, the higher genus κ rings are canonically quotients of the genus 0 rings,

$$\kappa^*(M_{0,2g+n}^c) \xrightarrow{\iota_{g,n}} \kappa^*(M_{g,n}^c) \to 0.$$

Theorem 1 is our main result here.

1.3. **Bases.** Let P(d) be the set of partitions of d, and let

$$P(d,k) \subset P(d)$$

be the set of partitions of d into at most k parts. Let |P(d, k)| be the cardinality. To a partition¹

$$\mathbf{p} = (p_1, \dots, p_\ell) \in P(d, k),$$

we associate a κ monomial by

$$\kappa_{\mathbf{p}} = \kappa_{p_1} \cdots \kappa_{p_\ell} \in \kappa^d(M_{q,n}^c)$$
.

In [11], two basic facts about the κ rings of the moduli space of curves of compact type are derived from Theorem 1:

• the canonical quotient,

$$\kappa^*(M_{0,2g+n}^c) \xrightarrow{\iota_{g,n}} \kappa^*(M_{g,n}^c) \to 0$$

is an isomorphism for n > 0,

• a \mathbb{Q} -basis of $\kappa^d(M_{g,n}^c)$ is given by

$$\{\kappa_{\mathbf{p}} \mid \mathbf{p} \in P(d, 2g - 2 + n - d)\}\$$

for n > 0.

The main tools used in [11] are the virtual geometry of the moduli space of stable quotients [9] and the intersection theory of strata classes in the tautological ring $R^*(M_{q,n}^c)$.

By Theorem 5 of [11], proven unconditionally,

$$\dim_{\mathbb{Q}} \kappa^{d}(M_{0,n}^{c}) = |P(d, n - 2 - d)| .$$

Hence, Theorem 1 is a consequence of the following result.

Proposition 1. The space of relations among κ monomials of degree d valid in all the rings

$$\{\kappa^*(M_{g,n}^c) \mid 2g - 2 + n = \zeta \}$$

is of rank at least $|P(d)| - |P(d, \zeta - d)|$.

Proposition 1 is proven in Sections 2 - 4 by constructing universal relations in $\kappa^*(M_{g,n}^c)$ via the virtual geometry of the moduli space of stable maps. The interplay between stable quotients and stable maps is an interesting aspect of the study of $\kappa^*(M_{g,n}^c)$.

¹The parts of **p** are positive and satisfy $p_1 \ge \ldots \ge p_\ell$.

1.4. Gorenstein conjecture. The rank g Hodge bundle over the moduli space of curves

$$\mathbb{E} \to \overline{M}_{g,n}$$

has fiber $H^0(C, \omega_C)$ over $[C, p_1, \ldots, p_n]$. Let

$$\lambda_k = c_k(\mathbb{E})$$

be the Chern classes. Since λ_g vanishes when restricted to

$$\delta_0 = \overline{M}_{g,n} \setminus M_{g,n}^c \; ,$$

we obtain a well-defined evaluation

$$\phi: A^*(M_{g,n}^c) \to \mathbb{Q}$$

given by integration

$$\phi(\gamma) = \int_{\overline{M}_{g,n}} \overline{\gamma} \cdot \lambda_g \;,$$

where $\overline{\gamma}$ is any lift of $\gamma \in A^*(M_{g,n}^c)$ to $A^*(\overline{M}_{g,n})$.

The tautological rings $R^*(M_{g,n}^c) \subset A^*(M_{g,n}^c)$ have been conjectured in [4, 10] to be Gorenstein algebras with socle in degree 2g - 3 + n,

$$\phi: R^{2g-3+n}(M^c_{g,n}) \xrightarrow{\sim} \mathbb{Q}$$
.

As a consequence of Theorem 1 and the intersection calculations of [11], we obtain the following result.

Theorem 2. If n > 0 and $\xi \in \kappa^d(M_{g,n}^c) \neq 0$, the linear function

$$L_{\xi}: R^{2g-3+n-d}(M^c_{g,n}) \to \mathbb{Q}$$

defined by the socle evaluation

$$L_{\xi}(\gamma) = \phi(\gamma \cdot \xi)$$

is non-trivial.

Theorem 2, discussed in Section 5.1, may be viewed as significant evidence for the Gorenstein conjecture for all $M_{g,n}^c$ with n > 0.

1.5. Acknowledgments. The results here on the κ rings were motivated by the study of stable quotients in [9]. Discussions with A. Marian and D. Oprea were very helpful. The methods developed with C. Faber in [5] played an important role.

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2.
$$\kappa$$
 and ψ

2.1. ψ classes. Consider the cotangent line classes

$$\psi_{n+1},\ldots,\psi_{n+\ell}\in A^1(M^c_{a,n+\ell})$$

at the last ℓ marked points. Let

$$\epsilon^c: M^c_{q,n+\ell} \to M^c_{q,n}$$

be the proper forgetful map. For each partition $\mathbf{p} \in P(d)$ of length ℓ , we associate the class

$$\epsilon^c_*\left(\psi_{n+1}^{1+p_1}\cdots\psi_{n+\ell}^{1+p_\ell}\right)\in A^d(M^c_{g,n})\ .$$

The relation between the above push-forwards of ψ monomials and the κ classes is easily obtained. For $\mathbf{p} = (d)$, we have

$$\epsilon^c_*(\psi_{n+1}^{1+d}) = \kappa_d$$

by definition. The standard cotangent line comparison formulas yield the length 2 case,

$$\epsilon_*^c(\psi_{n+1}^{1+p_1}\psi_{n+2}^{1+p_2}) = \kappa_{p_1}\kappa_{p_2} + \kappa_{p_1+p_2} \; .$$

The full formula, due to Faber, is

(1)
$$\epsilon^c_* \left(\psi_{n+1}^{1+p_1} \cdots \psi_{n+\ell}^{1+p_\ell} \right) = \sum_{\sigma \in S_\ell} \kappa_{\sigma(\mathbf{p})} ,$$

where the sum is over the symmetric group S_{ℓ} . For $\sigma \in S_{\ell}$, let

$$\sigma = \gamma_1 \dots \gamma_r$$

be the canonical cycle decomposition (including the 1-cycles), and let $\sigma(\mathbf{p})_i$ be the sum of the parts of \mathbf{p} with indices in the cycle γ_i . Then,

$$\kappa_{\sigma(\mathbf{p})} = \kappa_{\sigma(\mathbf{p})_1} \cdots \kappa_{\sigma(\mathbf{p})_r}$$
.

A discussion of (1) can be found in [1].

Lemma 1. The sets of classes in $A^d(M_{g,n}^c)$ defined by

$$\{ \epsilon^{c}_{*} \left(\psi_{n+1}^{1+p_{1}} \cdots \psi_{n+\ell}^{1+p_{\ell}} \right) \mid \mathbf{p} \in P(d) \} \quad and \quad \{ \kappa_{\mathbf{p}} \mid \mathbf{p} \in P(d) \}$$

are related by an invertible linear transformation independent of g and n.

Proof. Formula (1) defines a universal transformation independent of g and n. Since the transformation is triangular in the partial ordering of P(d) by length (with 1's on the diagonal), the invertibility is clear. \Box

2.2. Bracket classes. Let $\mathbf{p} \in P(d)$ be a partition of length ℓ . Let

(2)
$$\langle \mathbf{p} \rangle = \epsilon_*^c \left[\prod_{i=1}^{\ell} \frac{1}{1 - p_i \psi_{n+i}} \right]^{\ell+d} \in A^d(M_{g,n}^c)$$

The superscript in the inhomogeneous expression $\left[\prod_{i=1}^{\ell} \frac{1}{1-p_i\psi_{n+i}}\right]^{\ell+d}$ indicates the summand in $A^{\ell+d}(M_{g,n+\ell}^c)$.

.

We can easily expand definition (2) to express the class $\langle \mathbf{p} \rangle$ linearly in terms of the classes

$$\{ \epsilon^c_* \left(\psi_{n+1}^{1+p_1} \cdots \psi_{n+\ell}^{1+p_\ell} \right) \mid \mathbf{p} \in P(d) \}.$$

Since the string and dilation equation must be used to remove the ψ_{n+i}^0 and ψ_{n+i}^1 factors, the transformation depends upon g and n only through 2g - 2 + n.

Lemma 2. The sets of classes in $A^d(M_{a,n}^c)$ defined by

$$\{ \langle \mathbf{p} \rangle \mid \mathbf{p} \in P(d) \} \quad and \quad \{ \epsilon_*^c \left(\psi_{n+1}^{1+p_1} \cdots \psi_{n+\ell}^{1+p_\ell} \right) \mid \mathbf{p} \in P(d) \}$$

are related by an invertible linear transformation depending only upon 2g-2+n.

Proof. Only the invertibility remains to be established. The result exactly follows from the proof of Proposition 3 in [5]. \Box

By Lemma 1 and 2, the bracket classes lie in the κ ring,

$$\langle \mathbf{p} \rangle \in \kappa^d(M_{q,n}^c)$$

We will prove Proposition 1 in the following equivalent form.

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Proposition 2. The space of relations among the classes

 $\{ \langle \mathbf{p} \rangle \mid \mathbf{p} \in P(d) \}$

valid in all the rings

$$\{\kappa^*(M_{g,n}^c) \mid 2g - 2 + n = \zeta \}$$

is of rank at least $|P(d)| - |P(d, \zeta - d)|$.

3. Relations via stable maps

3.1. Moduli of stable maps. Let $\overline{M}_{g,n+m}(\mathbb{P}^1, d)$ denote the moduli of stable maps² to \mathbb{P}^1 of degree d, and let

$$\nu: \overline{M}_{g,n+m}(\mathbb{P}^1, d) \to \overline{M}_{g,r}$$

be the morphism forgetting the map and the last m markings. The moduli space

$$M^c_{g,n+m}(\mathbb{P}^1,d) \subset \overline{M}_{g,n+m}(\mathbb{P}^1,d)$$

is defined by requiring the domain curve to be of compact type. The restriction

$$\nu^c: M^c_{g,n+m}(\mathbb{P}^1, d) \to M^c_{g,m}$$

is proper and equivariant with respect to the symmetries of \mathbb{P}^1 .

We will find relations in $A^*(M_{g,n}^c)$ by localizing ν^c push-forwards which vanish geometrically. A complete analysis in the socle $A^{2g-3}(M_g^c)$ was carried out in [5], but much more will be required for Theorem 1. While the relations in $A^*(M_{g,n}^c)$ via stable quotients [11] are more elegantly expressed, the ranks of the relations via stable maps appear easier to compute.

3.2. Relations.

3.2.1. Indexing. Let $d \leq 2g - 3 + n$, and let

$$\delta = 2g - 3 + n - d$$

We will construct a series of relations $I(g, d, \alpha)$ in $A^d(M_{g,n}^c)$ where

$$\alpha = (\alpha_1, \ldots, \alpha_m)$$

is a (non-empty) vector of non-negative integers satisfying two conditions:

(i) $|\alpha| = \sum_{i=1}^{m} \alpha_i \le d - 2 - \delta$,

²Stable maps were defined in [8], see [6] for an introduction.

(ii) $\alpha_i > 0$ for i > 1.

By condition (i), $d - 2 - \delta \ge 0$ so

$$d > g - 1 + \lfloor \frac{n}{2} \rfloor \; .$$

Condition (ii) implies α_1 is the only integer permitted to vanish. The relation $I(g, d, \alpha)$ will be a variant of the equations considered in [5].

3.2.2. Formulas. Let Γ denote the data type

(3)
$$(p_1, \ldots, p_m) \cup \{p_{m+1}, \ldots, p_\ell\},\$$

satisfying

$$p_i > 0, \quad \sum_{i=1}^{\ell} p_i = d.$$

The first part of Γ is an ordered *m*-tuple (p_1, \ldots, p_m) . The second part $\{p_{m+1}, \ldots, p_\ell\}$ is an unordered set. Let $\operatorname{Aut}(\{p_{m+1}, \ldots, p_\ell\})$ be the group which permutes equal parts. The group of automorphisms $\operatorname{Aut}(\Gamma)$ equals $\operatorname{Aut}(\{p_{m+1}, \ldots, p_\ell\})$.

Theorem 3. For all α satisfying (*i*-*ii*),

$$\sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_i^{-\alpha_i} \prod_{i=m+1}^{\ell} (-p_i)^{-1} \prod_{j=1}^{\ell} \frac{p_i^{p_i}}{p_i!} \langle p_1, \dots, p_\ell \rangle$$
$$= 0 \in A^d(M_{g,n}^c),$$

where the sum is over all Γ of type (3).

The bracket $\langle p_1, \ldots, p_\ell \rangle \in A^d(M_{g,n}^c)$ denotes the class associated to the partition defined by the union of all the parts p_i of Γ .

3.3. Proof of Theorem 3.

3.3.1. *Torus actions.* The first step is to define the appropriate torus actions. Let

$$\mathbb{P}^1 = \mathbb{P}(V)$$

where $V = \mathbb{C} \oplus \mathbb{C}$. Let \mathbb{C}^* act diagonally on V:

(4)
$$\xi \cdot (v_1, v_2) = (v_1, \xi \cdot v_2)$$

Let $\mathbf{p}_1, \mathbf{p}_2$ be the fixed points [1, 0], [0, 1] of the corresponding action on $\mathbb{P}(V)$. An equivariant lifting of \mathbb{C}^* to a line bundle L over $\mathbb{P}(V)$ is

uniquely determined by the weights $[l_1, l_2]$ of the fiber representations at the fixed points

$$L_1 = L|_{\mathbf{p}_1}, \quad L_2 = L|_{\mathbf{p}_2}.$$

The canonical lifting of \mathbb{C}^* to the tangent bundle $T_{\mathbb{P}}$ has weights [1, -1]. We will utilize the equivariant liftings of \mathbb{C}^* to $\mathcal{O}_{\mathbb{P}(V)}(1)$ and $\mathcal{O}_{\mathbb{P}(V)}(-1)$ with weights [1, 0], [0, 1] respectively.

Over the moduli space of stable maps $\overline{M}_{q,n+m}(\mathbb{P}(V),d)$, we have

$$\pi: U \to \overline{M}_{g,n+m}(\mathbb{P}(V), d), \quad \mu: U \to \mathbb{P}(V)$$

where U is the universal curve and μ is the universal map. The representation (4) canonically induces \mathbb{C}^* -actions on U and $\overline{M}_{g,n+m}(\mathbb{P}(V), d)$ compatible with the maps π and μ . The \mathbb{C}^* -equivariant virtual class

$$[\overline{M}_{g,n+m}(\mathbb{P}(V),d)]^{vir} \in A_{2g+2d-2+n+m}^{\mathbb{C}^*}(\overline{M}_{g,n+m}(\mathbb{P}(V),d))$$

will play an important role.

3.3.2. Equivariant classes. Three types of equivariant Chow classes on $\overline{M}_{g,n+m}(\mathbb{P}(V),d)$ will be considered here:

• The linearization [0,1] on $\mathcal{O}_{\mathbb{P}(V)}(-1)$ defines an \mathbb{C}^* -action on the rank d+g-1 bundle

$$\mathbb{R} = R^1 \pi_* (\mu^* \mathcal{O}_{\mathbb{P}(V)}(-1))$$

on $\overline{M}_{g,n+m}(\mathbb{P}(V),d)$. Let

$$c_{top}(\mathbb{R}) \in A^{g+d-1}_{\mathbb{C}^*}(\overline{M}_{g,n+m}(\mathbb{P}(V),d))$$

be the top Chern class.

- For each marking i, let $\psi_i \in A^1_{\mathbb{C}^*}(\overline{M}_{g,n+m}(\mathbb{P}(V), d)$ denote the first Chern class of the canonically linearized cotangent line corresponding to i.
- Denote the i^{th} evaluation morphism by

$$\operatorname{ev}_i : \overline{M}_{g,n+m}(\mathbb{P}(V), d) \to \mathbb{P}(V).$$

With \mathbb{C}^* -linearization [1,0] on $\mathcal{O}_{\mathbb{P}(V)}(1)$, let

$$\rho_i = c_1(\mathrm{ev}_i^* \mathcal{O}_{\mathbb{P}(V)}(1)) \in A^1_{\mathbb{C}^*}(\overline{M}_{g,n+m}(\mathbb{P}(V),d) .$$

With \mathbb{C}^* -linearization [0, -1] on $\mathcal{O}_{\mathbb{P}(V)}(1)$, let

$$\widetilde{\rho}_i = c_1(\mathrm{ev}_i^*\mathcal{O}_{\mathbb{P}(V)}(1)) \in A^1_{\mathbb{C}^*}(\overline{M}_{g,n+m}(\mathbb{P}(V),d))$$

In the non-equivariant limit, $\rho_i^2 = 0$. Our notation here closely follows [5].

3.3.3. Vanishing integrals. The forgetful morphism

$$\nu: \overline{M}_{g,n+m}(\mathbb{P}(V),d) \to \overline{M}_{g,n}$$

is \mathbb{C}^* -equivariant with respect to the trivial action on $\overline{M}_{g,n}$. As in Section 3.2.1, let

$$d \le 2g - 3 + n, \quad \delta = 2g - 3 + n - d,$$

and let $\alpha = (\alpha_1, \ldots, \alpha_m)$ satisfy

(i)
$$|\alpha| = \sum_{i=1}^{m} \alpha_i \le d - 2 - \delta_i$$

(ii) $\alpha_i > 0$ for $i > 1$.

Let $I(g, d, \alpha)$ be the \mathbb{C}^* -equivariant push-forward

$$\nu_* \left(\rho_{n+1}^{d-1-\delta-|\alpha|} \prod_{i=1}^m \rho_{n+i} \psi_{n+i}^{\alpha_i} \prod_{j=1}^n \widetilde{\rho}_j \ c_{top}(\mathbb{R}) \ \cap \ [\overline{M}_{g,n+m}(\mathbb{P}(V),d)]^{vir} \right)$$

The degree of the class

$$\rho_{n+1}^{d-1-\delta-|\alpha|} \prod_{i=1}^m \rho_{n+i}\psi_{n+i}^{\alpha_i} \prod_{j=1}^n \widetilde{\rho}_j \ c_{top}(\mathbb{R})$$

is easily computed to be

$$\begin{aligned} d - 1 - \delta - |\alpha| + m + |\alpha| + n + d + g - 1 = \\ g + 2d - 2 + n + m - \delta \ . \end{aligned}$$

Since the cycle dimension of the virtual class is 2g + 2d - 2 + n + m, the push-forward $I(g, d, \alpha)$ has cycle dimension

$$2g + 2d - 2 + n + m - (g + 2d - 2 + n + m - \delta) = g + \delta$$

= 3g - 3 + n - d.

Equivalently, $I(g, d, \alpha) \in A^d_{\mathbb{C}^*}(\overline{M}_{g,n})$. Since the class ρ_{n+1} appears with exponent

$$d - \delta - |\alpha| \ge 2,$$

 $I(g,d,\alpha)$ vanishes in the non-equivariant limit.

3.3.4. Localization terms. The virtual localization formula of [7] calculates $I(g, d, \alpha)$ in terms of tautological classes on the moduli space $\overline{M}_{g,n}$. To prove Theorem 3, we will calculate the restriction of the localization formula to $M_{g,n}^c$.

The localization formula expresses $I(g, d, \alpha)$ as a sum over connected decorated graphs Γ indexing the \mathbb{C}^* -fixed loci of $\overline{M}_{g,n+m}(\mathbb{P}(V), d)$. The vertices of the graphs lie over the fixed points $\mathbf{p}_1, \mathbf{p}_2 \in \mathbb{P}(V)$ and are labelled with genera (which sum over the graph to $g - h^1(\Gamma)$). The edges of the graphs lie over \mathbb{P}^1 and are labelled with degrees (which sum over the graph to d). Finally, the graphs carry n + m markings on the vertices. The valence $\operatorname{val}(v)$ of a vertex $v \in \Gamma$ counts both the incident edges and markings. The edge valence of v counts only the incident edges.

Only a very restricted subset of graphs will yield non-vanishing contributions to $I(g, d, \alpha)$ in the non-equivariant limit. If a graph Γ contains a vertex lying over \mathbf{p}_1 of edge valence greater than 1, then the contribution of Γ to vanishes by our choice of linearization on the bundle \mathbb{R} . A vertex over \mathbf{p}_1 of edge valence greater than 1 yields a trivial Chern root of \mathbb{R} (with trivial weight 0) in the numerator of the localization formula to force the vanishing.

By the above vanishing, only *comb* graphs Γ contribute to $I(g, d, \alpha)$. Comb graphs contain $\ell \leq d$ vertices lying over \mathbf{p}_1 each connected by a distinct edge to a unique vertex lying over \mathbf{p}_2 .

If Γ contains a vertex over \mathbf{p}_1 of positive genus, then the restriction to $M_{g,n}^c$ of the contribution of Γ to $I(g, d, \alpha)$ vanishes by the following argument. Let v be a genus g(v) > 0 vertex lying over \mathbf{p}_1 . The integrand term $c_{top}(\mathbb{R})$ yields a factor $c_{g(v)}(\mathbb{E}^*)$ with trivial \mathbb{C}^* -weight on the genus g(v) moduli space corresponding to the vertex v. Since

$$\lambda_{g(v)}|_{M^c_{g(v),\operatorname{val}(v)}} = 0$$

by [12], the required vanishing holds.

The linearizations of the classes ρ_i and $\tilde{\rho}_j$ place restrictions on the marking distribution. Since the class $\tilde{\rho}_j$ is obtained from $\mathcal{O}_{\mathbb{P}(V)}(1)$ with linearization [0, -1], the first *n* markings must lie on the unique vertex over over \mathbf{p}_2 . Since the class ρ_i is obtained from $\mathcal{O}_{\mathbb{P}(V)}(1)$ with linearization [1, 0], the last *m* markings must lie on vertices over \mathbf{p}_1 .

Finally, we claim the last m markings of Γ must lie on distinct vertices over \mathbf{p}_1 for nonvanishing contribution to $I(g, d, \alpha)$. Let v be a vertex over \mathbf{p}_1 (with g(v) = 0). If v carries at least two markings, the fixed locus corresponding to Γ contains a product factor $\overline{M}_{0,r+1}$ where r is the number of markings incident to v. The classes $\psi_{n+i}^{\alpha_i}$ carry trivial \mathbb{C}^* -weight. Moreover, as each $\alpha_i > 0$ for i > 1, we see the sum of the α_i as i ranges over the set of markings incident to v is at least r - 1. Since the sum exceeds the dimension of $\overline{M}_{0,r+1}$, the graph contribution to $I(g, d, \alpha)$ vanishes.

The proof of the main result about the localization terms for $I(g, d, \alpha)$ is now complete.

Proposition 3. The restriction of $I(g, d, \alpha)$ to $M_{g,n}^c$ is expressed via the virtual localization formula as a sum over genus g, degree d, marked comb graphs Γ satisfying:

- (i) all vertices over p_1 are of genus 0,
- (ii) the unique vertex over p_2 carries all of the first n markings,
- (iii) the last m markings all lie over p_1 ,
- (iv) each vertex over p_1 carries at most 1 of the last m markings.

3.3.5. Formulas. The precise contributions of allowable graphs Γ to the non-equivariant limit of $I(g, d, \alpha)$ are now calculated.

Let Γ be a genus g, degree d, comb graph with n + m markings satisfying conditions (i-iv) of Proposition 3. By condition (iv), Γ must have $\ell \geq m$ edges. Γ may be described uniquely by the data

(5)
$$(p_1,\ldots,p_m)\cup\{p_{m+1},\ldots,p_\ell\},$$

satisfying:

$$p_i > 0, \quad \sum_{i=1}^{\ell} p_i = d.$$

The elements of the ordered *m*-tuple (p_1, \ldots, p_m) correspond to the degree assignments of the edges incident to the vertices marked by the last *m* markings. The elements of the unordered partition $\{p_{m+1}, \ldots, p_\ell\}$ correspond to the degrees of edges incident to the unmarked vertices over \mathbf{p}_1 . The group of graph automorphisms is

$$\operatorname{Aut}(\Gamma) = \operatorname{Aut}(\{p_{m+1}, \ldots, p_{\ell}\}) .$$

By a direct application of the virtual localization formula of [7], we find the contribution of the graph (5) to the normalized³ push-forward

$$(-1)^{g+1+|\alpha|+n+m} \cdot I(g,d,\alpha)$$

equals

$$\frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_i^{-\alpha_i} \prod_{i=m+1}^{\ell} (-p_i)^{-1} \prod_{i=1}^{\ell} \frac{p_i^{p_i}}{p_i!} \langle p_1, \dots, p_{\ell} \rangle .$$

Hence, the vanishing of $I(g, d, \alpha)$ yields the relation

$$\sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} \prod_{i=1}^{m} p_i^{-\alpha_i} \prod_{i=m+1}^{\ell} (-p_i)^{-1} \prod_{i=1}^{\ell} \frac{p_i^{p_i}}{p_i!} \langle p_1, \dots, p_{\ell} \rangle = 0 ,$$

where the sum is over all graphs (5).

Question 1. Are the relations of Theorem 3 equivalent to relations constructed in Section 3 of [9] via stable quotients?

4. Rank analysis

4.1. Matrix of relations. Theorem 3 yields relations in $\kappa^d(M_{g,n}^c)$, indexed by $\alpha = (\alpha_1, \ldots, \alpha_m)$ satisfying conditions (i-ii) of Section 3.2.1 with

$$\delta = 2g - 3 + n - d \ge 0.$$

We rewrite the relation obtained from the vanishing of $I(g, d, \alpha)$ as

(6)
$$\sum_{\mathbf{p}\in P(d)} \mathsf{C}^{\mathbf{p}}_{\alpha} \langle \mathbf{p} \rangle = 0 \; .$$

The coefficients are

$$C^{\mathbf{p}}_{\alpha} = \frac{1}{|\text{Aut}(\mathbf{p})|} \prod_{i=1}^{\ell} \frac{p_i^{p_i}}{p_i!} \sum_{\phi} \prod_{i=1}^{m} p_{\phi(i)}^{-\alpha_i} \prod_{j \in \text{Im}(\phi)^c} (-p_j)^{-1}$$

where the sum is over all injections

$$\phi: \{1, \dots, m\} \to \{1, \dots, \ell\}$$

and

$$\operatorname{Im}(\phi)^c \subset \{1, \dots, \ell\}$$

is the complement of the image of ϕ .

³The parallel equation on page 106 of [5] has a sign error in the normalization. Instead of $(-1)^{g+1}I(g, d, \alpha)$ there, the normalization should be $(-1)^{g+1+|\alpha|+\ell(\alpha)}I(g, d, \alpha)$. The sign change makes no difference.

To prove Proposition 2, we will show the system (6) is of rank at least $|P(d)| - |P(d, \delta + 1)|$. The claim is empty unless $0 \le \delta \le d - 2$.

4.2. Ordering. For $0 \le \delta \le d-2$, define the subset $P_{\delta}(d) \subset P(d)$ by removing partitions of length at most $\delta + 1$,

$$P_{\delta}(d) = P(d) \setminus P(d, \delta + 1)$$

We order $P_{\delta}(d)$ by the following rules

- longer partitions appear before shorter partitions,
- for partitions of the same length, we use the lexicographic ordering with larger parts⁴ appearing before smaller parts.

For example, the ordered list of the 10 elements of $P_0(6)$ is

 $(1^6), (2, 1^4), (3, 1^3), (2^2, 1^2), (4, 1^2), (3, 2, 1), (2^3), (5, 1), (4, 2), (3, 3).$

Given a partition $\mathbf{p} \in P(d)$, let $\hat{\mathbf{p}}$ be the partition obtained removing all parts equal to 1. For example,

$$(1^{6}) = \emptyset, \ (3, 2, 1) = (3, 2) .$$

Let \mathbf{p}^- be the partition obtained by lowering all the parts of \mathbf{p} by 1,

$$(1^6)^- = \emptyset, \ (3,2,1)^- = (2,1)$$

If **p** has length ℓ , then

$$\mathbf{p}^- \in P(d-\ell).$$

To each partition $\mathbf{p} \in P_{\delta}(d)$, we associate data $\alpha[\mathbf{p}]$ satisfying conditions (i)-(ii) with respect to δ by the following rules. The special designation

$$\alpha[(1^d)] = (0)$$

is given. Otherwise

$$\alpha[\mathbf{p}] = \mathbf{p}^-$$

We note condition (i) of Section 3.2.1,

$$|\alpha[\mathbf{p}]| \le d - 2 - \delta \; ,$$

is satisfied in all cases.

Let $M_{\delta}(d)$ be the square matrix indexed by the ordered set $P_{\delta}(d)$ with elements

$$M_{\delta}(d)[\mathbf{p},\mathbf{q}] = \mathsf{C}^{\mathbf{q}}_{\alpha[\mathbf{p}]}$$

⁴Remember the parts of $\mathbf{p} = (p_1, \ldots, p_\ell)$ are ordered by $p_1 \ge \ldots \ge p_\ell$.

The rank of the system (6) is at least

$$|P_{\delta}(d)| = |P(d)| - |P(d, \delta + 1)|$$

by the following nonsingularity result proven in Sections 4.3 - 4.6 below.

Proposition 4. For $0 \le \delta \le d-2$, the matrix $M_{\delta}(d)$ is nonsingular.

Proposition 4 implies Proposition 2 and thus Theorem 1. Moreover, Proposition 4 provides a new approach to [5].

4.3. Scaling. Let $X_{\delta}(d)$ be the square matrix indexed by the ordered set $P_{\delta}(d)$ with elements

$$\begin{aligned} \mathsf{X}_{\delta}(d)[(1)^{d},\mathbf{q}] &= (-1)^{\ell(\mathbf{q})-1}d \\ \mathsf{X}_{\delta}(d)[\mathbf{p}\neq(1)^{d},\mathbf{q}] &= \sum_{\phi} (-1)^{\ell(\mathbf{q})-\ell(\widehat{\mathbf{p}})} \prod_{i=1}^{\ell(\widehat{\mathbf{p}})} q_{\phi(i)}^{-\widehat{p}_{i}+2} , \end{aligned}$$

where the sum is over all injections

$$\phi: \{1, \ldots, \ell(\widehat{\mathbf{p}})\} \to \{1, \ldots, \ell(\mathbf{q})\}$$
.

For example, $X_0(6)$ is

The matrix $X_{\delta}(d)$ is obtained from $M_{\delta}(d)$ by dividing each column corresponding to **q** by

$$\frac{1}{|\operatorname{Aut}(\mathbf{q})|} \prod_{i=1}^{\ell(\mathbf{q})} \frac{q_i^{q_i-1}}{q_i!}$$

Hence, $X_{\delta}(d)$ is nonsingular if and only if $M_{\delta}(d)$ is nonsingular.

4.4. Elimination. Our strategy for proving Proposition 4 is to find an upper-triangular square matrix $Y_0(d)$ for which the product

(7)
$$\mathsf{X}_0(d) \cdot \mathsf{Y}_0(d)$$

is lower-triangular with ± 1 's on the diagonal. Since $X_{\delta}(d)$ for

$$0 \le \delta \le d-2$$

occurs as an upper left minor of $X_0(d)$, the lower-triangularity of the product (7) will establish Proposition 4 for the full range of δ values.

We define $Y_0(d)$ to be the square matrix indexed by the ordered set $P_0(d)$ given by the following rules. The upper left corner is

$$\mathsf{Y}_0(d)[(1^d), (1^d)] = rac{1}{d}$$

If at least one of $\{\mathbf{p}, \mathbf{q}\}$ is not equal to (1^d) , then the matrix elements are

$$\mathbf{Y}_{0}(d)[\mathbf{p},\mathbf{q}] = \frac{1}{|\operatorname{Aut}(\mathbf{p})|} \frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\theta} \prod_{i=1}^{\ell(\mathbf{q})} {q_{i} \choose p_{i[1]}, \dots, p_{i[\ell_{i}]}} q_{i}^{\ell_{i}-2} \prod_{j=1}^{\ell_{i}} p_{ij}^{p_{ij}-1} ,$$

where the sum is over all functions

$$\theta: \{1, \ldots, \ell(\mathbf{p})\} \to \{1, \ldots, \ell(\mathbf{q})\}$$

with

$$\theta^{-1}(i) = \{i[1], \dots, i[\ell_i]\}$$

satisfying

$$q_i = \sum_{j=1}^{\ell_i} p_{i[j]}$$

For example, $Y_0(6)$ is

($\frac{1}{6}$	1	3	$\frac{1}{2}$	16	3	$\frac{1}{6}$	125	16	$\frac{9}{2}$	
	0	1	6	1	48	9	$\frac{1}{2}$	500	64	18	
	0	0	3	0	36	3	0	450	36	9	
	0	0	0	$\frac{1}{2}$	12	6	$\frac{1}{2}$	300	60	18	
	0	0	0	0	16	0	0	320	16	0	
	0	0	0	0	0	3	0	180	36	18	
	0	0	0	0	0	0	$\frac{1}{6}$	0	12	0	
	0	0	0	0	0	0	0	125	0	0	
	0	0	0	0	0	0	0	0	16	0	
	0	0	0	0	0	0	0	0	0	$\frac{9}{2}$	

By the conditions on θ in the definition, $Y_0(d)$ is easily seen to be upper-triangular.

4.5. Generating functions. Let $\mathbb{Q}[t]$ denote the polynomial ring in infinitely many variables

$$t = \{t_1, t_2, t_3, \ldots\}$$
.

Define a $\mathbb Q\text{-linear}$ function

$$\langle \rangle : \mathbb{Q}[t] \to \mathbb{Q}$$

by the equations $\langle 1 \rangle = 1$ and

$$\langle t_{d_1} t_{d_2} \cdots t_{d_k} \rangle = (d_1 + d_2 + \ldots + d_k)^{k-3}$$

We may extend $\langle \rangle$ uniquely to define a x-linear function:

$$\langle \rangle : \mathbb{Q}[t][[x]] \to \mathbb{Q}[[x]]$$

For each non-negative integer i, let

$$Z_i(t,x) = \sum_{j>0} x^j t_j \frac{j^{j-i}}{j!} \in \mathbb{Q}[t][[x]].$$

Applying the bracket, we define

$$\mathsf{F}_{\alpha_1,\dots,\alpha_m} = \langle \exp(-Z_1) \cdot Z_{\alpha_1} \cdots Z_{\alpha_m} \rangle \in \mathbb{Q}[[x]]$$

Lemma 3. Let $\alpha = (\alpha_1, \ldots, \alpha_n)$ be a non-empty sequence of nonnegative integers satisfying $\alpha_i > 0$ for i > 1. The series

$$\mathsf{F}_{\alpha_1,\ldots,\alpha_m} \in \mathbb{Q}[[x]]$$

is a polynomial of degree at most $1 + \sum_{i=1}^{m} \alpha_i$ in x.

Lemma 4. Let $\alpha_1 \geq 0$. Then,

$$\mathsf{F}_{\alpha_1} = \frac{(-1)^{\alpha_1}}{(1+\alpha_1)(1+\alpha_1)!} x^{1+\alpha_1} + \dots$$

where the dots stand for lower order terms.

Lemma 3 can be proven by various methods. A proof via localization on moduli space is given in [5] in Section 1.7. \Box

Lemma 4 is more interesting. The integral

(8)
$$J_{1+\alpha_1} = \int_{\overline{M}_{0,1}(\mathbb{P}^1, 1+\alpha_1)} \rho_1 \psi_1^{\alpha_1} c_{top}(\mathbb{R})$$

can be evaluated by exactly following ^5 the localization analysis of Section 3.3. We find

$$J_{1+\alpha_1} = (-1)^{\alpha_1} \sum_{\Gamma} \frac{1}{|\operatorname{Aut}(\Gamma)|} p_1^{-\alpha_1} \prod_{i=2}^{\ell} (-p_i)^{-1} \prod_{i=1}^{\ell} \frac{p_i^{p_i}}{p_i!} (1+\alpha_1)^{\ell-3}$$

where the sum is over all 1-pointed comb graphs (5) of total degree $1 + \alpha_1$. We conclude $J_{1+\alpha_1}$ equals, up to the factor of $(-1)^{\alpha_1}$, the leading $x^{1+\alpha_1}$ coefficient of $\langle \exp(-Z_1) \cdot Z_{\alpha_1} \rangle$.

To calculate the integral (8), we use well-known equations in Gromov-Witten theory. Certainly

(9)
$$J_1 = 1$$
.

By two applications of the divisor equation,

$$k^{2}J_{k} = \int_{\overline{M}_{0,3}(\mathbb{P}^{1},k)} \rho_{1}\psi_{1}^{k-1}\rho_{2}\rho_{3} \ c_{top}(\mathbb{R})$$

By the topological recursion relation [2] applied to the right side,

$$k^{2}J_{k} = \int_{\overline{M}_{0,2}(\mathbb{P}^{1},k-1)} \rho_{1}\psi_{1}^{k-2}\rho_{2} c_{top}(\mathbb{R}) \cdot \int_{\overline{M}_{0,3}(\mathbb{P}^{1},1)} \rho_{1}\rho_{2}\rho_{3} c_{top}(\mathbb{R}) .$$

We obtain the recursion

$$k^2 J_k = (k-1)J_{k-1}J_1$$

= $(k-1)J_{k-1}$

which we can easily solve

$$J_k = \frac{1}{k \cdot k!}$$

⁵The equivariant lifts are taken just as in Section 3.3.2.

starting with the initial condition (9).

The case where the α data is empty will arise naturally. We define

$$\mathsf{F}_{\emptyset} = \langle \exp(-Z_1) \rangle.$$

The following result is derived from Lemma 3 by the relation

$$x\frac{d}{dx}\mathsf{F}_{\emptyset} = -\mathsf{F}_{0}$$

Lemma 5. $F_{\emptyset} = 1 - x$.

4.6. **Product.** We will now prove the basic identity

(10)
$$\mathsf{X}_0(d) \cdot \mathsf{Y}_0(d) = \mathsf{L}_0(d)$$

where $L_0(d)$ is lower triangular with diagonal entries all ± 1 .

We first address the special upper left corner. The product on the left side of (10) is

$$\mathsf{L}_{0}(d)[(1^{d}),(1^{d})] = (-1)^{d-1}d \cdot \frac{1}{d} = (-1)^{d-1} ,$$

a diagonal entry of the specified form.

Next assume $\mathbf{p} \neq (1^d)$. Then, the matrix elements are

(11)
$$\mathsf{L}_{0}(d)[\mathbf{p},\mathbf{q}] = \frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\gamma} \prod_{i=1}^{\ell(\mathbf{q})} \operatorname{Coeff}(F_{\gamma^{-1}(i)}, x^{q_{i}}) q_{i} q_{i}! ,$$

where the sum is over all functions

$$\gamma: \{1, \dots, \ell(\widehat{\mathbf{p}})\} \to \{1, \dots, \ell(\mathbf{q})\}$$

In case $\gamma^{-1}(i) = \{i[1], \dots, i[\ell_i]\}$ is nonempty, we define

$$\mathsf{F}_{\gamma^{-1}(i)} = \mathsf{F}_{\widehat{p}_{i[1]}-1,...,\widehat{p}_{i[\ell_i]}-1}$$
.

If $\gamma^{-1}(i) = \emptyset$, then

$$\mathsf{F}_{\emptyset} = \langle \exp(-Z_1) \rangle = 1 - x.$$

Equation (11) is obtained from a simple unravelling of the definitions.

If $q_i > 1$, Coeff $(F_{\gamma^{-1}(i)}, x^{q_i})$ vanishes unless $\gamma^{-1}(i)$ is nonempty by Lemma 5 and unless

(12)
$$q_i \le 1 - \ell_i + \sum_{j=1}^{\ell_i} \widehat{p}_{i[j]}$$

by Lemma 3. Inequality (12) for all parts $q_i > 1$ implies

$$\ell(\mathbf{q}) \geq \ell(\mathbf{p})$$
.

Moreover, if equality of length holds, then inequality (12) implies either \mathbf{q} precedes \mathbf{p} in the ordering of $P_0(d)$ or $\mathbf{q} = \mathbf{p}$.

We conclude the matrix $L_0(d)$ is lower-triangular when the first coordinate **p** is not $(1)^d$. The diagonal elements for $\mathbf{p} \neq (1^d)$ are

$$\mathsf{L}_0(d)[\mathbf{p},\mathbf{p}] = \prod_{i=1}^{\ell(\widehat{\mathbf{p}})} (-1)^{\widehat{p}_i - 1} \cdot (-1)^{\ell(\mathbf{p}) - \ell(\widehat{\mathbf{p}})}$$

by Lemmas 4 and 5.

To complete the proof of the lower-triangularity of $L_0(d)$, we must show the vanishing of $L_0(d)[(1^d), \mathbf{q} \neq (1^d)]$. The matrix elements are

$$\mathsf{L}_{0}(d)[(1^{d}),\mathbf{q}\neq(1^{d})] = \frac{1}{|\operatorname{Aut}(\widehat{\mathbf{q}})|} \sum_{\widetilde{\gamma}} \prod_{i=1}^{\ell(\mathbf{q})} \operatorname{Coeff}(\widetilde{F}_{\widetilde{\gamma}^{-1}(i)}, x^{q_{i}}) q_{i} q_{i}! ,$$

where the sum is over all functions

$$\tilde{\gamma}: \{1\} \to \{1, \ldots, \ell(\mathbf{q})\}$$
.

In case $\tilde{\gamma}^{-1}(i) = \{1\}$ is nonempty, we define

$$\mathsf{F}_{\tilde{\gamma}^{-1}(i)} = \mathsf{F}_0$$

If $\tilde{\gamma}^{-1}(i) = \emptyset$, then

$$\widetilde{\mathsf{F}}_{\emptyset} = \langle \exp(-Z_1) \rangle = 1 - x.$$

Let $q_1 > 1$ be the largest part of **q**. Then

$$\operatorname{Coeff}(\widetilde{F}_{\tilde{\gamma}^{-1}(1)}, x^{q_1}) = 0$$

by Lemmas 3 and 5. Hence,

$$\mathsf{L}_0(d)[(1^d), \mathbf{q} \neq (1^d)] = 0,$$

and the lower-triangularity of $L_0(d)$ is fully proven.

The proof of Proposition 4 is complete. Following the implications back, the proof of Theorem 1 is also complete. \Box

Since we know explicitly the diagonal elements of the triangular matrices $Y_0(d)$ and $L_0(d)$, the product

$$\mathsf{X}_0(d) \cdot \mathsf{Y}_0(d) = \mathsf{L}_0(d)$$

yields a simple formula for the determinant,

$$\det(\mathsf{X}_{0,d}) = (-1)^{d-1} \prod_{\mathbf{p} \in P_0(d) \setminus \{(1^d)\}} \left(\frac{|\operatorname{Aut}(\widehat{\mathbf{p}})|}{\prod_{i=1}^{\ell(\mathbf{p})} p_i^{p_i-2}} (-1)^{\ell(\mathbf{p})} \prod_{i=1}^{\ell(\widehat{\mathbf{p}})} (-1)^{\widehat{p}_i} \right) .$$

5. Gorenstein Conjecture

5.1. Proof of Theorem 2. If n > 0, the pairing

$$\kappa^d(M^c_{g,n}) \times R^{2g-3+n-d}(M^c_{g,n}) \to \mathbb{Q}$$

is shown to have rank at least |P(d, 2g - 2 + n - d)| in Section 6.3 of [11]. Since

$$\dim_{\mathbb{Q}} \kappa^d(M_{g,n}^c) = |P(d, 2g - 2 + n - d)|$$

by Theorem 1 and [11], Theorem 2 follows.

5.2. Further directions. Perhaps the universality of Theorem 1 extends to larger subrings of $R^*(M_{g,n}^c)$. A natural place to start is the ring

$$S^*(M^c_{q,n}) \subset R^*(M^c_{q,n})$$

generated by all the κ and ψ classes.

Question 2. Is $S^*(M_{g,n}^c)$ canonically a subring of $S^*(M_{0,2g+n}^c)$?

At least the condition n > 0 must be imposed in Question 2. How to include the strata classes in a universality statement is not clear.

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