



Oscillatory solutions of nonlinear fourth order differential equations with a middle term

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Abstract. We study the oscillation of a fourth order nonlinear differential equation with a middle term. Using a certain energy function, we describe the properties of oscillatory solutions. The paper extends oscillation criteria stated for equations with the operator $x^{(4)} + x''$ and completes the results stated for super-linear and sub-linear case. Oscillation results are new also for the linear equation.

Keywords: fourth order nonlinear differential equation, oscillatory solution, oscillation.

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1 Introduction

Consider the fourth order nonlinear differential equation

$$x^{(4)}(t) + q(t)x''(t) + r(t)f(x(t)) = 0 \quad (1.1)$$

under the following assumptions:

- (i) $q \in C(\mathbb{R}_+)$, $q(t) > 0$ for large t , $r \in C(\mathbb{R}_+)$, $r(t) > 0$ for large t and $\mathbb{R}_+ = [0, \infty)$;
- (ii) $f \in C(\mathbb{R})$ satisfies $f(u)u > 0$ for $u \neq 0$ and either

$$|f(u)| \geq |u| \quad \text{for } u \in \mathbb{R} \quad (1.2)$$


or there exists $0 < \lambda < 1$ such that

$$|f(u)| \geq |u|^\lambda \quad \text{for } u \in \mathbb{R}, \quad (1.3)$$

where $\mathbb{R} = (-\infty, \infty)$.

A special case of (1.1) is the equation

$$x^{(4)}(t) + q(t)x''(t) + r(t)|x(t)|^\lambda \operatorname{sgn} x(t) = 0, \quad (1.4)$$

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where $\lambda \leq 1$.

By a solution of (1.1) we mean a function $x \in C^4[0, \infty)$, which satisfies (1.1) on $[0, \infty)$. A solution is said to be *nonoscillatory* if $x(t) \neq 0$ for large t , otherwise is said to be *oscillatory*. A solution is said to be *proper* if it is nontrivial in any neighbourhood of infinity. Equation (1.1) is *oscillatory* if all its solutions are oscillatory.

The oscillatory behavior of fourth order differential equations enjoys a great deal of interest, see [1–4, 6, 10] and references contained therein. The important role in the investigation of (1.1) is played by the fact whether the associated second order linear equation

$$h''(t) + q(t)h(t) = 0 \quad (1.5)$$

is oscillatory or nonoscillatory. For example, if (1.5) is nonoscillatory, then (1.4) can be written as a two-term equation, see [3], or as a four-dimensional Emden–Fowler differential system, see [10], and oscillation criteria for (1.4) can be obtained by this approach.

If (1.5) is oscillatory and $\lambda \geq 1$, then (1.1) and (1.4) have been investigated in [3]. Here conditions determining that all nonoscillatory solutions are vanishing at infinity have been given, and the oscillation theorem for (1.4) has been proved in the case $\lambda > 1$.

The natural problem is to study oscillation of (1.1) and (1.4) when $\lambda \leq 1$. If $\lambda = 1$ and $q(t) \equiv 1$, then (1.4) is the linear equation

$$x^{(4)}(t) + x''(t) + r(t)x(t) = 0 \quad (1.6)$$

and the following well-known result holds, see, e.g., [8, Corollary 1.3].

Theorem A. *Let (1.2) hold. If either*

$$\liminf_{t \rightarrow \infty} t \int_t^\infty r(s) ds > \frac{1}{4} \quad \text{or} \quad \limsup_{t \rightarrow \infty} t \int_t^\infty r(s) ds > 1,$$

then (1.6) is oscillatory.

If $\lambda < 1$ and (1.5) is oscillatory, the following oscillation criterion for (1.4) has been proved in [4, Theorem 2].

Theorem B. *Let $\lambda < 1$ and (1.5) be oscillatory. Assume that*

$$q(t) \geq q_0 > 0, \quad q'(t) \leq 0, \quad q''(t) \geq 0 \quad \text{for large } t, \quad (1.7)$$

and

$$\lim_{t \rightarrow \infty} t^{2(\lambda-1)}r(t) = \infty. \quad (1.8)$$

Then (1.4) is oscillatory.

Motivated by these results, we study oscillation of (1.1), and properties of zeros of oscillatory solutions. We allow that the function q can tend to zero or to infinity as $t \rightarrow \infty$ and both cases that the corresponding second order equation (1.5) is nonoscillatory/oscillatory are considered. Our approach is based on a suitable energy function for (1.1) and a comparison method for (1.1) and (1.4). Our results are applicable to the equation

$$x^{(4)}(t) + kx''(t) + r(t)f(x(t)) = 0, \quad (k > 0), \quad (1.9)$$

studied in [7]. If f is a locally Lipschitz function, then this equation is known as *the Swift–Hohenberg equation*.

2 Classification of solutions

We start with the possible types of nonoscillatory solutions of (1.1). Due to the sign-condition on f , we can focus on eventually positive solutions of (1.1).

To this aim, a function g , defined in a neighborhood of infinity, is said to change its sign, if there exists a sequence $\{t_k\} \rightarrow \infty$ such that $g(t_k)g(t_{k+1}) < 0$.

Lemma 2.1. *Every eventually positive solution x of (1.1) is one of the following type:*

Type (a): $x(t) > 0, x'(t) > 0, x''(t) \leq 0$ for large t ,

Type (b): $x(t) > 0, x'(t) > 0, x''(t) > 0, x'''(t) > 0$ for large t ,

Type (c): x'' changes sign.

Moreover, if (1.5) is nonoscillatory, then x is of Type (a) or (b), and if (1.5) is oscillatory, then x is of Type (a) or (c).

Proof. From Theorem 2 and Theorem 2' in [3] it follows that if (1.5) is nonoscillatory, then every eventually positive solution x satisfies $x'(t) > 0$ and x'' is of one sign for large t , whereby if (1.5) is oscillatory, then every eventually positive solution x satisfies either $x''(t) \leq 0$ or x'' changes sign.

Assume that $x(t) > 0$ and $x''(t) \leq 0$ for large t . If $x'(t) \leq 0$, then x is nonincreasing and concave, which is a contradiction with the positivity of x .

Assume that $x(t) > 0, x'(t) > 0$ and $x''(t) > 0$ for large t . Then $x^{(4)}(t) < 0$ and so x''' is of one sign for large t . If $x'''(t) \leq 0$, then x'' is positive nonincreasing and concave function, which is a contradiction with the positivity of x'' .

Finally, if (1.5) is oscillatory, then the last conclusion follows from Theorem 2, part (b) in [3]. \square

In the sequel, we consider equation (1.4) with $\lambda \leq 1$.

Lemma 2.2. *Let (1.5) be nonoscillatory. If there exists $\lambda \leq 1$ such that*

$$\int_0^\infty t^{2\lambda} r(t) dt = \infty, \tag{2.1}$$

then (1.4) has no solution of Type (b).

Proof. Let (1.5) be nonoscillatory and (2.1) hold for $\lambda \leq 1$. Assume that (1.4) has a solution x of Type (b), i.e., there exists $t_0 \geq 0$ such that $x(t) > 0, x'(t) > 0, x''(t) > 0$ and $x'''(t) > 0$ for $t \geq t_0$. Then from (1.4), $x^{(4)}(t) < 0$ for $t \geq t_0$. Thus there exists $t_1 \geq t_0$ such that x''' is positive and decreasing for $t \geq t_1$ and there exist $C > 0$ and $t_2 \geq t_1$ such that $x''(t) \geq C$ and $x(t) \geq Ct^2$ for $t \geq t_2$. From here, integrating (1.4) from t_2 to t , we get

$$\begin{aligned} x'''(t_2) - x'''(t) &\geq - \int_{t_2}^t x^{(4)}(s) ds = \int_{t_2}^t \left(q(s)x''(s) + r(s)x^\lambda(s) \right) ds \\ &\geq C^\lambda \int_{t_2}^t r(s)s^{2\lambda} ds. \end{aligned}$$

Letting $t \rightarrow \infty$, we get a contradiction to the boundedness of x''' . \square

3 Oscillation theorems

In this section we state two oscillation theorems for (1.1).

Theorem 3.1. *Let (1.2) hold. Assume that*

$$\lim_{t \rightarrow \infty} \frac{r(t)}{q(t)} = \infty, \quad (3.1)$$

$$q^2(t) \leq 4r(t) \quad \text{for large } t, \quad (3.2)$$

and, in addition if (1.5) is nonoscillatory, that

$$\int_0^\infty t^2 r(t) dt = \infty. \quad (3.3)$$

Then (1.1) is oscillatory.

To prove this result, we introduce the following energy function used for (1.4) in [4].

Definition 3.2. Let x be a solution (possibly oscillatory or nonoscillatory) of (1.1). Define the function F as

$$F(t) = -x'''(t)x(t) + x'(t)x''(t), \quad t \in \mathbb{R}_+.$$

Lemma 3.3. *Let (1.2) hold and x be a proper solution of (1.1). If (3.2) holds, then the function F is nondecreasing for large t , and (1.1) has no solutions of Type (c).*

Proof. Let x be a proper solution of (3.6). We have

$$F'(t) = r(t)x(t)f(x(t)) + q(t)x''(t)x(t) + (x''(t))^2. \quad (3.4)$$

If $x(t) \neq 0$, then by (1.2) and (3.2)

$$\begin{aligned} F'(t) &= \left(\sqrt{r(t)}\sqrt{f(x(t))x(t)} \operatorname{sgn} x(t) + \frac{q(t)}{2\sqrt{r(t)}}x''(t)\sqrt{x(t)/f(x(t))} \right)^2 \\ &\quad + (x''(t))^2 \left(1 - \frac{q^2(t)}{4r(t)} \frac{x(t)}{f(x(t))} \right) \geq 0. \end{aligned}$$

If $x(\bar{t}) = 0$ at some $\bar{t} > 0$, then $F'(t) \geq 0$ in a neighbourhood of \bar{t} . By (3.4), F' is continuous for $t > 0$ and thus $F'(t) \geq 0$ for large t and we get the monotonicity of F for large t .

Let $x(t) > 0$ for $t \geq T_1 \geq 0$ and by contradiction, suppose that x is of Type (c), i.e., x'' changes sign. Let $\{t_k\}_{k=1}^\infty$ and $\{\tau_k\}_{k=1}^\infty$, $T_1 \leq t_k < \tau_k < t_{k+1}$, $k = 1, 2, \dots$ be sequences of zeros of x'' tending to ∞ such that

$$x''(t) > 0 \quad \text{on } (t_k, \tau_k), \quad k = 1, 2, \dots \quad (3.5)$$

Then (1.4) implies $x^{(4)}(t) < 0$ on $[t_k, \tau_k]$ and, hence, x''' is decreasing. According to (3.5) and the fact that $x''(t_k) = x''(\tau_k) = 0$, numbers $\xi_k \in (t_k, \tau_k)$ exist such that $x'''(\xi_k) = 0$, $k = 1, 2, \dots$. From this and from the fact that x''' is decreasing, we have

$$x'''(t_k) > 0 \quad \text{and} \quad x'''(\tau_k) < 0, \quad k = 1, 2, \dots$$

Hence,

$$F(t_k) = -x'''(t_k)x(t_k) < 0, \quad F(\tau_k) = -x'''(\tau_k)x(\tau_k) > 0, \quad k = 1, 2, \dots$$

In view of the monotonicity of F , we get a contradiction. Thus x'' does not change sign and this proves the lemma. \square

Proof of Theorem 3.1. Step 1. We prove first the statement for the linear equation

$$x^{(4)}(t) + q(t)x''(t) + r(t)x(t) = 0. \quad (3.6)$$

Let $T > 0$ be such that (3.2) holds for $t \geq T$. Without loss of generality, consider a solution x of (3.6) such that $x(t) > 0$ for $t \geq T$. Using Lemma 3.3, the function F is nondecreasing for large t , and in view of Lemmas 2.1, 2.2 and 3.3, x is of Type (a), i.e., $x'(t) > 0$, $x''(t) \leq 0$. Then either x''' oscillates or $x'''(t) > 0$ for large t ; observe that the case $x'''(t) < 0$ for large t is impossible as x' would change sign. Consider a sequence $\{t_k\}$ such that $t_1 \geq T$, $\lim_{t \rightarrow \infty} t_k = \infty$ and $x'''(t_k) = 0$ in case x''' oscillates; otherwise it can be arbitrary. In both cases we have $F(t_k) < 0$ for $k = 1, 2, \dots$. According to Lemma 3.3, F is nondecreasing, so $F(t) < 0$ for $t \geq t_1$. Define the function

$$Z(t) = -x''(t)x(t) + (x'(t))^2$$

for $t \geq t_1 \geq T$. Then $Z'(t) = F(t) < 0$ and taking into account that $x''(t) \leq 0$, we have $Z(t) \geq 0$. Thus,

$$0 \leq -x''(t)x(t) \leq Z(t_1), \quad x(t) \geq K,$$

for $t \geq t_1$ and $K = x(t_1)$. Hence, there exists a constant $M > 0$ such that $|x''(t)| \leq M$ for $t \geq t_1$. From this and (3.6),

$$x^{(4)}(t) = -q(t)x''(t) - r(t)x(t) \leq Mq(t) - Kr(t)$$

for $t \geq t_1$ and (3.1) implies the existence of $\tau \geq t_1$ such that

$$x^{(4)}(t) \leq -Cr(t) < 0 \quad \text{for } t \geq \tau \quad \text{and} \quad C = K^\lambda/2. \quad (3.7)$$

Since x''' is decreasing for $t \geq \tau$, there exists $\tau_1 \geq \tau$ such that $x'''(t) > 0$ for $t \geq \tau_1$. From this and the fact that $x'(t) > 0$ and $x''(t) \leq 0$, we have $\lim_{t \rightarrow \infty} x^{(j)}(t) = 0$ for $j = 2, 3$. Therefore,

$$|x^{(j)}(t)| = \int_t^\infty |x^{(j+1)}(s)| ds, \quad j = 2, 3,$$

and using (3.7), for $t \geq \tau_1$ we have

$$x'''(t) = \int_t^\infty |x^{(4)}(s)| ds \geq C \int_t^\infty r(s) ds,$$

so $r \in L^1(\mathbb{R}_+)$. Proceeding in the same way, $|x''(t)| = \int_t^\infty |x'''(s)| ds$, thus

$$x'(t) - x'(\tau_1) = \int_{\tau_1}^t |x''(s)| ds \geq C \int_{\tau_1}^t s^2 r(s) ds.$$

Since x' is bounded, letting $t \rightarrow \infty$ we get a contradiction to (3.3). Thus, a solution of Type (a) does not exist and equation (3.6) is oscillatory.

Step 2. Consider nonlinear equation (1.1) and assume, by contradiction, that (1.1) has a solution $x(t) > 0$ for $t \geq T$. Then $y = x$ is the solution of the linear equation

$$y^{(4)} + q(t)y'' + R(t)y = 0, \quad (3.8)$$

where

$$R(t) = \frac{r(t)f(x(t))}{x(t)}.$$

According to (1.2), we have $R(t) \geq r(t)$ for $t \geq T$. Thus, using (3.1), (3.2) and (3.3), we get

$$4R(t) \geq q^2(t), \quad \lim_{t \rightarrow \infty} \frac{R(t)}{q(t)} = \infty, \quad \int_0^\infty t^2 R(t) dt = \infty.$$

According to the first part of the proof, equation (3.8) is oscillatory. This is a contradiction to the fact that x is a nonoscillatory solution. \square

Our next result extends Theorem A to (1.1).

Theorem 3.4. *Let (1.3) hold. If (1.7) and (1.8) hold, then (1.1) is oscillatory.*

Proof. Assume, by contradiction, that (1.1) has a solution $x(t) > 0$ for $t \geq T$. Since (1.7) holds, (1.5) is oscillatory, and by Lemma 2.1, x is of Type (a) or (c). Moreover, $y = x$ is a solution of the equation

$$y^{(4)} + q(t)y'' + R(t)|y(t)|^\lambda \operatorname{sgn} y(t) = 0 \quad (3.9)$$

for $t \geq T$, where

$$R(t) = \frac{r(t)f(x(t))}{x^\lambda(t)} \geq r(t).$$

From here and (1.8) we have

$$\lim_{t \rightarrow \infty} t^{2(\lambda-1)}R(t) = \infty.$$

Applying Theorem A to (3.9), the oscillation of (3.9) follows. This is a contradiction to the fact that x is a nonoscillatory solution. \square

The following examples illustrate our results.

Example 3.5. Consider the equation

$$x^{(4)}(t) + \frac{c}{t^2}x''(t) + \frac{1}{t^{2-\varepsilon}}f(x(t)) = 0 \quad (t \geq 1), \quad (3.10)$$

where $c > 0$, $\varepsilon > 0$, and

$$f(u) = \begin{cases} \frac{4}{\pi} \arctan u & \text{for } |u| \leq 1, \\ u & \text{for } |u| > 1. \end{cases}$$

By Theorem 3.1, (3.10) is oscillatory.

Example 3.6. Consider the equation

$$x^{(4)}(t) + \left(1 + \frac{1}{t}\right)x''(t) + t \ln(t+1)f(x(t)) = 0, \quad (t \geq 1), \quad (3.11)$$

where

$$f(u) = \begin{cases} \sqrt{u} & \text{for } |u| \leq 1, \\ u & \text{for } |u| > 1. \end{cases}$$

By Theorem 3.4, (3.11) is oscillatory.

4 Existence and zeros of oscillatory solutions

We start with the existence of oscillatory solutions for (1.4).

Proposition 4.1. *Assume (1.2) and*

$$\limsup_{u \rightarrow \infty} \frac{f(u)}{u} < \infty. \quad (4.1)$$

If (1.5) is oscillatory and

$$q^2(t) \leq 4r(t) \quad \text{for } t \in \mathbb{R}_+, \quad (4.2)$$

then (1.1) has proper oscillatory solutions.

Proof. According to [8, Theorem 11.5], all solutions of (1.1) are defined on \mathbb{R}_+ . By Lemmas 2.1 and 3.3, we have that any solution of (1.4) is either proper oscillatory, or trivial in a neighbourhood of infinity, or of Type (a).

Consider the function F from Definition 3.2. If x is of type Type (a), then $F(t) < 0$ for large t , and by Lemma 3.3, $F(t) < 0$ for $t \in \mathbb{R}_+$. If $x(t) \equiv 0$ for large t , then $F(t) \equiv 0$ for large t . Hence, any solution of (1.1) with the initial condition $F(0) > 0$ is proper oscillatory. \square

In the sequel, we describe zeros of proper oscillatory solutions x of (1.1) and of their derivatives. As a motivation, consider equation (1.1) with $q(t) \equiv 0$. Then any oscillatory solution has the following properties in the neighbourhood of infinity: any zero of x and x' is simple (i.e. is not double or triple), and zeros of x and x' separate each other, i.e., between two zeros of x [x'] there exists exactly one zero of x' [x]. Here we prove that the same properties remain to hold for (1.1).

Theorem 4.2. *Assume (1.2) and (3.2). Then for any proper oscillatory solution x of (1.1) there exists $T > 0$ such that all zeros of x and x' are simple, and between two zeros of x [x'] there exists exactly one zero of x' [x] on $[T, \infty)$.*

Proof. Let x be a proper solution of (1.1) such that $x(t_k) = 0$, where $\{t_k\}_{k=1}^\infty$ tends to infinity. By Lemma 3.3, the function F is nondecreasing for $t \geq T$.

If $F(t) \equiv 0$ for large t , then $Z(t) \equiv 0$ for $t \geq T_1 > T$ and from the definition of Z we have $x''(t)x(t) \geq 0$ and

$$0 \equiv F'(t) = r(t)x(t)f(x(t)) + q(t)x''(t)x(t) + (x''(t))^2 \geq r(t)x(t)f(x(t)) \geq 0.$$

Since $r(t) > 0$ and $f(u)u > 0$ for $u \neq 0$, we get $x(t) \equiv 0$ for large t , which is a contradiction to the fact that x is proper.

Define the function

$$Z(t) = -x''(t)x(t) + (x'(t))^2$$

for $t \geq t_1 \geq T$. Then $Z'(t) = F(t)$ and $Z(t_k) \geq 0$. If $F(t) > 0$ ($F(t) < 0$) for large t , then Z is increasing (decreasing) and taking into account that $Z(t_k) \geq 0$, we have

$$Z(t) > 0 \quad \text{for } t \geq T_1 > T. \quad (4.3)$$

If $\tau \geq T_1$ is such that $x'(\tau) = 0$, then, from (4.3), $x''(\tau)x(\tau) < 0$, and so τ is a simple zero of x' .

If $\tau_1 \geq T_1$ is such that $x(\tau_1) = 0$, then again from (4.3) we have $x'(\tau_1) \neq 0$ and τ_1 is a simple zero of x .

Let τ_2, τ_3 , where $T_1 \leq \tau_2 < \tau_3$ be two successive zeros of x' such that $x'(t) > 0$ on (τ_2, τ_3) . Then, from (4.3), we have

$$x''(\tau_2)x(\tau_2) < 0 \quad \text{and} \quad x''(\tau_3)x(\tau_3) < 0.$$

Since $x''(\tau_2) > 0$ and $x''(\tau_3) < 0$, we get $x(\tau_2) < 0$ and $x(\tau_3) > 0$, and x has a zero on (τ_2, τ_3) . Since x is increasing on (τ_2, τ_3) , x has a simple zero. From above we get that between two successive zeros of x' there exists exactly one zero of x .

Let τ_4, τ_5 , where $T_1 \leq \tau_4 < \tau_5$ be two successive zeros of x such that $x(t) > 0$ on (τ_4, τ_5) . According to Rolle's theorem, x' has a zero τ_6 in (τ_4, τ_5) . The fact that τ_6 is the only zero of x' in (τ_4, τ_5) follows from the fact that between two zeros of x' there exists exactly one zero of x . \square

Remark 4.3. If (4.2) holds, then Theorem 4.2 is valid with $T = 0$, i.e., for all zeros of a proper oscillatory solution. For instance, equations (3.10) with $c = 1$ and (3.11) have by Proposition 4.1 and Theorem 4.2 proper oscillatory solutions x such that zeros of x and x' are simple and separate each other.

Example 4.4. Consider equation (1.9) where f satisfies (1.2) and (4.1), and $r(t) \geq k^2/4$ for $t \in \mathbb{R}_+$. By Proposition 4.1 and Theorem 4.2, (1.9) has proper oscillatory solutions and zeros of x and x' are simple and separate each other.

We conclude this paper with the following open question: *Is it possible to relax the assumptions (1.7) and (1.8) of Theorem 3.4 in the sub-linear case, i.e., f satisfies (1.3)?*

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