

Algebraic Curves, Hurwitz Numbers,  
and Meromorphic Differentials

UIC Colloquium

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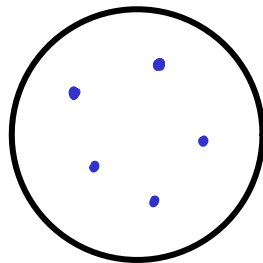
ETHZ

Hurwitz proposed (around 1900)

the following problem:

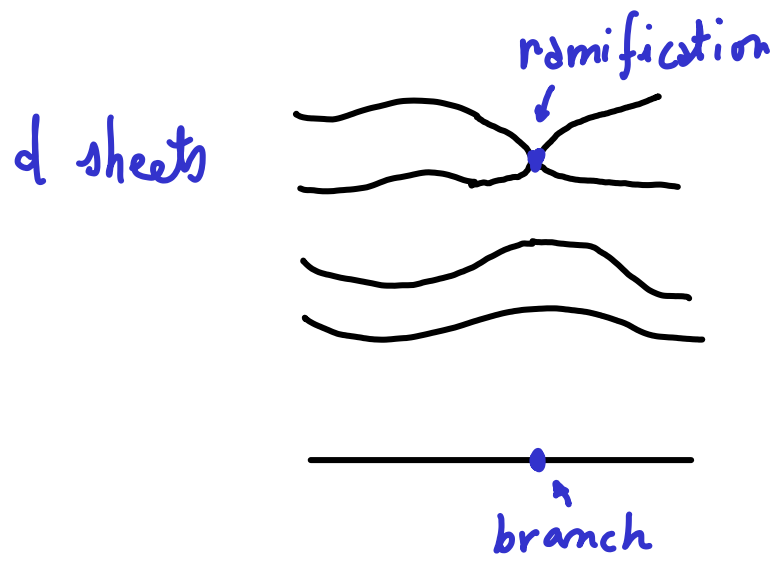
(i)  $\mathbb{P}^1 = \bigcirc$  is the Riemann Sphere

(ii) Choose  $b$  points of  $\mathbb{P}^1$

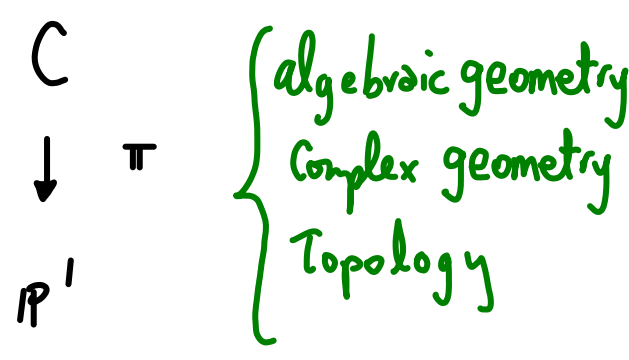


(iii) How many Riemann Surfaces  
appear as degree  $d$  covers  
of  $\mathbb{P}^1$  with simple branching  
over the  $b$  points?

$$z \rightarrow z^2$$

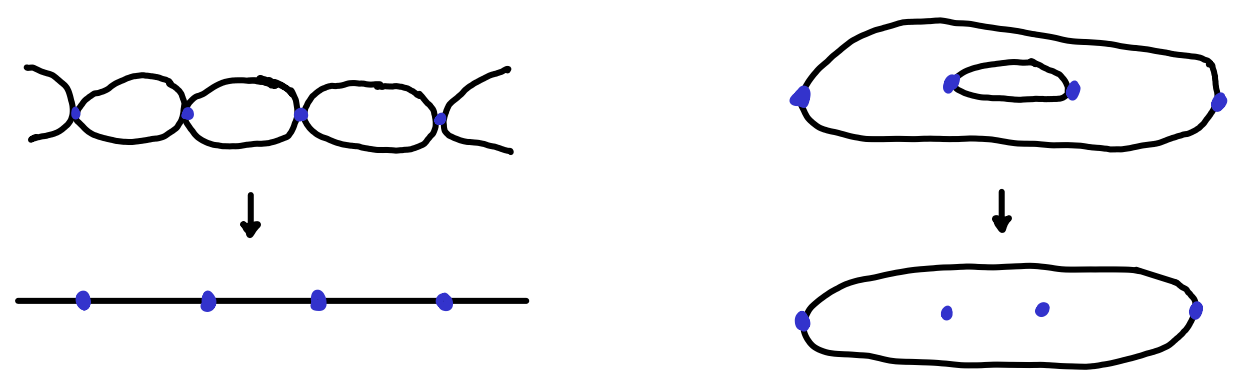


non-singular



Simple branching means 2 sheets come together

Example with  $d=2, b=4$  (genus = 1)



Riemann-Hurwitz formula :

$$2 \cdot g(C) - 2 = -2d + b$$

Proof by Euler  $\chi$

count by  $1/Aut$

$H_{g,d}$  = Number of covers of  $IP^1$  of degree  $d$  with

$b = 2g + 2d - 2$  simple

branch points

Related by inclusion/exclusion

We do not assume cover is connected!

$H_{g,d}^\circ$  = Count of connected covers

Ueber die Anzahl der Riemann'schen Flächen mit gegebenen Verzweigungspunkten.

Von

A. HURWITZ in Zürich.

Math. Ann. 1901

Hurwitz presents a solution

(with help from Chess World Champion Emanuel Lasker)



Theorem (Hurwitz):  $H_{g,d}$  equals

$\frac{1}{d!}$  times the number of solutions of

$$G_1 \cdot G_2 \cdots G_b = \text{Id} \in \Sigma_d$$

Where  $b = 2g + 2d - 2$  and all  $G_i$  are transpositions

We can compute  $H_{g,d}$  using the group algebra and the characters of the symmetric group:

$$H_{g,d} = \sum_{|\lambda|=d} \left( \frac{\dim \lambda}{d!} \right)^2 \left( |C_2| \frac{\chi_2^\lambda}{\dim \lambda} \right)^{2g+2d-2}$$

Burnside

$\lambda$  irrep of  $\Sigma_d$   
 $\chi_2^\lambda$  char  
 $C_2$  conj class

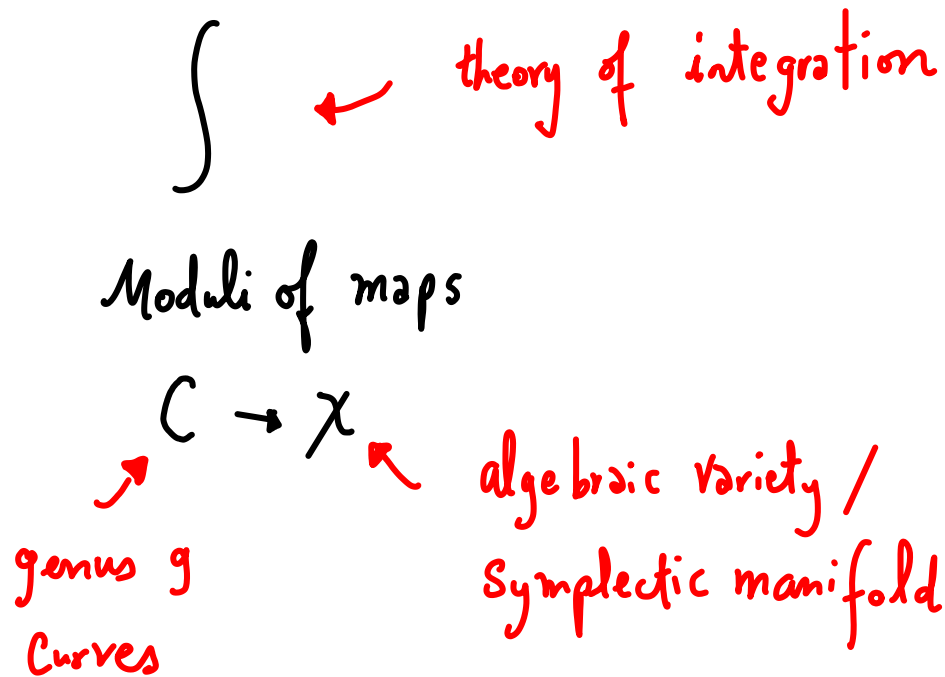


1913

ETH Archives

# I. Toda equations

Gromov-Witten theory concerns



Topological  
String

I was working on various aspects of the theory (in Chicago 94-98).

Eguchi and S-k Yang had conjectured that  $GW(\mathbb{P}^1)$  was governed by the Toda equations.

hep-th/9407134





Can the Toda equation be proven from the symmetric group formula for the  $H_{g,d}$ ?

Answer: **Yes!** (Okounkov)

Using the connection found here between the Hurwitz numbers and Toda as a starting point, Okounkov and I were able to solve the entire  $GW(\mathbb{P}^1)$ .

- P, The Toda equation and GW theory of  $\mathbb{P}^1$ , Lett. Math. Phys. (2000)
- Okounkov, Toda equation for Hurwitz numbers, Math. Res. Lett. (2000)
- OP, GW theory, Hurwitz Numbers, and Completed Cycles, Ann. of Math. (2006)

Brief remarks for the curious:

$$(i) \quad V = \bigoplus_{k \in \mathbb{Z} + \frac{1}{2}} \mathbb{C} \underline{k}$$

(ii) Consider  $\bigwedge^{\infty} V$  Fock space  
 $V_{\phi}, \langle, \rangle$

(iii)  $\tau = \exp(\mathcal{H})$  generating series for  $H_{g,d}^{\circ}$

generating series for  $H_{g,d}$

Energy

2-cycle

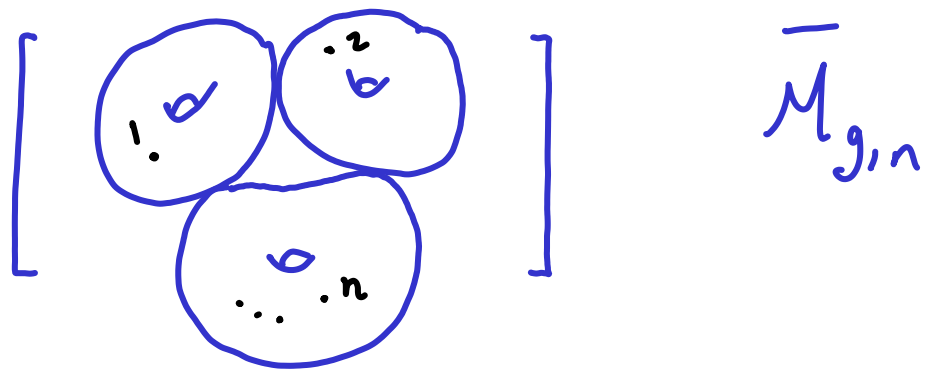
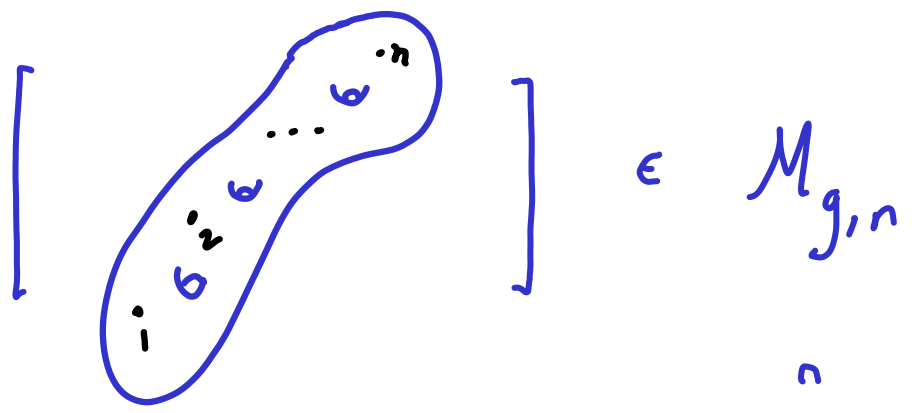
$$(iv) \quad \tau = \left\langle \prod_{+} e^{yE} e^{\lambda F_2} \prod_{-} V_{\phi}, V_{\phi} \right\rangle$$

Vertex operators

(v) Such matrix products are known to produce  $\tau$ -functions for Toda

# II. KdV

Let  $\bar{M}_{g,n}$  be the moduli space of stable curves.



Deligne  
Mumford

$$\gamma_i \in H^2(\bar{M}_{g,n})$$

Cotangent line class

$$\gamma_i = c_1(L_i)$$

$$L_i \subset T_{C, p_i}$$

$$\downarrow \qquad \qquad \downarrow$$

$$\bar{M}_{g,n} \ni [C, p_1, \dots, p_n]$$

## Descendent integrals

$$\langle \tau_{k_1} \dots \tau_{k_n} \rangle_g = \int_{\bar{M}_{g,n}} \psi_1^{k_1} \dots \psi_n^{k_n} \in \mathbb{Q}$$

Define free energy (following Witten 1990)

$$\mathcal{F}(t_0, t_1, t_2, \dots) = \sum_{g \geq 0} \sum_{n \geq 0} \frac{\langle \gamma^n \rangle_g}{n!}$$

generating series  
of descendent  
integrals

$$\gamma = \sum_{i=0}^{\infty} t_i \tau_i$$

$$\mathcal{U} = \frac{\partial^2 \mathcal{F}}{\partial t_0^2}$$

Witten's conjecture:  $\mathcal{U}$  satisfies KdV

KdV



19<sup>th</sup> Century,  
to model shallow  
water waves

$$\frac{\partial u}{\partial t_1} = u \frac{\partial u}{\partial t_0} + \frac{1}{12} \frac{\partial^3 u}{\partial t_0^3}$$



time



Spatial coordinate



Proof via Kontsevich's matrix model

uses analytic decomposition of moduli

space into cells.

Is there any connection to Hurwitz?

Answer: Yes!

Okounkov - P, Gromov-Witten theory, Hurwitz numbers  
Matrix models (written in 2001)

Connection to Hurwitz starts with ELSV formula:

$$H_{g, \mu}^0 = \frac{(2g-2+|\mu|+l)!}{|\text{Aut}(\mu)|} \prod_{i=1}^l \frac{\mu_i^{\mu_i}}{\mu_i!} \int_{\bar{M}_{g, l}} \frac{\sum_{k=0}^g (-1)^k \lambda_k}{\prod_{i=1}^l (1 - \mu_i \gamma_i)}$$

Hodge  
classes

$\mu$  is a partition  
of  $|\mu|$

$l = \text{length of } \mu$

$\bar{M}_{g, l}$

$H_{g, \mu}^0$  is the Hurwitz count of covers

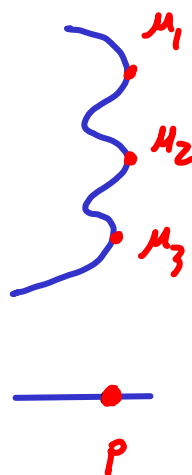
$$\begin{array}{ccc} \mathbb{C} & \leftarrow \text{genus } g & \\ \downarrow \pi & & \\ \mathbb{P}^1 & & \end{array}$$

degree  $|\mu|$   $\rightsquigarrow$   $\pi$

with  $2g-2+|\mu|+l$  simple branch points

plus a single point  $p \in \mathbb{P}^1$  with

profile  $\pi^{-1}(p)$  of shape  $\mu$ .



Ekedahl  
 Lando  
 Shapiro  
 Vainshtein  
 (2001)

Can also be proven  
 very directly via Relative GW theory  
 Fantechi - P, Grober - Vakil  
 (2002) (2005)

The integral on the right side of ELSV:

$$\int_{\bar{M}_{g,l}} \frac{\sum_{k=0}^g (-1)^k \lambda_k}{\prod_{i=1}^l (1 - \mu_i \gamma_i)} = \int_{\bar{M}_{g,l}} \frac{1}{\prod_{i=1}^l (1 - \mu_i \gamma_i)} + \text{lower terms}$$

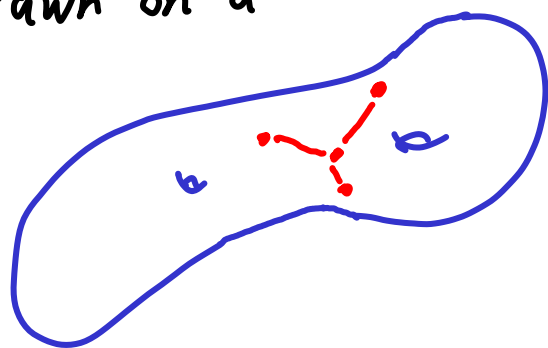
$\lambda_k \in H^{2k}(\bar{M}_{g,l})$   
 leading term when we send  $\mu_i \rightarrow \infty$

We see that the asymptotics of  $H_{g,m}^0$  carry the full information of descendants.

In the paper with Okounkov, we show how the Hurwitz asymptotics exactly yield Kontsevich's matrix model and therefore prove Witten's Conjecture.

Brief remarks for the curious:

(i) reinterpret  $H_{g,n}^0$  in terms of branching graphs drawn on a topological surface.



(ii) As  $\mu_i \rightarrow \infty$ , we must study the leading terms in the counts of the branching graphs.

(iii) We exactly match Kontsevich's Comb model



Main  
New  
Perspective  
for Study

Hurwitz covers define a correspondence

← genus  $g$

degree  $d$  →  $\pi$   $\begin{matrix} C \\ \downarrow \\ \mathbb{P}^1 \end{matrix}$  Hurwitz cover with  $C$  connected

ramification points  $\pi(y_i) = x_i$

$(C, y_1, \dots, y_b, z_1, \dots, z_n)$

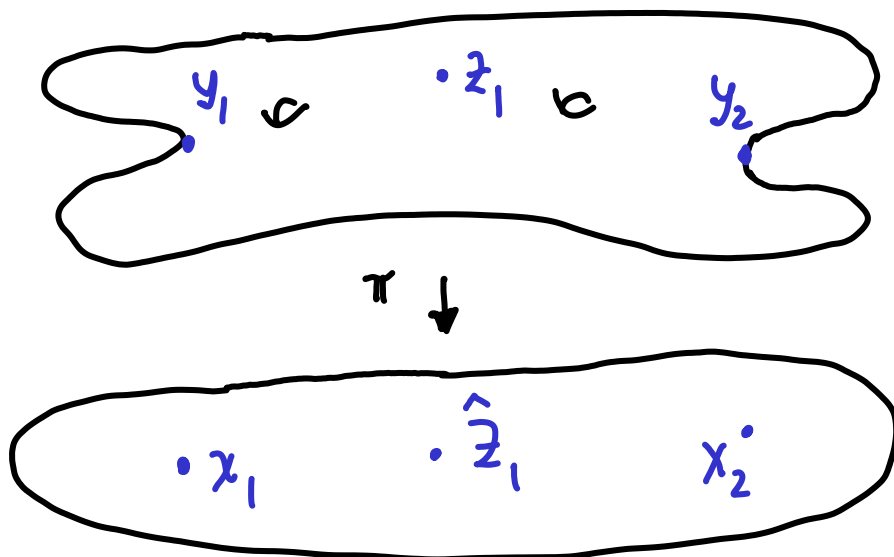
$\pi$  ↓

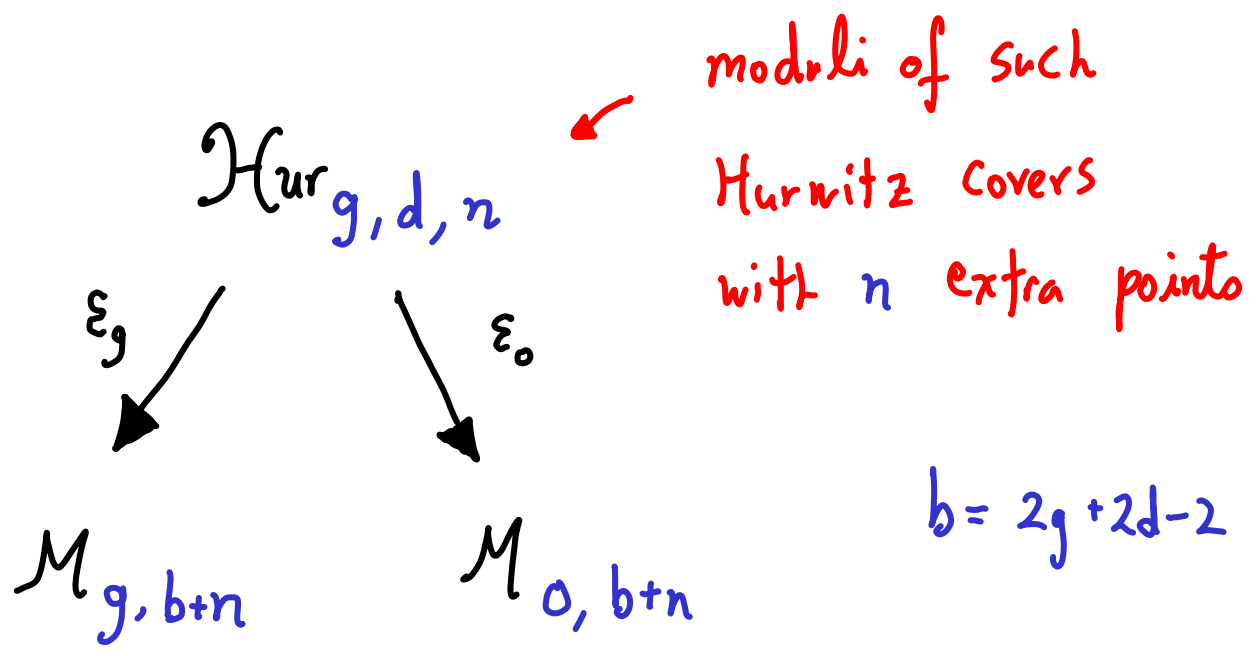
$(\mathbb{P}^1, x_1, \dots, x_b, \hat{z}_1, \dots, \hat{z}_n)$

← extra points satisfying

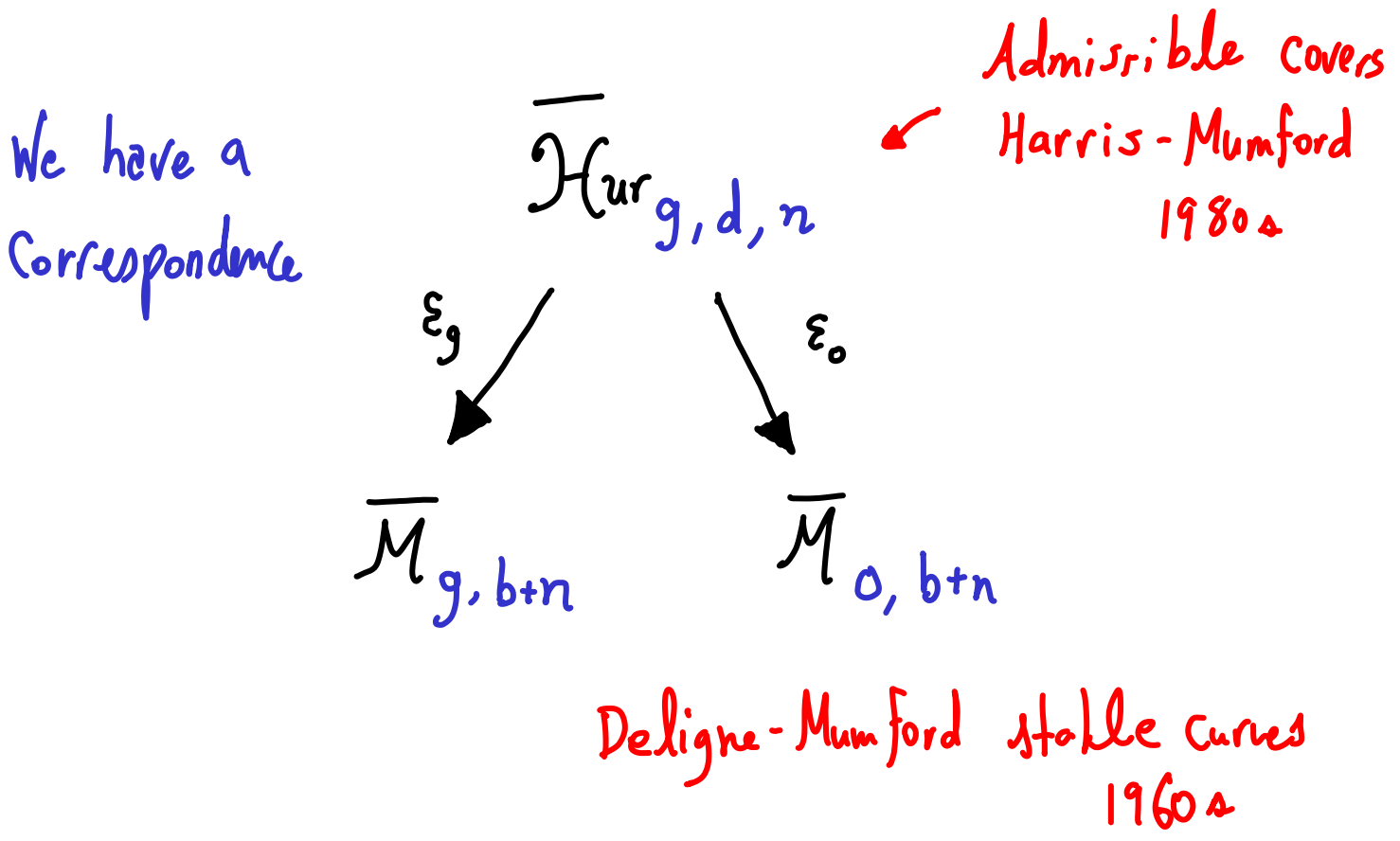
$\pi(z_i) = \hat{z}_i$

branch points





All spaces have natural moduli  
Compactifications



- $$H_{g,d}^0 = \frac{\deg(\xi_0)}{d^n}$$



Hurwitz Numbers are a small part of the information of the correspondence

- For a glimpse of the correspondence from another angle :

$$\varepsilon: \overline{\text{Hur}}_{g,d,n} \rightarrow \overline{\mathcal{M}}_{g,n} \times \overline{\mathcal{M}}_{0,n}$$

( $\xi_g, \xi_0$ )

followed by forgetting the  $b$  ramification and  $b$  branch points

$$\dim \bar{\mathcal{H}}ur_{g,d,n} = 2g + 2d - 5 + n$$

$$\dim \bar{\mathcal{M}}_{g,n} + \dim \bar{\mathcal{M}}_{0,n} = 3g - 6 + 2n$$

dimensions usually different

Tevlev Considered case

$$d = g + 1, \quad n = g + 3$$

where both dimensions are  $5g$

Theorem (Tevlev, 2020) for  $d = g + 1, n = g + 3$

$$\deg_{\bar{\mathcal{M}}_{g,n}}(\varepsilon) = 2^g \quad [4g = b]$$

Motivated by study of Scattering amplitudes by

N. Arkani-Hamed, et al

arXiv:1412.8475

Most general case where image and range of

$$\varepsilon: \overline{\text{Hur}}_{g,d,n} \rightarrow \overline{\mathcal{M}}_{g,n} \times \overline{\mathcal{M}}_{0,n}$$

have the same dimension is

$$d = g + 1 + l, \quad n = g + 3 + 2l \quad \begin{cases} g \geq 0 \\ l \in \mathbb{Z} \end{cases}$$

We define  $T_{g,l} = \frac{\deg(\varepsilon)}{b!}$

Tevlev's result is  $T_{g,0} = 2^g$

In the past month, with Alessio Celo and Johannes Schmitt, we have computed  $T_{g,l}$  in all cases.

Theorem (Cela, P, Schmitt, 2021):

$$\text{TeV}_{g,l} = 0 \quad \text{unless} \quad g \geq -2l$$

$$\text{TeV}_{g,l} = 2^g - 2 \sum_{i=0}^{l-2} \binom{g}{i} + (-l-2) \binom{g}{-l-1} + l \binom{g}{-l}$$

Proof uses excess intersection calculations in the boundary of  $\overline{\text{Hur}}_{g,d,n}$

Historical Note: For  $h \geq 0$ ,



1885

$$\begin{aligned} \text{TeV}_{2h, -h} &= \text{Castelnuovo's Count (1889)} \\ &\text{of linear series } g'_{h+1} \text{ on} \\ &\text{a genus } 2h \text{ curve} \\ &= \text{Catalan}(h) \end{aligned}$$

- The fundamental question is to compute the push-forward of the fundamental class

$$(\varepsilon_g \times \varepsilon_0)_* [\overline{Hur}_{g,d,n}] \in H^*(\overline{M}_{g,b+n} \times \overline{M}_{0,b+n})$$

We know three aspects of the answer

$$(i) (\varepsilon_g \times \varepsilon_0)_* [\overline{Hur}_{g,d,n}] \in R^*(\overline{M}_{g,b+n}) \otimes R^*(\overline{M}_{0,b+n})$$

↑

Faber-P (2005)

↓

↑ ↑  
Tautological part

(ii) impractical algorithm to compute

via Relative GW theory, More natural is the double ramification cycle.  
(log)

(iii) Pixton's formula for  $DR_{g,(1^d, -1^d, 0^n)}$

Janda, P, Pixton, Zvonkine (2017)





Theorem (Bae-Holmes-P-Schmitt-Schwarz, 2020):

Pixton's formula calculates the push-forwards of fundamental classes of the moduli

spaces  $(\mathcal{C}, \omega^k)$  for all  $k \geq 1$ .

I will end the lecture by explaining (most) of the formula in the  $k=1$  case.

Let  $\mu = (m_1, \dots, m_n)$  be a vector

of integers with  $\sum_{i=1}^n m_i = 2g-2$

[insist  $\exists m_i < 0$ ]

We want to calculate the class  $\text{Diff}_{g,\mu}$

of the locus<sup>\*</sup>  $(\mathcal{C}, p_1, \dots, p_n) \in \bar{\mathcal{M}}_{g,n}$  where

$\omega_{\mathcal{C}} \cong \mathcal{O}_{\mathcal{C}}(\sum m_i p_i)$  holds.

\* Closure or BCGM space

The Pixton cycle  $Pix_{g,n}^{diff}$  is a sum  
 over stable graphs  $\Gamma$  ↙ strata of  $\bar{M}_{g,n}$

- An admissible weighting of  $\Gamma \pmod r$  is a function

$$w: H(\Gamma) \rightarrow \{0, 1, \dots, r-1\}$$

↖ set of half edges of  $\Gamma$

Satisfying ↙ half edge corresponding to a marking

$$(i) \quad w(j) = m_j + 1 \pmod r$$

$$(ii) \quad w(h) + w(h') = 0 \pmod r$$

. h h' . edge

$$(iii) \quad \sum_{h \mapsto v} w(h) = 2g(v) - 2 + \text{val}(v) \pmod r$$

$v$  is a vertex

•  $2^g \cdot \text{Pix}_{g,n}^{\text{diff}}$  is the  $r$ -constant codim  $g$

part of

$$\sum_{\Gamma \in G_{g,n}} \sum_{W \in W_{\Gamma,r}} \frac{1}{|\text{Aut } \Gamma|} \frac{1}{r^{h(\Gamma)}} \cdot i_{r^*} \left[ \prod_{v \in V(\Gamma)} \exp(-k_v(v)) \prod_{i=1}^n \exp((m_i+1)^2 \psi_i) \prod_{e \in E(\Gamma)} \frac{1 - \exp(-w(h)w(h')(\psi_h + \psi_{h'}))}{\psi_h + \psi_{h'}} \right]$$

$\Gamma \in G_{g,n}$   $\uparrow$  all stable graphs for  $\bar{M}_{g,n}$   
 $W \in W_{\Gamma,r}$   $\uparrow$  all admissible weightings mod  $r$

Whole expression is a polynomial in  $r$  for  $r \gg 0$ .  
 Take the constant term!

$$\text{Pix}_{g,m}^{\text{diff}} \in H^{2g}(\bar{M}_{g,m}) \leftarrow \text{formula}$$

$$\text{Diff}_{g,m} \in H^{2g}(\bar{M}_{g,m}) \leftarrow \text{geometric class}$$

Theorem (BHPSS, 2020)

$\text{Pix}_{g,m}^{\text{diff}}$  and  $\text{Diff}_{g,m}$  are related

by a simple upper triangular transformation.

- Farkas-P, The moduli of twisted canonical divisors, J.Math.Jussieu (2018)
- BHPSS, Pixton's formula and Abel-Jacobi theory on the Picard stack arXiv:2004.08676
- Sage program Admcycles  $\leftarrow$  Link on Bonn webpage of Johannes Schmitt

The End



ETH archives 1913