

# Deformations of Pre-Symplectic Structures: a Dirac Geometry Approach

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**Abstract.** We explain the geometric origin of the  $L_\infty$ -algebra controlling deformations of pre-symplectic structures.

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## 1 Introduction

A pre-symplectic form is just a closed 2-form of constant rank. For instance, the restriction of a symplectic form to a coisotropic submanifold (such as the zero level set of a moment map) is pre-symplectic. Given a pre-symplectic form  $\eta$  of rank  $k$ , we constructed in [7] an algebraic structure that encodes the deformations of  $\eta$ , i.e., the 2-forms nearby  $\eta$  (in the  $C^0$ -sense) which are both closed and of constant rank  $k$ . As in many deformation problems, this algebraic structure is an  $L_\infty$ -algebra, which we call the *Koszul  $L_\infty$ -algebra* of  $\eta$ . Its construction – which is somewhat involved due to the simultaneous presence of the closedness and constant rank conditions – relies on a certain  $BV_\infty$ -algebra structure on the differential forms and builds on the work of Fiorenza–Manetti [1]. The Koszul  $L_\infty$ -algebra has the property that its Maurer–Cartan elements are in bijection with the pre-symplectic deformations of  $\eta$ .

Given that pre-symplectic forms are geometric objects, it is natural to ask for a geometric derivation of the algebraic structure that governs their deformations (the Koszul  $L_\infty$ -algebra). The present note provides an answer to this question. The idea is the following: instead of restricting oneself to the realm of 2-forms, work in the larger class of almost Dirac structures, and consider deformations of

$$\text{graph}(\eta) := \{(v, \eta(v, \cdot)) \mid v \in TM\} \subset TM \oplus T^*M$$

within the Dirac structures satisfying a constant rank condition. This is explained in Section 3.2, which is the heart of this note.

The first step in [7] is to provide a parametrization of the constant rank forms nearby  $\eta$  in terms of (an open subset in) a vector space. This parametrization is obtained naturally by taking the point of view of Dirac linear algebra in Section 3.3.

The second step in [7] was to show that the closedness condition translates into a Maurer–Cartan equation for a suitable  $L_\infty$ -algebra. In Section 3.4 we re-obtain this result, and further we improve slightly a result of [7], see our Corollary 2.9.

Combining these results, in Section 3.5 we recover the fact that the  $L_\infty$ -algebra governing deformations of Dirac structures, in the case at hand and upon a suitable restriction, is the Koszul  $L_\infty$ -algebra.

The Koszul  $L_\infty$ -algebra depends on an auxiliary choice of a distribution transverse to  $\ker(\eta)$ . In the Dirac-geometric interpretation, this translates into a suitable choice of a complement of  $\text{graph}(\eta)$  in  $TM \oplus T^*M$ . One of the achievements of [3] is to establish a general framework to control the effects of changing the complement, exhibiting explicit canonical  $L_\infty$ -isomorphisms between the corresponding  $L_\infty$ -algebras. A consequence of this note and of [3] is that the Koszul  $L_\infty$ -algebra of  $(M, \eta)$  is well-defined up to  $L_\infty$ -isomorphisms.

## 2 Review: deformations of pre-symplectic structures

We review the results on deformations of pre-symplectic structures obtained in the first three sections of [7].

### 2.1 Pre-symplectic structures

Fix a smooth manifold  $M$ .

**Definition 2.1.** A 2-form  $\eta$  on  $M$  is called *pre-symplectic* if

- 1)  $\eta$  is closed,
- 2) the vector bundle map  $\eta^\sharp: TM \rightarrow T^*M, v \mapsto \iota_v \eta = \eta(v, \cdot)$  has constant rank.

A *pre-symplectic manifold* is a pair  $(M, \eta)$  consisting of a manifold  $M$  and a pre-symplectic structure  $\eta$  on  $M$ . We denote the space of all pre-symplectic structures of rank  $k$  on  $M$  by  $\text{Pre-Sym}^k(M)$ .

A pre-symplectic manifold  $(M, \eta)$  gives rise to a distribution

$$K := \ker(\eta^\sharp).$$

This distribution is involutive since  $\eta$  is closed, hence  $K$  is tangent to a foliation of  $M$ . Denote by  $r: \Omega(M) \rightarrow \Gamma(\wedge K^*)$  the restriction map. We define the horizontal differential forms as the elements of

$$\Omega_{\text{hor}}(M) := \ker(r).$$

They form a subcomplex of the de Rham complex  $\Omega(M)$ , since the de Rham differential commutes with the pullback of differential forms. The subcomplex  $\Omega_{\text{hor}}(M)$  is the multiplicative ideal of  $\Omega(M)$  generated by  $\Gamma(K^\circ)$ , where  $K^\circ \subset T^*M$  denotes the annihilator of  $K$ .

### 2.2 A parametrization of constant rank 2-forms

In this subsection we fix a finite-dimensional, real vector space  $V$ . Recall that a bivector  $Z \in \wedge^2 V$  is encoded by the induced linear map

$$Z^\sharp: V^* \rightarrow V, \quad \xi \mapsto \iota_\xi Z = Z(\xi, \cdot).$$

Define

$$\mathcal{I}_Z := \{\beta \in \wedge^2 V^* : \text{id}_V + Z^\sharp \beta^\sharp \text{ is invertible}\},$$

an open neighborhood of the origin in  $\wedge^2 V^*$ . Let  $F: \mathcal{I}_Z \rightarrow \wedge^2 V^*$  be the map determined by

$$(F(\beta))^\sharp = \beta^\sharp (\text{id} + Z^\sharp \beta^\sharp)^{-1}. \quad (2.1)$$

The map  $F$  is non-linear and smooth. It is a diffeomorphism from  $\mathcal{I}_Z$  to  $\mathcal{I}_{-Z}$ , which keeps the origin fixed.

Fix  $\eta \in \wedge^2 V^*$  of rank  $k$ . We now use  $F$  to construct submanifold charts for the space  $(\wedge^2 V^*)_k$  of skew-symmetric bilinear forms on  $V$  of rank  $k$ . Fix a subspace  $G \subset V$  such that  $K \oplus G = V$ , where  $K = \ker(\eta^\sharp)$ . The restriction of  $\eta$  to  $G$  is a non-degenerate skew bilinear form, therefore there is a unique  $Z \in \wedge^2 G \subset \wedge^2 V$  such that

$$Z^\sharp: G^* \rightarrow G, \quad \xi \mapsto \iota_\xi Z = Z(\xi, \cdot)$$

equals  $-(\eta|_G^\sharp)^{-1}$ .

**Definition 2.2.** The *Dirac exponential map*  $\exp_\eta$  of  $\eta$  (and for fixed  $G$ ) is the mapping

$$\exp_\eta: \mathcal{I}_Z \rightarrow \wedge^2 V^*, \quad \beta \mapsto \eta + F(\beta).$$

Let  $r: \wedge^2 V^* \rightarrow \wedge^2 K^*$  be the restriction map; we have the natural identification  $\ker(r) \cong \wedge^2 G^* \oplus (K^* \otimes G^*)$ . By the following theorem [7, Theorem 2.6], the restriction of  $\exp_\eta$  to  $\ker(r)$  is a submanifold chart for  $(\wedge^2 V^*)_k \subset \wedge^2 V^*$ .

**Theorem 2.3** (parametrizing constant rank forms).

- (i) Let  $\beta \in \mathcal{I}_Z$ . Then  $\exp_\eta(\beta)$  lies in  $(\wedge^2 V^*)_k$  if, and only if,  $\beta$  lies in  $\ker(r) = (K^* \otimes G^*) \oplus \wedge^2 G^*$ .
- (ii) Let  $\beta = (\mu, \sigma) \in \mathcal{I}_Z \cap ((K^* \otimes G^*) \oplus \wedge^2 G^*)$ . Then  $\exp_\eta(\beta)$  is the unique skew-symmetric bilinear form on  $V$  with the following properties:
  - its restriction to  $G$  equals  $(\eta + F(\sigma))|_{\wedge^2 G}$ ;
  - its kernel is the graph of the map  $Z^\sharp \mu^\sharp = -(\eta|_G^\sharp)^{-1} \mu^\sharp: K \rightarrow G$ .
- (iii) The Dirac exponential map  $\exp_\eta: \mathcal{I}_Z \rightarrow \wedge^2 V^*$  restricts to a diffeomorphism

$$\mathcal{I}_Z \cap ((K^* \otimes G^*) \oplus \wedge^2 G^*) \xrightarrow{\cong} \{\eta' \in (\wedge^2 V^*)_k \mid \ker((\eta')^\sharp) \text{ is transverse to } G\}$$

onto an open neighborhood of  $\eta$  in  $(\wedge^2 V^*)_k$ .

**Remark 2.4.** By the above linear algebra construction, given a pre-symplectic manifold  $(M, \eta)$ , choosing a subbundle  $G$  complementary to  $K = \ker(\eta^\sharp)$ , one obtains a map

$$\exp_\eta: \mathcal{I}_Z \cap ((K^* \otimes G^*) \oplus (\wedge^2 G^*)) \rightarrow \wedge^2 T^* M. \quad (2.2)$$

It is not a vector bundle morphism but just a smooth fiberwise map. It maps the zero section to  $\eta$ , and its image is an open neighborhood of  $\eta$  in the space of 2-forms having the same rank as  $\eta$ . The map  $\exp_\eta$  allows to parametrize deformations of  $\eta$  inside  $\text{Pre-Sym}^k(M)$  by means of sections  $(\mu, \sigma) \in \Gamma(K^* \otimes G^*) \oplus \Gamma(\wedge^2 G^*) \cong \Omega_{\text{hor}}^2(M)$  which are sufficiently small in the  $C^0$ -sense and for which the 2-form  $(\exp_\eta)(\mu, \sigma)$  is a closed.

### 2.3 An $L_\infty$ -algebra associated to a bivector field

In this subsection we canonically associate an  $L_\infty$ -algebra to any bivector field  $Z$  on a manifold  $M$ .

**Definition 2.5.** Let  $Z$  be a bivector field on  $M$ . The *Koszul bracket* associated to  $Z$  is the operation

$$\begin{aligned} [\cdot, \cdot]_Z: \Omega^r(M) \times \Omega^s(M) &\rightarrow \Omega^{r+s-1}(M), \\ [\alpha, \beta]_Z &:= (-1)^{|\alpha|+1}(\mathcal{L}_Z(\alpha \wedge \beta) - \mathcal{L}_Z(\alpha) \wedge \beta - (-1)^{|\alpha|}\alpha \wedge \mathcal{L}_Z(\beta)). \end{aligned}$$

Here  $\mathcal{L}_Z = \iota_Z \circ d - d \circ \iota_Z$ , where  $\iota_Z$  denotes contraction with  $Z$  and  $d$  is the de Rham differential. On 1-forms  $\alpha$  and  $\beta$ , the Koszul bracket reads  $[\alpha, \beta]_Z = \mathcal{L}_{Z^\sharp \alpha} \beta - \mathcal{L}_{Z^\sharp \beta} \alpha - d\langle Z, \alpha \wedge \beta \rangle$ .

In general the Koszul bracket of  $Z$  does not satisfy the graded Jacobi identity (it does only when  $Z$  is a Poisson bivector-field). We will see in Proposition 2.7 that nevertheless there is a well-behaved algebraic structure associated to  $Z$ . To this aim, recall that a differential form  $\alpha \in \Omega^r(M)$  induces by contraction a linear map

$$\alpha^\sharp: TM \rightarrow \wedge^{r-1} T^*M, \quad v \mapsto \iota_v \alpha,$$

and, following [2, Section 2.3], we extend this definition to a collection of forms  $\alpha_1, \dots, \alpha_n$  by setting

$$\begin{aligned} \alpha_1^\sharp \wedge \dots \wedge \alpha_n^\sharp: \wedge^n TM &\rightarrow \wedge^{|\alpha_1| + \dots + |\alpha_n| - n} T^*M, \\ v_1 \wedge \dots \wedge v_n &\mapsto \sum_{\sigma \in S_n} (-1)^{|\sigma|} \alpha_1^\sharp(v_{\sigma(1)}) \wedge \dots \wedge \alpha_n^\sharp(v_{\sigma(n)}). \end{aligned}$$

**Definition 2.6.** We define the trinary bracket  $[\cdot, \cdot, \cdot]_Z: \Omega^r(M) \times \Omega^s(M) \times \Omega^k(M) \rightarrow \Omega^{r+s+k-3}(M)$  associated to the bivector field  $Z$  to be

$$[\alpha, \beta, \gamma]_Z := (\alpha^\sharp \wedge \beta^\sharp \wedge \gamma^\sharp) \left( \frac{1}{2} [Z, Z] \right).$$

These brackets endow  $\Omega(M)[2]$  with an  $L_\infty[1]$ -algebra structure, extending the results of Fiorenza and Manetti [5]. The following is [7, Proposition 3.5]:

**Proposition 2.7** (the  $L_\infty[1]$ -algebra  $\Omega(M)[2]$ ). *Let  $Z$  be a bivector field on  $M$ . The multilinear maps  $\lambda_1, \lambda_2, \lambda_3$  on the graded vector space  $\Omega(M)[2]$  given by*

- 1)  $\lambda_1$  the de Rham differential  $d$ ,
- 2)  $\lambda_2(\alpha[2] \odot \beta[2]) = -(\mathcal{L}_Z(\alpha \wedge \beta) - \mathcal{L}_Z(\alpha) \wedge \beta - (-1)^{|\alpha|}\alpha \wedge \mathcal{L}_Z(\beta))[2] = (-1)^{|\alpha|}([\alpha, \beta]_Z)[2]$ ,  
and
- 3)  $\lambda_3(\alpha[2] \odot \beta[2] \odot \gamma[2]) = (-1)^{|\beta|+1}(\alpha^\sharp \wedge \beta^\sharp \wedge \gamma^\sharp \left( \frac{1}{2} [Z, Z] \right))[2]$ ,

define the structure of an  $L_\infty[1]$ -algebra on  $\Omega(M)[2]$ .

We now explain the geometric relevance of the  $L_\infty[1]$ -algebra  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$ . As for any  $L_\infty[1]$ -algebra, it comes with distinguished elements:

**Definition 2.8.** An element  $\beta \in \Omega^2(M)$  is a *Maurer–Cartan element* of  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$  if it satisfies the *Maurer–Cartan equation*

$$d(\beta[2]) + \frac{1}{2}\lambda_2(\beta[2] \odot \beta[2]) + \frac{1}{6}\lambda_3(\beta[2] \odot \beta[2] \odot \beta[2]) = 0.$$

Recall that at the beginning of Section 2.2 we defined an open subset  $\mathcal{I}_Z \subset \wedge^2 T^*M$  and a map  $F: \mathcal{I}_Z \rightarrow \wedge^2 T^*M$ . The following is [7, Corollary 3.9].

**Corollary 2.9** (Maurer–Cartan elements of  $\Omega(M)[2]$ ). *There is an open subset  $\mathcal{U} \subset \mathcal{I}_Z$ , which contains the zero section of  $\wedge^2 T^*M$ , such that a 2-form  $\beta \in \Gamma(\mathcal{U})$  is a Maurer–Cartan element of  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$  if, and only if, the 2-form  $F(\beta)$  is closed.*

In Section 3.4 we will show that for the open subset  $\mathcal{U}$  one can choose the whole of  $\mathcal{I}_Z$ .

## 2.4 The Koszul $L_\infty$ -algebra of a pre-symplectic manifold

Let again  $\eta$  be a pre-symplectic structure on a manifold  $M$ . Fix a subbundle  $G \subset TM$  which is complementary to the kernel  $K$  of  $\eta$ . Consider the bivector field  $Z$  satisfying  $Z^\sharp = -(\eta|_G^\sharp)^{-1}$ . The following is [7, Theorem 3.17].

**Theorem 2.10** (the Koszul  $L_\infty[1]$ -algebra). *The  $L_\infty[1]$ -algebra structure on  $\Omega(M)[2]$  associated to the bivector field  $Z$ , see Proposition 2.7, maps  $\Omega_{\text{hor}}(M)[2]$  to itself. The subcomplex  $\Omega_{\text{hor}}(M)[2] \subset \Omega(M)[2]$  therefore inherits the structure of an  $L_\infty[1]$ -algebra, which we call the Koszul  $L_\infty[1]$ -algebra of  $(M, \eta)$ .*

We denote by  $\text{MC}(\eta)$  the set of Maurer–Cartan elements of the Koszul  $L_\infty[1]$ -algebra of  $(M, \eta)$ .

In view of the above theorem, the following result [7, Theorem 3.19] is an immediate consequence of Theorem 2.3 and Corollary 2.9.

**Theorem 2.11** (Maurer–Cartan elements of the Koszul  $L_\infty[1]$ -algebra). *Let  $(M, \eta)$  be a pre-symplectic manifold. The choice of a complement  $G$  to the kernel of  $\eta$  determines a bivector field  $Z$  by requiring  $Z^\sharp = -(\eta|_G^\sharp)^{-1}$ . Suppose  $\beta$  is a 2-form on  $M$ , which lies in  $\mathcal{I}_Z$ . The following statements are equivalent:*

1.  $\beta$  is a Maurer–Cartan element of the Koszul  $L_\infty[1]$ -algebra  $\Omega_{\text{hor}}(M)[2]$  of  $(M, \eta)$ , which was introduced in Theorem 2.10.
2. The image of  $\beta$  under the map  $\exp_\eta$ , which is introduced in Definition 2.2, is a pre-symplectic structure of the same rank as  $\eta$ .

The above Theorem 2.11 is the main result of [7], as it states that the Koszul  $L_\infty[1]$ -algebra governs the deformations of the pre-symplectic structure  $\eta$ . More precisely: the fibrewise map  $\exp_\eta$  as in (2.2), on the level of sections, restricts to a map

$$\exp_\eta: \Gamma(\mathcal{I}_Z) \cap \text{MC}(\eta) \rightarrow \text{Pre-Sym}^k(M)$$

which is injective and whose image consists of the pre-symplectic structures of rank equal to the rank of  $\eta$  and with kernel transverse to  $G$ .

## 3 Dirac geometric interpretation

In the remainder of this note we explain the geometric framework that underlies the results of Section 2 recalled from [7]. We recover naturally the statements made there and provide some alternative and more geometric proofs.

### 3.1 Background on Dirac geometry

We first review some notions from Dirac linear algebra. Let  $V$  be a finite-dimensional, real vector space. We denote by  $\mathbb{V}$  the direct sum  $V \oplus V^*$  and by  $\langle \cdot, \cdot \rangle$  the following non-degenerate pairing on  $\mathbb{V}$ :

$$\langle (v, \xi), (w, \chi) \rangle := \xi(w) + \chi(v).$$

**Definition 3.1.** A subspace  $W \subset \mathbb{V}$  is called *Lagrangian* if for all  $w, w' \in W$  we have  $\langle w, w' \rangle = 0$  and  $\dim(W) = \dim(V)$ . Two subspaces  $W$  and  $\tilde{W} \subset \mathbb{V}$  are *transverse*, if  $W \oplus \tilde{W} = \mathbb{V}$ .

Given an element  $Z \in \wedge^2 V$ , we defined the linear map  $Z^\sharp: V^* \rightarrow V$  in Section 2.2, and we can consider the Lagrangian subspace  $\text{graph}(Z) := \{(Z^\sharp \xi, \xi) \mid \xi \in V^*\} \subset \mathbb{V}$ . Similarly, for  $\beta \in \wedge^2 V^*$  we define  $\beta^\sharp: V \rightarrow V^*$  and consider  $\text{graph}(\beta)$ .

Every  $\beta \in \wedge^2 V^*$  defines an orthogonal transformation  $\mathfrak{t}_\beta$  of  $(\mathbb{V}, \langle \cdot, \cdot \rangle)$ , by

$$(v, \xi) \mapsto (v, \xi + \beta^\sharp(v)).$$

Similarly, every  $Z \in \wedge^2 V$  gives rise to an orthogonal transformation  $\mathfrak{t}_Z$ , which takes  $(v, \xi)$  to  $(v + Z^\sharp(\xi), \xi)$ . In particular, elements of  $\wedge^2 V^*$  and  $\wedge^2 V$  act on the set of Lagrangian subspaces of  $\mathbb{V}$ .

**Remark 3.2.** Suppose  $L, R$  are transverse Lagrangian subspaces of  $\mathbb{V}$ . There is a canonical isomorphism

$$R \cong L^*, \quad r \mapsto \langle r, \cdot \rangle|_L.$$

Since  $R$  is transverse to  $L$ , any subspace of  $\mathbb{V}$  transverse to  $R$  is the graph of a linear map  $L \rightarrow R$ . Any *Lagrangian* subspace transverse to  $R$  is the graph of a linear map  $L \rightarrow R$  such that, composing with the canonical isomorphism above, we obtain a *skew-symmetric* linear map  $L \rightarrow L^*$  (i.e., the sharp map associated to an element of  $\wedge^2 L^*$ ).

Let us now briefly recall the basic constituencies of Dirac geometry. Consider the generalized tangent bundle  $\mathbb{T}M = TM \oplus T^*M$ . It comes equipped with a non-degenerate pairing

$$\langle (X, \alpha), (Y, \beta) \rangle := \alpha(Y) + \beta(X)$$

and the Dorfman bracket

$$\llbracket (X, \alpha), (Y, \beta) \rrbracket = ([X, Y], \mathcal{L}_X \beta - \iota_Y d\alpha).$$

Together with the projection to  $TM$ , this makes  $\mathbb{T}M$  into an example of Courant algebroid.

**Definition 3.3.** An *almost Dirac structure* on  $M$  is a Lagrangian subbundle  $L \subset (\mathbb{T}M, \langle \cdot, \cdot \rangle)$ . A *Dirac structure* is an almost Dirac structure whose space of sections is closed with respect to the Dorfman bracket  $\llbracket \cdot, \cdot \rrbracket$ .

**Remark 3.4.** Let  $L, R$  be transverse Dirac structures on  $M$ . As seen in Remark 3.2, almost Dirac structures transverse to  $R$  are in bijection with elements of  $\Gamma(\wedge^2 L^*)$ . We now recall a result of Liu–Weinstein–Xu [4] establishing when such an almost Dirac structure is Dirac. Recall that every Dirac structure, with the restricted Dorfman bracket and anchor, is a Lie algebroid. Since  $L$  is a Lie algebroid, it induces a differential  $d_L$  on  $\Gamma(\wedge L^*)$ . Further<sup>1</sup>, since  $L^* \cong R$  is a Lie algebroid, it induces a graded Lie bracket  $[\cdot, \cdot]_{L^*}$  on  $\Gamma(\wedge L^*)[1]$ . Together with  $d_L$  and  $[\cdot, \cdot]_{L^*}$ , the graded vector space  $\Gamma(\wedge L^*)[1]$  becomes a differential graded Lie algebra. The main result of [4] is: for all  $\varepsilon \in \Gamma(\wedge^2 L^*)$ , the graph  $L_\varepsilon = \{v + \iota_v \varepsilon : v \in L\}$  is a Dirac structure iff  $\varepsilon$  satisfies the Maurer–Cartan equation, that is

$$d_L \varepsilon + \frac{1}{2} [\varepsilon, \varepsilon]_{L^*} = 0.$$

<sup>1</sup>The Lie algebroid structures on  $L$  and  $L^*$  are compatible in the sense that the pair  $(L, L^*)$  forms a Lie bialgebroid.

### 3.2 Deformations of pre-symplectic structures: the point of view of Dirac geometry

In this subsection we cast the deformations of pre-symplectic forms in the framework of Dirac geometry.

Let  $\eta$  be a pre-symplectic form on  $M$ , with kernel  $K$ . The natural way to parametrize deformations of  $\eta$  is by 2-forms  $\alpha$  such that  $\eta + \alpha$  is again pre-symplectic, but this parametrization has a serious flaw: the space of such  $\alpha$ 's does not have a natural vector space structure, due to the constant rank condition. Taking the point of view of Dirac geometry, the above approach to parametrize the deformations of  $\eta$  amounts to deforming the Dirac structure  $\text{graph}(\eta)$  using  $\{0\} \oplus T^*M$  as a complement.

A better way to parametrize the deformations of  $\eta$  in terms of Dirac geometry works as follows. Let us first choose a complement  $G$  to  $K$ . Then

$$G \oplus K^*$$

is a complement<sup>2</sup> of  $\text{graph}(\eta)$ . We can now use  $G \oplus K^*$  – instead of  $\{0\} \oplus T^*M$  – to parametrize deformations of the Dirac structure  $\text{graph}(\eta)$ . This choice of complement has the advantage of linearizing the constant rank condition, as we show in Proposition 3.7 below. (Notice that when  $\eta$  is *symplectic*, the new complement is just  $TM$ , hence we are deforming  $\eta$  by viewing it as a Poisson structure, just as in [7, Section 1.3].)

We first state two lemmas about the effect of applying the orthogonal transformation  $\mathfrak{t}_{-\eta}$  of  $TM \oplus T^*M$ , given by  $(v, \xi) \mapsto (v, \xi - \eta^\sharp(v))$ .

**Lemma 3.5.** *Denote by  $Z \in \Gamma(\wedge^2 G)$  the bivector field such that  $Z^\sharp$  is the inverse of  $-(\eta|_G)^\sharp$ . Then  $\mathfrak{t}_{-\eta}$  maps  $G \oplus K^*$  to  $\text{graph}(Z)$ .*

**Proof.**  $\mathfrak{t}_\eta(\text{graph}(Z)) = \{(Z^\sharp \xi, \xi|_K) : \xi \in T^*M\} = G \oplus K^*$ . ■

Lagrangian subbundles nearby  $\text{graph}(\eta)$  can be written, for some  $\bar{\beta} \in \Gamma(\wedge^2(\text{graph}(\eta))^*)$ , as the graph of the map

$$\bar{\beta}^\sharp : \text{graph}(\eta) \rightarrow (\text{graph}(\eta))^* \cong G \oplus K^*,$$

by Remark 3.2. We denote this graph as  $\Phi_{G \oplus K^*}(\bar{\beta})$ . Moreover, let  $\beta \in \Omega^2(M)$  be the 2-form corresponding to  $\bar{\beta}$  under the isomorphism  $\text{graph}(\eta) \cong TM, v + \iota_v \eta \mapsto v$  and denote by  $\Phi_Z(\beta)$  the graph of the map  $\beta^\sharp : TM \rightarrow T^*M \cong \text{graph}(Z)$ .

**Lemma 3.6.**  *$\mathfrak{t}_{-\eta}$  maps  $\Phi_{G \oplus K^*}(\bar{\beta})$  to  $\Phi_Z(\beta)$ .*

**Proof.**  $\mathfrak{t}_{-\eta}$  preserves the pairing on  $TM \oplus T^*M$ , clearly maps  $\text{graph}(\eta)$  to  $TM$ , and maps  $G \oplus K^*$  to  $\text{graph}(Z)$  by Lemma 3.5. Therefore the statement follows by functoriality. ■

Now we can explain why the choice of  $G \oplus K^*$  as a complement is a good one to describe pre-symplectic deformations.

**Proposition 3.7.** *Let  $\bar{\beta} \in \Gamma(\wedge^2(\text{graph}(\eta))^*)$ .*

(i) *The rank of*

$$\Phi_{G \oplus K^*}(\bar{\beta}) \cap TM \tag{3.1}$$

*equals the rank of*

$$\{v \in K : \iota_v \beta \in G^*\}. \tag{3.2}$$

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<sup>2</sup>Indeed, for every  $v \in TM$  we have  $\iota_v \eta \in K^\circ = G^*$ , so requiring that  $\iota_v \eta$  lies in  $K^*$  implies  $\iota_v \eta = 0$ . This means that  $v \in K$ , so requiring that  $v$  lies in  $G$  implies  $v = 0$ .



(ii) Assume that  $\Phi_{G \oplus K^*}(\bar{\beta})$  is the graph of a 2-form. Then the rank of this 2-form equals  $\text{rank}(\eta)$  iff  $\beta$  lies in the vector space  $\Omega_{\text{hor}}^2(M)$  of horizontal 2-forms.

**Proof.** (i) Applying the transformation  $\mathfrak{t}_{-Z} \circ \mathfrak{t}_{-\eta}$  to  $\Phi_{G \oplus K^*}(\bar{\beta})$ , by Lemma 3.6 we obtain  $\mathfrak{t}_{-Z}(\Phi_Z(\beta)) = \text{graph}(\beta)$ . Applying it to  $TM$  we obtain  $\{(v + Z^\sharp \iota_v \eta, -\iota_v \eta) \mid v \in TM\} = K \oplus G^*$ .

Hence applying the transformation to the intersection (3.1) we obtain

$$\text{graph}(\beta) \cap (K \oplus G^*),$$

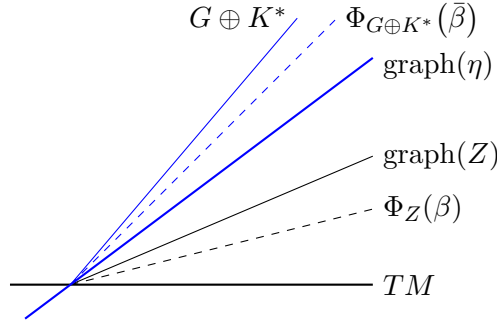
which is isomorphic to (3.2).

(ii) Denote by  $\eta'$  the 2-form whose graph is  $\Phi_{G \oplus K^*}(\bar{\beta})$ . The kernel of  $\eta'$  is given by (3.1), and the assertion follows immediately from (i). Recall that the vector space  $\Omega_{\text{hor}}^2(M)$  of horizontal 2-forms was defined in Section 2.1, as the space of 2-forms that vanish on  $\wedge^2 K$ . ■

**Remark 3.8.** Since  $\mathfrak{t}_{-\eta}$  is actually an automorphism of the standard Courant algebroid  $TM \oplus T^*M$ , the following two deformation problems of Dirac structures are equivalent:

- deformations of  $\text{graph}(\eta)$ , using the complement  $G \oplus K^*$ ,
- deformations of  $TM$ , using the complement  $\text{graph}(Z)$ .

The latter deformation problem is easier to handle, and the  $L_\infty[1]$ -algebra structure governing it will be recovered in Section 3.4.



**Figure 1.** The Dirac structures  $\text{graph}(\eta)$  and  $TM$ , together with the complementary Lagrangian subbundles we use to deform them.

### 3.3 Dirac-geometric interpretation of Section 2.2

Using Dirac linear algebra, we explain and re-prove the results recalled in Section 2.2.

#### 3.3.1 Revisiting the map $F$ from formula (2.1)

Let  $V$  be a finite-dimensional, real vector space. We fix a bivector  $Z \in \wedge^2 V$ . Recall that  $\mathcal{I}_Z$  consists of elements  $\beta \in \wedge^2 V^*$  such that  $\text{id} + Z^\sharp \beta^\sharp$  is invertible. In formula (2.1), we defined the map  $F: \mathcal{I}_Z \rightarrow \wedge^2 V^*$  given by

$$(F(\beta))^\sharp = \beta^\sharp (\text{id} + Z^\sharp \beta^\sharp)^{-1}.$$

The following lemma provides a geometric explanation of the map  $F$ .



**Lemma 3.9.** Fix  $Z \in \wedge^2 V$ .

(i) Taking graphs with respect to the decompositions  $\mathbb{V} = V \oplus V^*$  resp.  $\mathbb{V} = V \oplus \text{graph}(Z)$ , yields bijections

$$\begin{aligned} \Phi_0: \wedge^2 V^* &\xrightarrow{\cong} \{\text{Lagrangian subspace of } \mathbb{V} \text{ transverse to } V^*\}, \\ &\alpha \mapsto \{(v, \iota_v \alpha) \mid v \in V\}, \\ \Phi_Z: \wedge^2 V^* &\xrightarrow{\cong} \{\text{Lagrangian subspace of } \mathbb{V} \text{ transverse to } \text{graph}(Z)\}, \\ &\beta \mapsto \{(v + Z^\sharp(\iota_v \beta), \iota_v \beta) \mid v \in V\}. \end{aligned}$$

(ii) Given  $\beta \in \wedge^2 V^*$ , the Lagrangian subspace  $\Phi_Z(\beta)$  is transverse to  $V^* \subset \mathbb{V}$  if, and only if  $\beta \in \mathcal{I}_Z$ .

(iii) The map

$$\Phi_0^{-1} \circ \Phi_Z: \mathcal{I}_Z \rightarrow \wedge^2 V^*$$

is well-defined and coincides with  $F$ .

In particular, the map  $F$  is characterized by the property that

$$\text{graph}(F(\beta)) = \Phi_Z(\beta) \tag{3.3}$$

for all  $\beta \in \mathcal{I}_Z$ . In other words,  $F(\beta)$  is obtained taking the graph of  $\beta$  w.r.t. the splitting  $\mathbb{V} = V \oplus \text{graph}(Z)$ .

**Proof.** (i) According to Remark 3.2, any Lagrangian subspace transverse to  $V^*$  is the graph of a skew-symmetric linear map  $V \rightarrow V^*$ , and therefore can be written as  $\{(v, \iota_v \alpha) \mid v \in V\}$  for some  $\alpha \in \wedge^2 V^*$ . Similarly,  $\text{graph}(Z)$  is transverse to  $V$  and the induced isomorphism  $\text{graph}(Z) \cong V^*$  is just  $(Z^\sharp(\xi), \xi) \mapsto \xi$ . Hence any Lagrangian subspace transverse to  $\text{graph}(Z)$  can be written as  $\{(v, 0) + (Z^\sharp(\iota_v \beta), \iota_v \beta) \mid v \in V\}$  for some  $\beta \in \wedge^2 V^*$ .

(ii) The expression for  $\Phi_Z(\beta)$  in item (i) shows that  $\Phi_Z(\beta) \cap V^* = \{(0, \iota_v \beta) \mid v \in V, v + Z^\sharp(\iota_v \beta) = 0\}$ . This intersection is trivial iff  $\ker(\text{id} + Z^\sharp \beta^\sharp) \subseteq \ker(\beta^\sharp)$ . In turn, this condition is equivalent to  $(\text{id} + Z^\sharp \beta^\sharp)$  being injective, and thus invertible.

(iii) Finally, if  $\text{id} + Z^\sharp \beta^\sharp$  is invertible,  $\Phi_Z(\beta)$  is transverse to  $V^*$  by item (ii). By item (i) the element  $\Phi_0^{-1}(\Phi_Z(\beta))$  is well-defined. In concrete terms, it is given by  $\alpha \in \wedge^2 V^*$  such that for all  $v \in V$ , there is  $w \in V$  for which

$$(v + Z^\sharp \beta^\sharp(v), \beta^\sharp(v)) = (w, \alpha^\sharp(w))$$

holds. Equivalently, this means that  $\alpha^\sharp(\text{id} + Z^\sharp \beta^\sharp)(v) = \beta^\sharp(v)$  for all  $v \in V$ . This shows that  $\Phi_0^{-1} \circ \Phi_Z$  agrees with  $F$ .  $\blacksquare$

### 3.3.2 Revisiting Theorem 2.3 (parametrizing constant rank forms)

Now let  $\eta \in \wedge^2 V^*$  be of rank  $k$ , fix a complement  $G$  to  $K := \ker(\eta)$ , and denote by  $Z \in \wedge^2 G$  the bivector determined by  $Z^\sharp = -(\eta|_G^\sharp)^{-1}$ . In Section 3.2 we considered deformations of the Dirac structure  $\text{graph}(\eta)$  using  $G \oplus K^*$  as a complement. They are graphs of 2-forms given by the Dirac exponential map  $\exp_\eta$  (see Definition 2.2). More precisely:

**Lemma 3.10.** For all  $\beta \in \mathcal{I}_Z$  we have

$$\text{graph}(\exp_\eta(\beta)) = \Phi_{G \oplus K^*}(\bar{\beta}). \tag{3.4}$$

**Proof.** We have  $\text{graph}(\exp_\eta(\beta)) = \mathfrak{t}_\eta(\Phi_Z(\beta)) = \Phi_{G \oplus K^*}(\beta)$ , where the first equality holds by equation (3.3) and the second by Lemma 3.6. ■

Using this we recover Theorem 2.3, in particular item (i) stating that  $\exp_\eta(\beta)$  has rank equal to  $k = \dim(K)$  iff  $\beta$  is horizontal.

**Alternative proof of Theorem 2.3.** (i) Apply Proposition 3.7(ii) together with equation (3.4).

(ii) We only prove the statement about the kernel of  $\exp_\eta(\beta)$ . Write  $\beta = (\mu, \sigma)$ . By the proof of Proposition 3.7(i), the intersection of the subspace (3.4) with  $V$  is  $(\mathfrak{t}_\eta \circ \mathfrak{t}_Z)(\text{graph}(\beta) \cap (K \oplus G^*))$ , which is precisely the image of  $K$  under  $\text{id} + Z^\sharp \mu^\sharp$ .

(iii) By Lemma 3.9(ii), the map  $\Phi_Z$  provides a bijection between  $\mathcal{I}_Z$  and Lagrangian subspaces transverse to  $\text{graph}(Z)$  and to  $V^*$ . Hence  $\mathfrak{t}_\eta \circ \Phi_Z$  provides a bijection between  $\mathcal{I}_Z$  and Lagrangian subspaces transverse to  $\mathfrak{t}_\eta(\text{graph}(Z)) = G \oplus K^*$  (see Lemma 3.5) and to  $V^*$ . The latter are exactly the graphs of elements  $\eta' \in \wedge^2 V^*$  so that the  $\eta'|_{\wedge^2 G}$  is non-degenerate. Hence, by the proof of Lemma 3.10,  $\exp_\eta$  provides a bijection between  $\mathcal{I}_Z$  and such  $\eta'$ . We conclude using (i). ■

### 3.4 Dirac-geometric interpretation of Section 2.3

Using Dirac geometry and adapting results from [2], we explain and re-prove the results recalled in Section 2.3. Fix a bivector field  $Z$  on  $M$ .

#### 3.4.1 Revisiting Proposition 2.7 (the $L_\infty[1]$ -algebra $\Omega(M)[2]$ )

In Proposition 2.7, the  $L_\infty[1]$ -algebra  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$  was constructed out of a bivector field  $Z$ . It can be recovered using Dirac geometry – or more precisely, the deformation theory of Dirac structures – as a special case of the construction from [2, Section 2.2].

**Proposition 3.11.** *Let  $L$  be a Dirac structure and  $R$  a complementary almost Dirac structure, i.e., we have a vector bundle decomposition  $L \oplus R = \mathbb{T}M$ . Then  $\Gamma(\wedge L^*)[2]$  has an induced  $L_\infty[1]$ -algebra structure, whose only non-trivial multibrackets are  $\mu_1, \mu_2, \mu_3$  given as follows:*

- 1)  $\mu_1$  is the differential  $d_L$  associated to the Lie algebroid  $L$ ,
- 2)  $\mu_2(\alpha[2] \odot \beta[2]) = -(-1)^{|\alpha|} [\alpha, \beta]_{L^*}[2]$ , where  $[\cdot, \cdot]_{L^*} := \text{pr}_R(\llbracket \cdot, \cdot \rrbracket)$  denotes the (extension of) the bracket of the almost Lie algebroid  $R \cong L^*$ ,
- 3)  $\mu_3(\alpha[2] \odot \beta[2] \odot \gamma[2]) = (-1)^{|\beta|} (\alpha^\sharp \wedge \beta^\sharp \wedge \gamma^\sharp) \psi[2]$ , where  $\psi \in \Gamma(\wedge^3 L)$  is given by  $\Gamma(\wedge^3 L^*) \rightarrow C^\infty(M), \xi_1 \wedge \xi_2 \wedge \xi_3 \mapsto \langle \text{pr}_L(\llbracket \xi_1, \xi_2 \rrbracket), \xi_3 \rangle$ , where we made use of the identification  $R \cong L^*$ .

More generally, Proposition 3.11 holds if replacing  $\mathbb{T}M$  by any Courant algebroid.

**Proof.** The proof is a minor adaptation of the first part of the proof of [2, Lemma 2.6], setting  $\varphi = 0$  there. We recall briefly the idea of the latter. By [6] there is a natural description of the Courant algebroid structure on  $\mathbb{T}M$  in terms of graded geometry. One can use it to apply Voronov's higher derived brackets construction (see [8, 9]) and obtain an  $L_\infty[1]$ -algebra structure on  $\Gamma(\wedge L^*)[2]$ . The multibrackets obtained are the ones in the statement of the lemma, as one checks using [6] and via computations in local coordinates. ■

**Alternative proof of Proposition 2.7.** Let  $Z$  be a bivector field on  $M$ . We apply Proposition 3.11 for the case  $L = \mathbb{T}M$  and  $R = \text{graph}(Z)$ . In this case  $d_L$  is the de Rham differential, and the bracket on  $R$  is given by the formula for the Koszul bracket. One checks that  $\psi$  is the trivector field  $-\frac{1}{2}[Z, Z]$ , using [7, Lemma 1.6]. Hence the  $L_\infty[1]$ -brackets on  $\Omega(M)[2]$  given by Proposition 3.11 are  $\mu_1 = \lambda_1$ ,  $\mu_2 = -\lambda_2$  and  $\mu_3 = \lambda_3$ . Applying the automorphism  $-\text{id}$  to  $\Omega(M)[2]$  yields Proposition 2.7. ■

### 3.4.2 Revisiting Corollary 2.9 (Maurer–Cartan elements of $\Omega(M)[2]$ )

We now turn to Maurer–Cartan elements. In Lemma 3.9(i), we gave a parametrization of almost Dirac structures that are transverse to  $\text{graph}(Z)$  in terms of 2-forms  $\beta$  on  $M$ . This parametrization is given by

$$\beta \mapsto \Phi_Z(\beta) = \{(v + Z^\sharp(\iota_v\beta), \iota_v\beta) \mid v \in TM\}.$$

We present the second part of [2, Lemma 2.6], which is an extension of the work by Liu–Weinstein–Xu recalled in Remark 3.4.

**Proposition 3.12.** *Let  $L$  be given a Dirac structure and  $R$  a complementary almost Dirac structure. An element  $\sigma \in \Gamma(\wedge^2 L^*)[2]$  is a Maurer–Cartan element of the  $L_\infty[1]$ -algebra structure given in Proposition 3.11 iff the graph*

$$\Gamma_\sigma := \{(X - \iota_X\sigma) : X \in L\} \subset L \oplus R$$

*is a Dirac structure. (The above inclusion makes use of the identification  $R \cong L^*$ .)*

Corollary 2.9 states that for  $\beta \in \Omega^2(M)$  taking values in some sufficiently small neighborhood  $\mathcal{U}$  of the zero section in  $\wedge^2 T^*M$  – in particular taking values in  $\mathcal{I}_Z$ , i.e.,  $\text{id} + Z^\sharp\beta^\sharp$  is invertible,  $-\beta$  is a Maurer–Cartan element of  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$  iff  $F(\beta)$  is closed. We now provide an alternative proof of this result, which also shows that one can choose  $\mathcal{U}$  to equal  $\mathcal{I}_Z$ .

**Alternative proof of Corollary 2.9.** For any  $\beta \in \Omega^2(M)$ , being a Maurer–Cartan element of the  $L_\infty[1]$ -algebra  $(\Omega(M)[2], \lambda_1, \lambda_2, \lambda_3)$  is equivalent to  $\Phi_Z(\beta)$  being a Dirac structure. This follows from applying Proposition 3.12 to the Dirac structure  $L = TM$  and to the almost Dirac structure  $R = \text{graph}(Z)$ , noticing that  $\Gamma_{-\beta} = \{(v + Z^\sharp(\iota_v\beta), \iota_v\beta) \mid v \in TM\} = \Phi_Z(\beta)$ . When  $\beta \in \Gamma(\mathcal{I}_Z)$ , we know that  $\Phi_Z(\beta)$  can be written as the graph of the 2-form  $F(\beta)$ , by equation (3.3). Now use the fact that the graph of a 2-form is a Dirac structure if, and only if, the 2-form is closed. ■

**Remark 3.13.** In this subsection we recovered the  $L_\infty[1]$ -algebra  $\Omega(M)[2]$  of Proposition 2.7 as the  $L_\infty[1]$ -algebra governing deformations of the Dirac structure  $TM$  taking  $\text{graph}(Z)$  as a complement. By Remark 3.8, this deformation problem is equivalent to the deformations of the Dirac structure  $\text{graph}(\eta)$  taking  $G \oplus K^*$  as the complement. This explains why the  $L_\infty[1]$ -algebra  $\Omega(M)[2]$  governs the latter deformation problem, and therefore is relevant for the deformations of pre-symplectic structures.

## 3.5 Dirac-geometric interpretation of Section 2.4

Theorem 2.10 can be deduced from a general statement about (almost) Dirac structures, however doing so amounts essentially to the same computations that were needed for the proof given in [7]. We include this general statement for the sake of completeness.

**Proposition 3.14.** *In the setting of Proposition 3.11, let  $K$  be a subbundle of  $L$  and define  $\Gamma_{\text{hor}}(\wedge L^*)$  as the kernel of the restriction map  $\Gamma(\wedge L^*) \rightarrow \Gamma(\wedge K^*)$ . Then the multibrackets  $\mu_1, \mu_2, \mu_3$  preserve  $\Gamma_{\text{hor}}(\wedge L^*)[2]$  iff  $K$  satisfies the following:*

- $K$  is a Lie subalgebroid of  $L$ ,
- $\langle \llbracket \xi_1, \xi_2 \rrbracket, K + K^\circ \rangle = 0$  for all  $\xi_1, \xi_2 \in \Gamma(K^\circ)$ , where we use the identification  $K^\circ \subset L^* \cong R$  and  $\llbracket \cdot, \cdot \rrbracket$  denotes the Dorfman bracket.

**Proof.** We will use the fact that  $\mu_1, \mu_2, \mu_3$  are derivations w.r.t. the wedge product in each entry. The Lie algebroid differential  $d_L$  preserves  $\Gamma_{\text{hor}}(\wedge L^*)$  iff the subbundle  $K$  is involutive. The bracket  $[\cdot, \cdot]_{L^*}$  preserves  $\Gamma_{\text{hor}}(\wedge L^*)$  iff  $\langle \llbracket \xi_1, \xi_2 \rrbracket, K \rangle = 0$  for all  $\xi_1, \xi_2 \in \Gamma(K^\circ)$ . The ternary bracket  $\mu_3$  preserves  $\Gamma_{\text{hor}}(\wedge L^*)$  iff  $\mu_3(\xi_1, \xi_2, \xi_3) = 0$  for all  $\xi_i \in \Gamma(K^\circ)$ , which in turn is equivalent to  $\langle \llbracket \xi_1, \xi_2 \rrbracket, \xi_3 \rangle = 0$ . ■

Finally, as mentioned earlier, Theorem 2.11 follows immediately from the other results presented.

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